

# Study of EP driven Alfvén eigenmode using theoretical tools and ORB5

Z.X. Lu, thanks for input from A. Bottino, T. Hayward-Schneider,  
Ph. Lauber, X. Wang , F. Zonca, A. Koenis, A. Mishchenko

Acknowledge: A. Biancalani, F. Palermo

NAT project meeting

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# Motivation

- Benchmark of **ORB5** and other codes (GTC, TAEFL...) using DIIID parameters
  - RSAE benchmark (with input from A. Koenis, GTC group)
- Use modified experiment parameters, e.g., DIIID or AUG, for various studies, e.g., **nonlinear physics** (work with LIGKA, XHMGC)
- Extend previous symmetry breaking studies [1,2] from linear, global to nonlinear, with **momentum transport** & **EP** considered, by using theory and ORB5

[1] Z. X. Lu, E. Fable, W. A. Hornsby, C. Angioni, A. Bottino, Ph. Lauber, and F. Zonca, Phys. Plasmas, 24, 042502 (2017)

[2] Z.X. Lu, X. Wang, Ph. Lauber, F. Zonca, Kinetic effects of thermal ions and energetic particles on discrete kinetic BAE mode generation and symmetry breaking, Nucl. Fusion, accepted

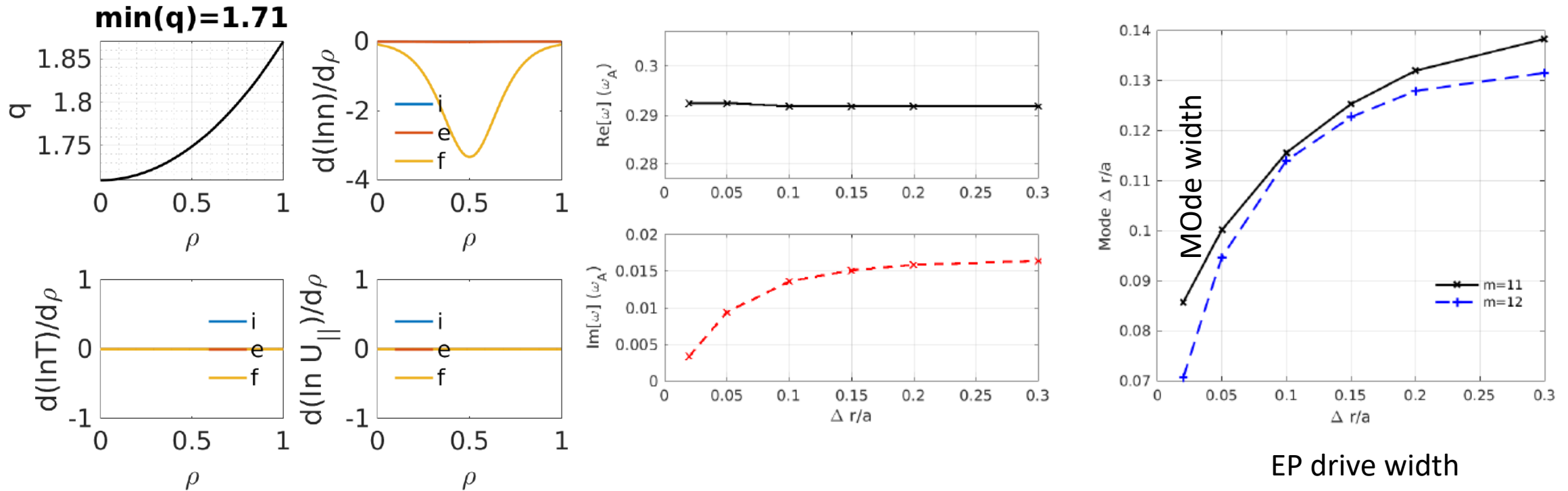
# Progress using theory and ORB5

- Produce TAE, RSAE, BAE (BAAE) using computing friendly parameters
  - Eigenvalue scan
  - Mode structure studies (mode width, symmetry breaking)
- RSAE benchmark using DIIID 159243\_00805 case
  - Ad hoc (circular) 2D equilibrium + 1D exp. profiles
  - 2D exp. equilibrium + 1D analytical profiles
  - 2D exp. equilibrium + 1D exp. profiles (in progress)
- Extension of symmetry breaking studies
  - GAM/EGAM symmetry breaking

Part I General studies of TAE, RSAE, BAE  
eigenvalue and mode structure

# TAE: EPs' non perturbative effect on mode width

- EP's non perturbative effect has been identified using GTC [Wang 13]
- Mode width increases as EP drive width increases



# TAE mode width: effects of FOW/FLR, bad curvature, $\omega_*$

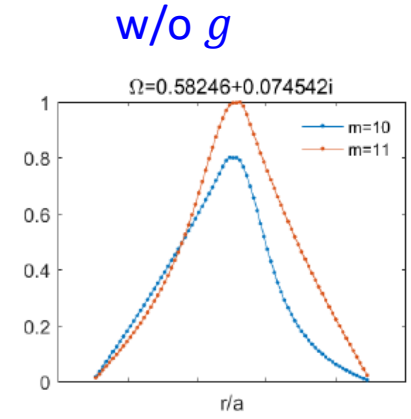
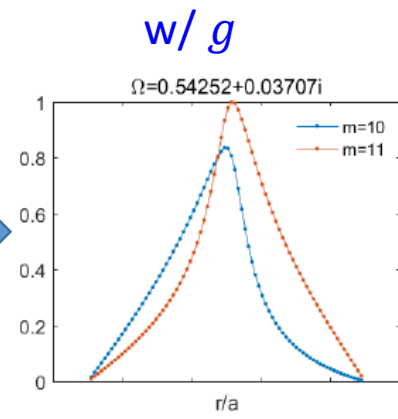
- EPs' non perturbative effects included in vorticity equation:

Z.X. Lu, X. Wang, Ph. Lauber, F. Zonca, 2018, Nucl. Fusion, accepted

$$\begin{aligned}
 & B\partial_{\parallel} \left[ \frac{1}{B} \nabla_{\perp}^2 \partial_{\parallel} \delta\psi \right] + \nabla_{\perp} \cdot \frac{\omega^2}{v_A^2} \left[ \left(1 - \frac{\omega_{*pi}}{\omega}\right) - \frac{3}{4} b_{i,eff} \right] \nabla \delta\psi - \frac{\alpha g k_{\theta}^2}{q^2 R^2} \delta\psi \\
 & - 3qg\delta\psi \left(\frac{b_i}{2}\right)^{1/2} k_{\perp} k_{\theta} \frac{\omega\omega_{ti}}{v_A^2} \left(1 - \frac{\omega_{*pi}}{\omega} - \frac{\omega_{*Ti}}{\omega}\right) \\
 & = - \sum_{s=i,f} KPC_s \equiv \sum_{s=i,f} \frac{k_{\perp}^2 \omega_{ti}^2 q^2 \bar{n}_s \tau_s}{v_A^2} \delta\psi_m e^{im\theta} \times \left\{ \frac{1}{2} \sum_{\sigma=\pm 1} \bar{H}_{m-nq+\sigma,s} \right. \\
 & \left. + \rho_{ti}^2 k_{\perp}^2 \left[ \frac{\tau_s^2}{8} \left(\frac{q}{\Omega_{(i)}}\right)^2 \sum_{\sigma=\pm 1, \pm 2} (-)^{\sigma} (m - nq + \sigma)^2 \bar{W}_{m-nq+\sigma} - \frac{\tau_s}{4} \sum_{\sigma=\pm 1} \bar{O}_{m-nq+\sigma} \right] \right\}
 \end{aligned}$$

- Or concise form:

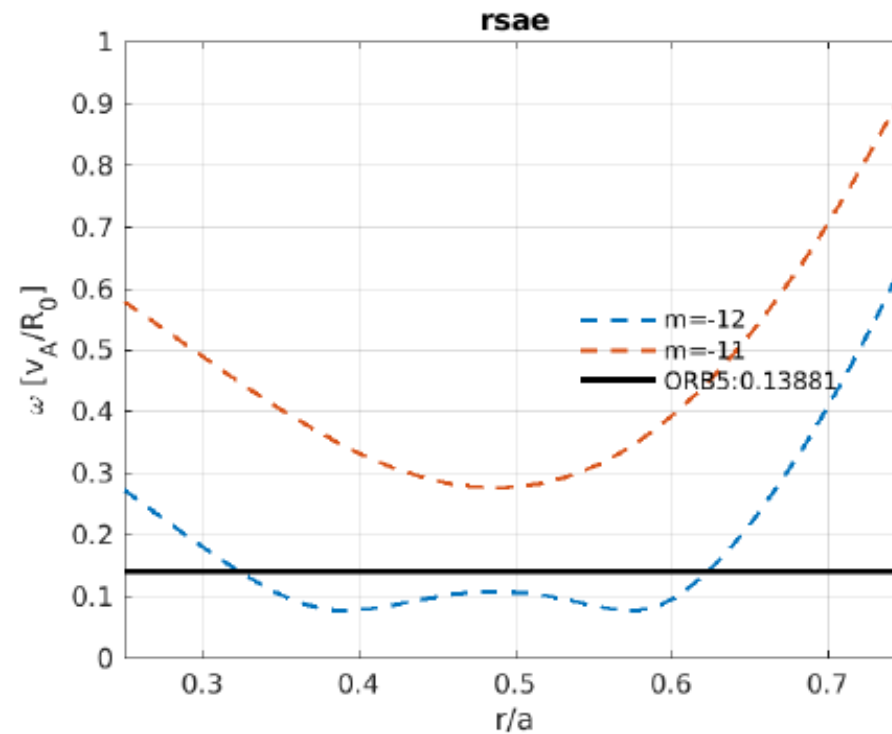
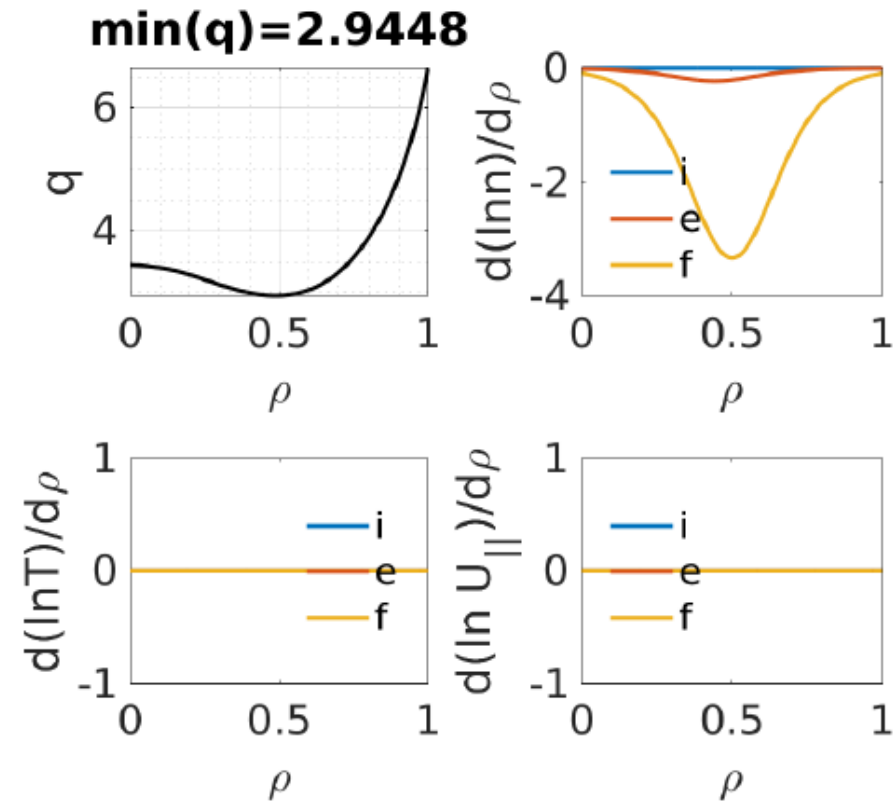
$$\begin{aligned}
 & B\partial_{\parallel} \left[ \frac{1}{B} \nabla_{\perp}^2 \partial_{\parallel} \delta\psi \right] + \nabla_{\perp} \cdot \frac{\omega^2}{v_A^2} \left[ \left(1 - \frac{\omega_{*p}}{\omega} - \frac{\omega_{BAE}^2}{\omega^2}\right) \nabla \delta\psi \right] - \frac{\alpha k_{\theta}^2 g}{q^2 R^2} \delta\psi \\
 & = \sum_m \left( C_{FOW,m} + C_{FLR,m} - \frac{3\omega^2}{8v_A^2} \right) \rho_{ti}^2 k_{\perp}^4 \delta\psi_m,
 \end{aligned}$$



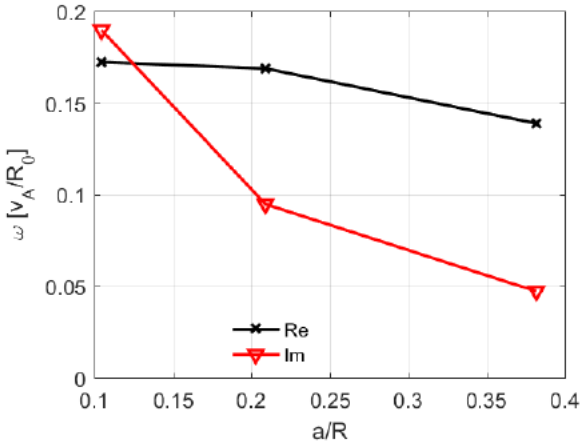
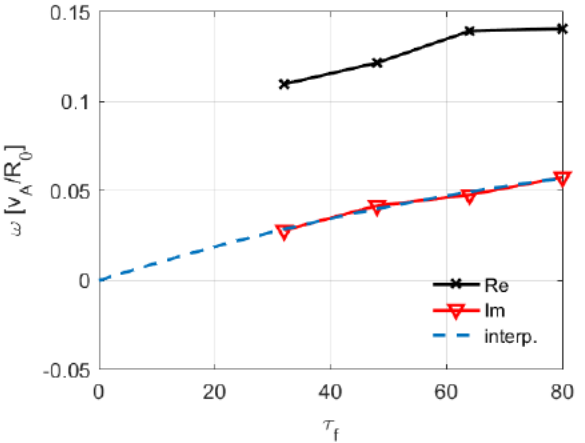
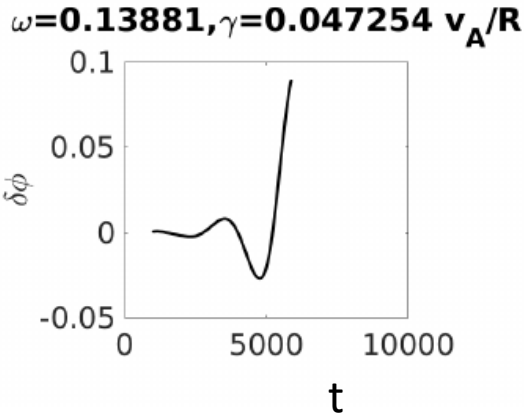
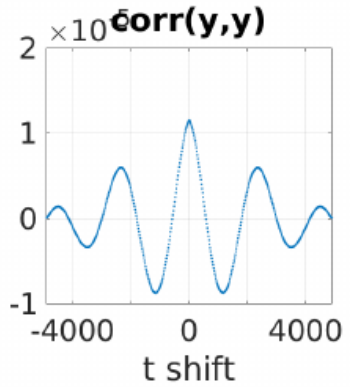
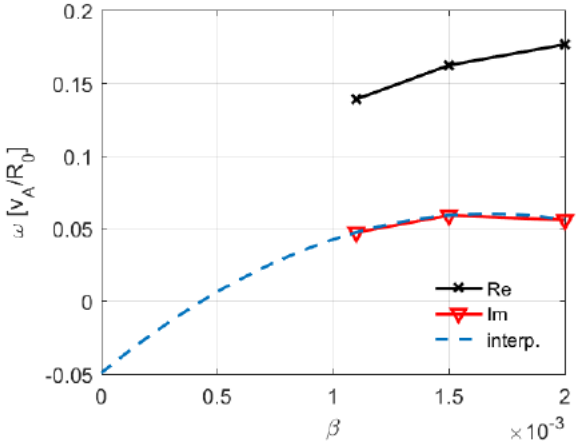
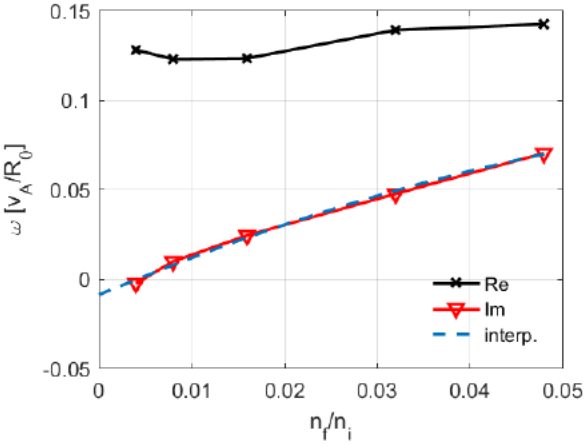
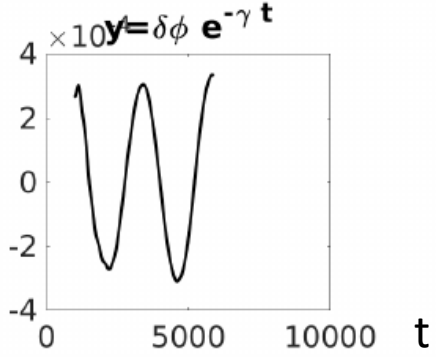
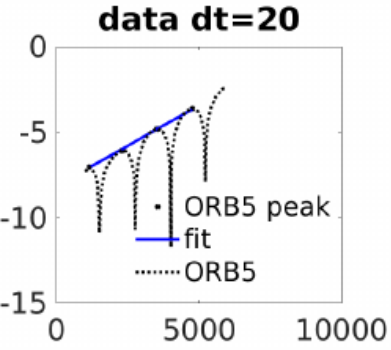
- Comprehensive analysis in progress

Acknowledge: Ph. Lauber

# RSAE: parameters and eigenvalue



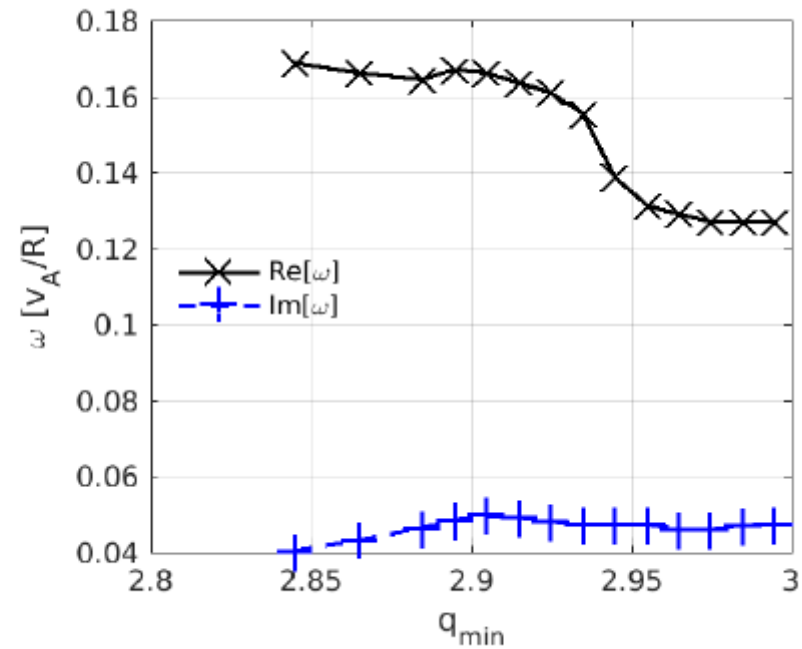
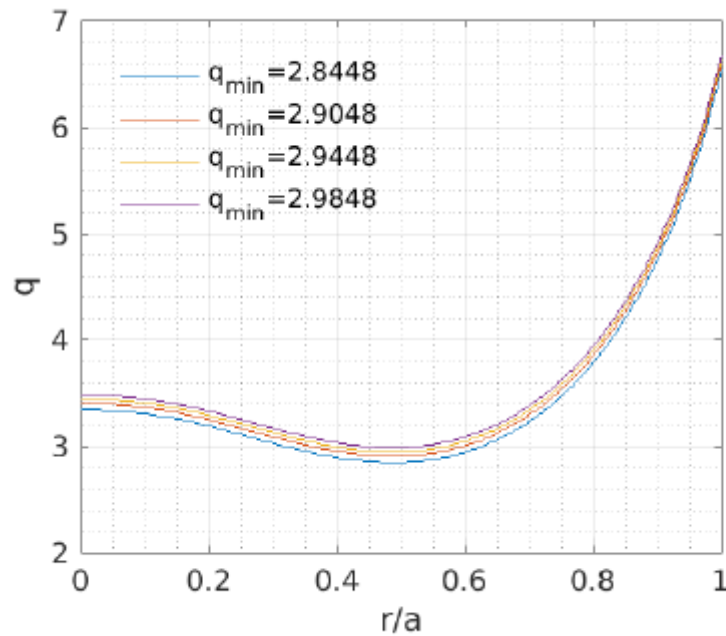
# RSAE: parametric studies



Eigenvalue is fitted using probe data

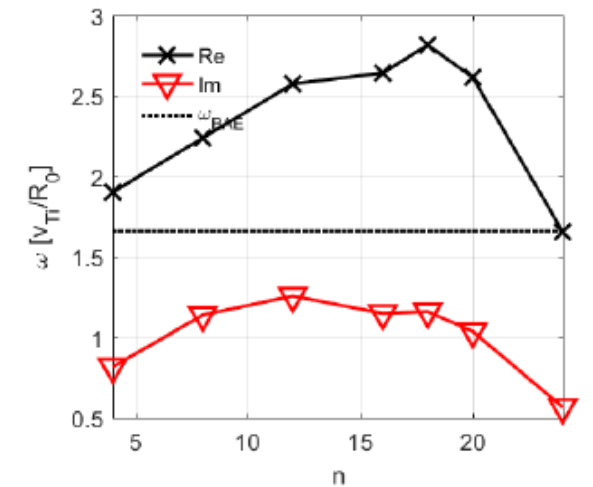
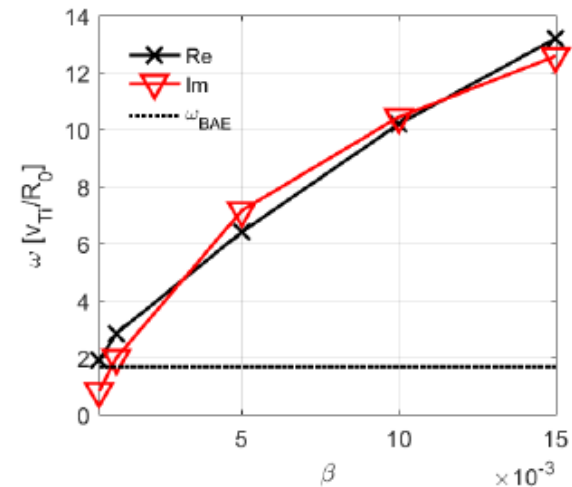
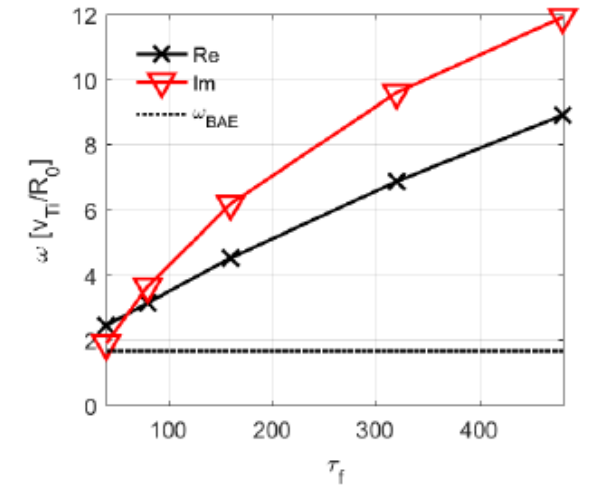
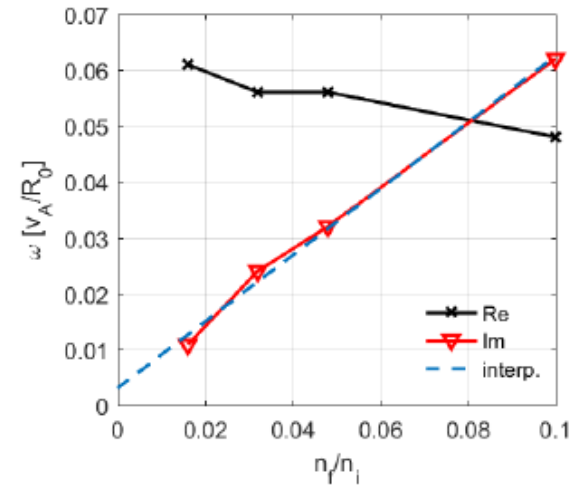


# RSAE: frequency cascading



# BAE: preliminary scan of eigenvalues

- Scan of EP density, temperature, beta and toroidal mode #  $n$ 
  - reasonable trend
  - quantitative benchmark need to be done



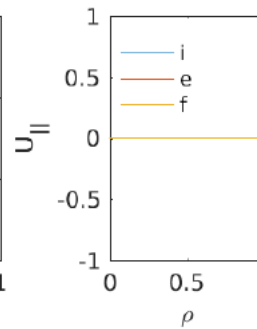
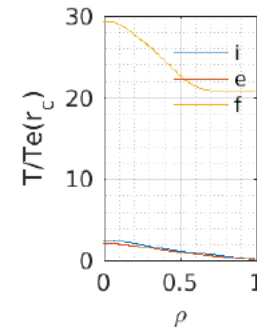
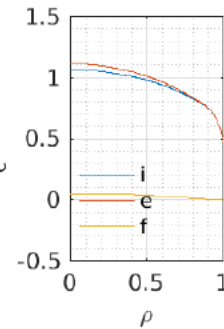
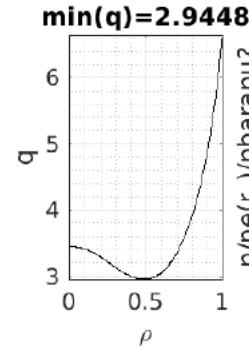
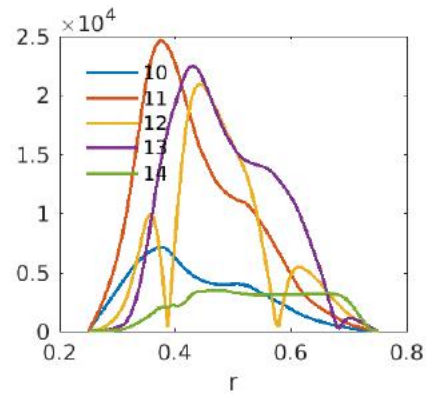
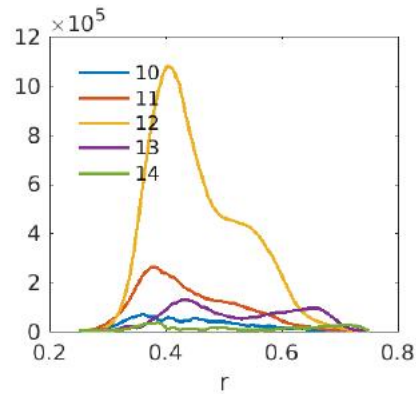
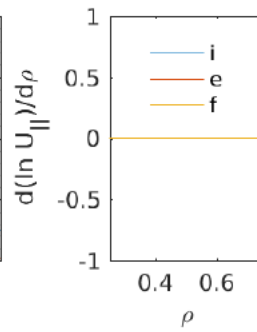
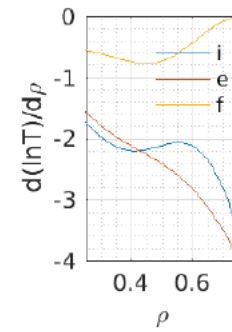
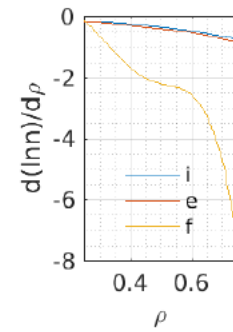
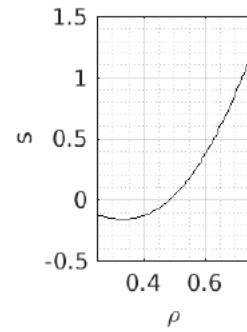
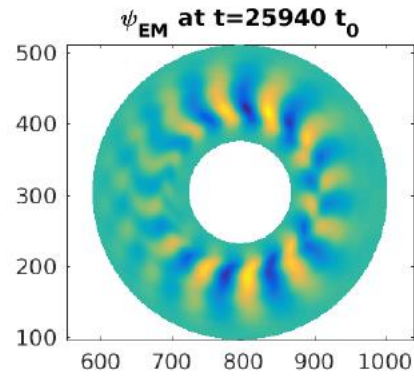
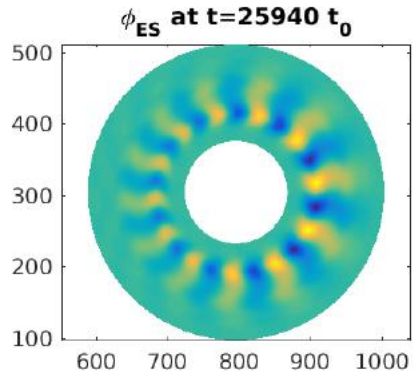
# Part II RSAE simulation using DIIID

## 159243\_00805 case

- I. Ad hoc 2D equilibrium + 1D exp. profiles
- II. 2D exp. equilibrium + 1D analytical profiles
- III. 2D exp. equilibrium + 1D exp. profiles (in progress)

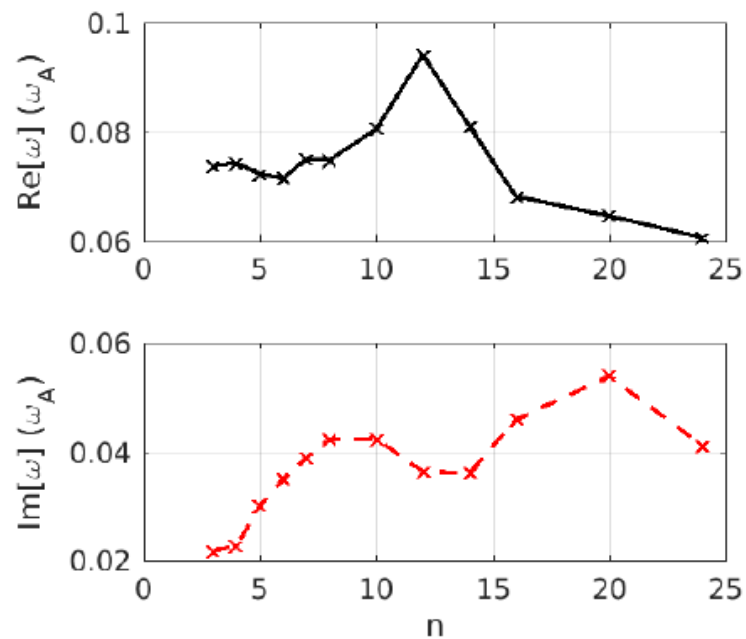
Ad hoc equilibrium and numerical equilibrium are implemented in coordinates with  $r$  and  $\psi_p$  as radial coordinate respectively

# I. Ad hoc 2D equilibrium + 1D exp. profiles

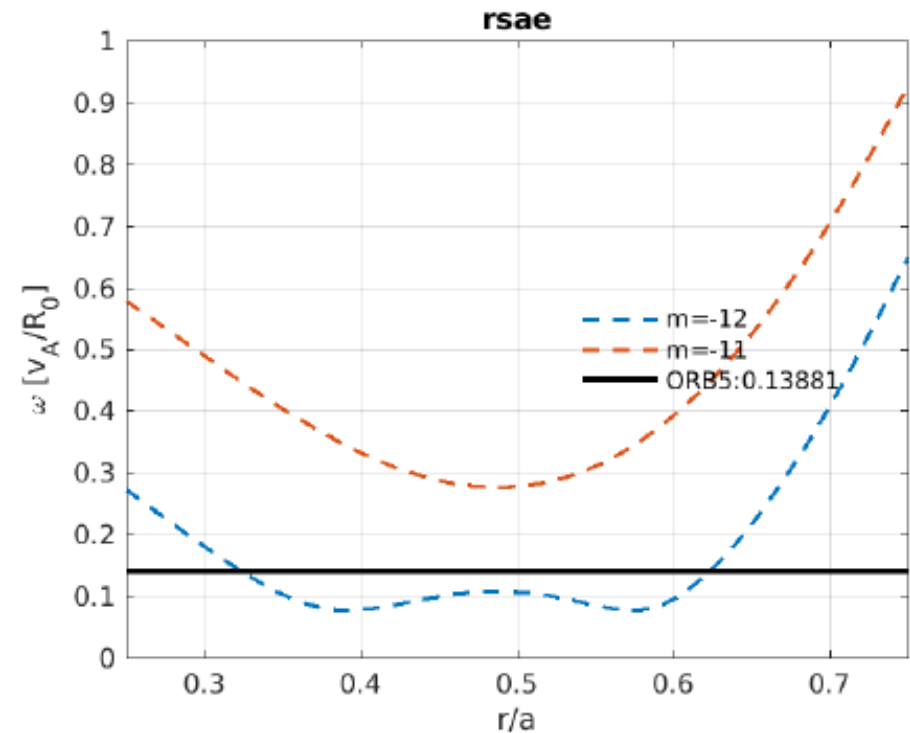


# I. Ad hoc 2D equilibrium + 1D exp. profiles

- Beta, Lx (or  $\rho_*$ ) and EP mass biased from exp. value (need corrected later)

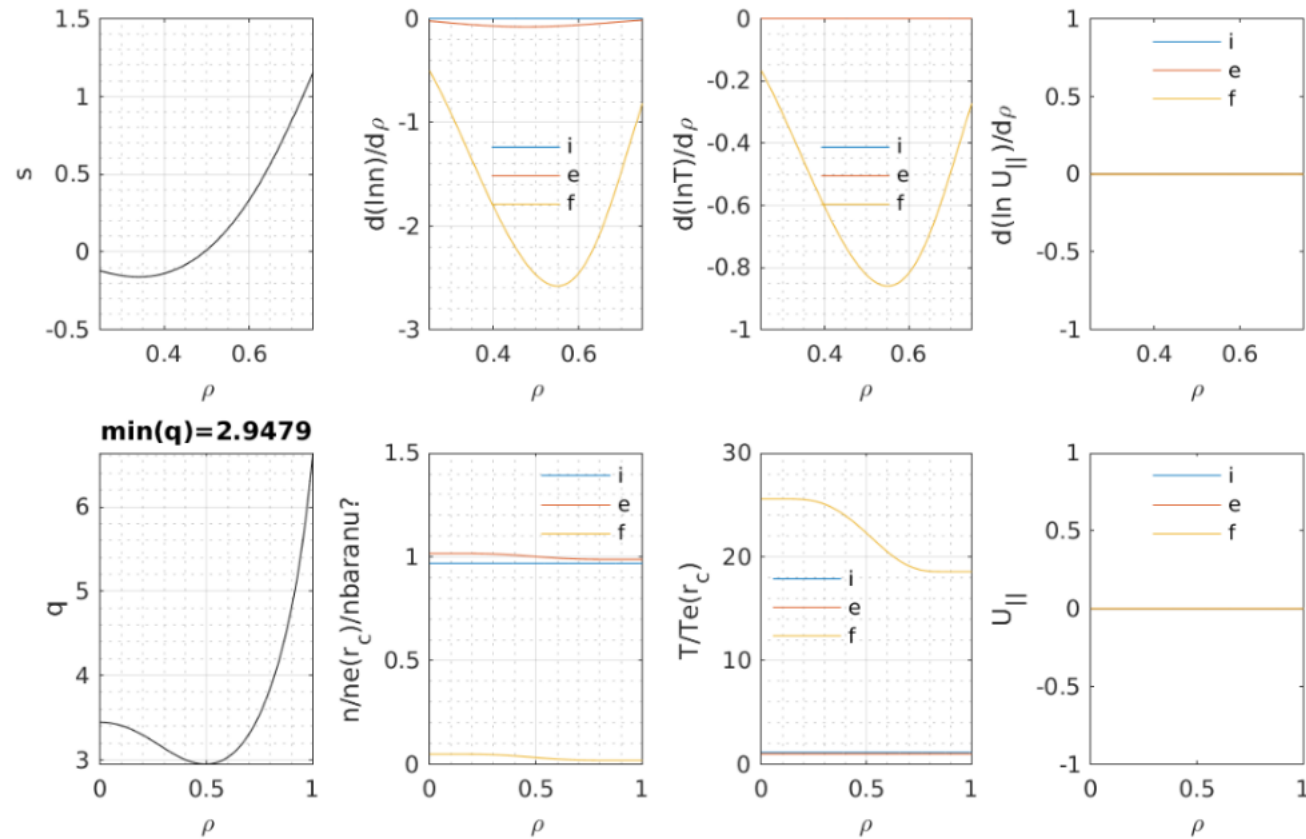


Note: different EP drive compared with exp.



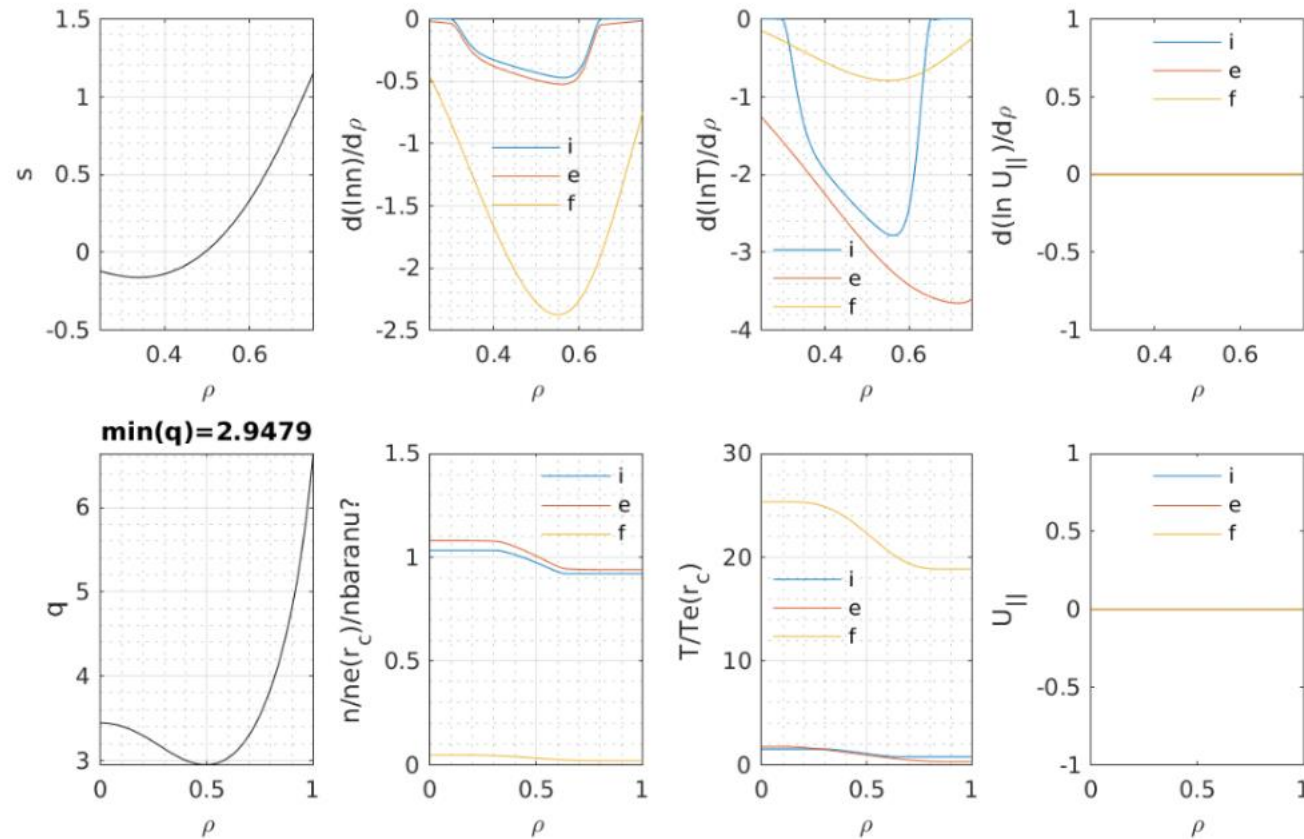
## II. Exp. 2D equilibrium + 1D analytical profiles

- Bell shape EP drive



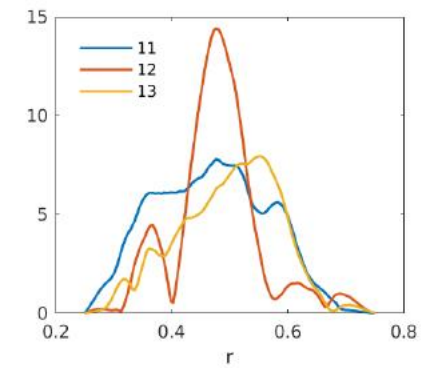
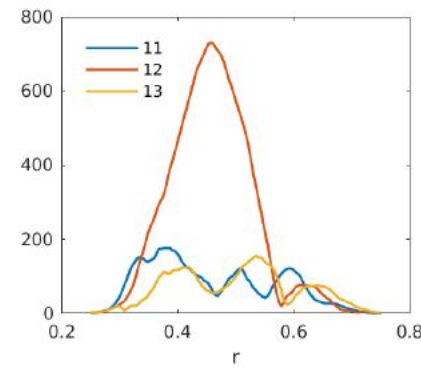
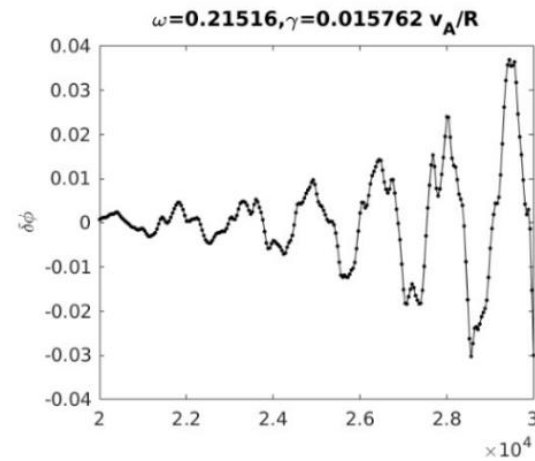
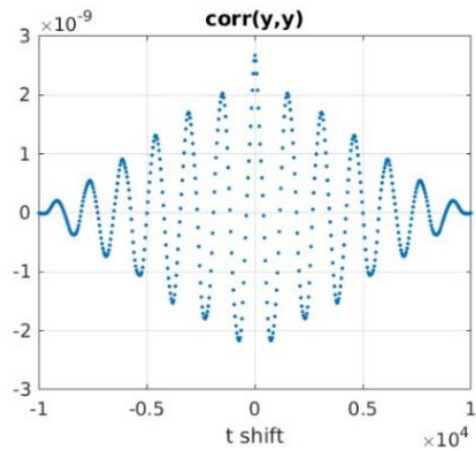
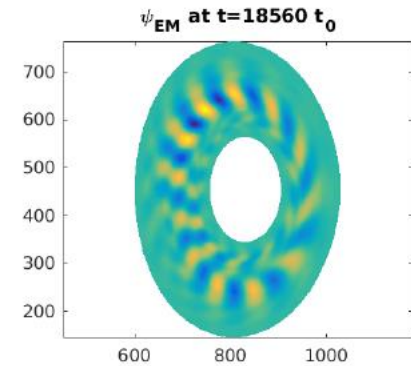
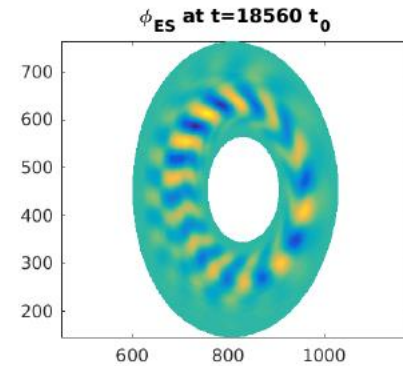
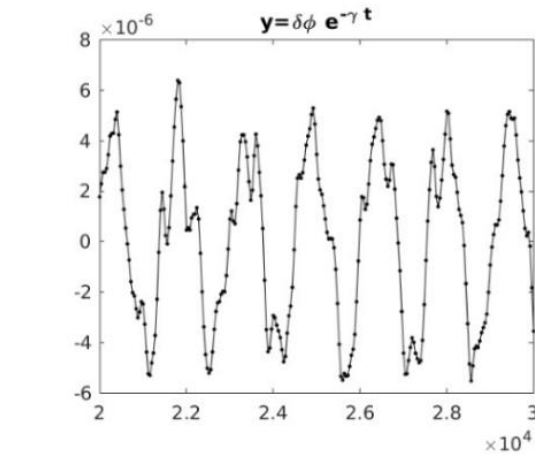
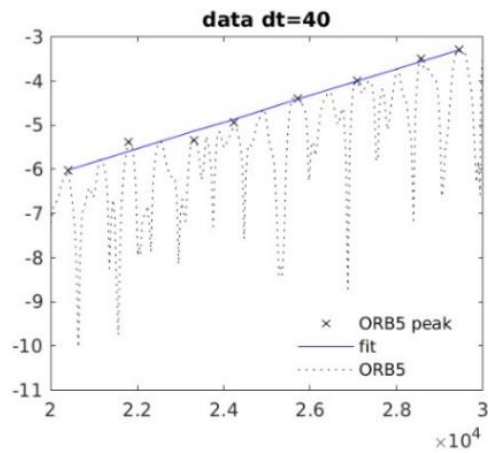
## II. Exp. 2D equilibrium + 1D analytical profiles

- Fitted analytic profiles using reference value of exp. profiles



# II. Exp. 2D equilibrium + 1D analytical profiles

- Bell shape EP drive



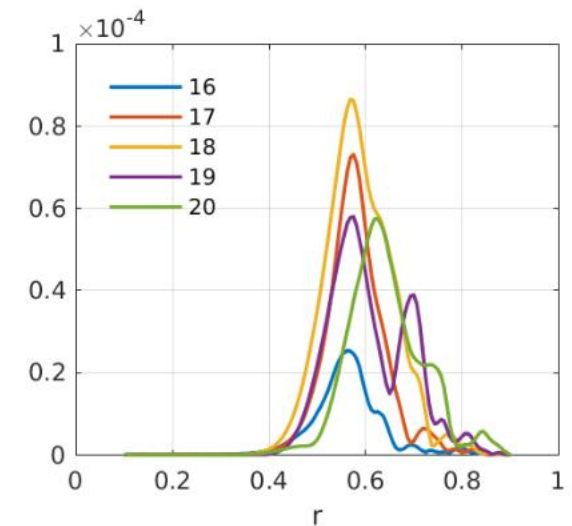
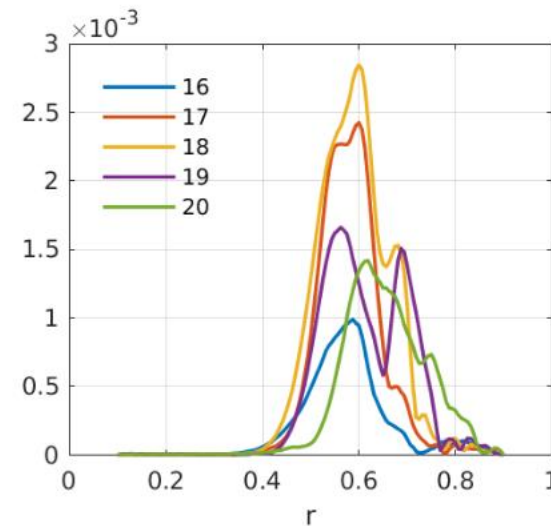
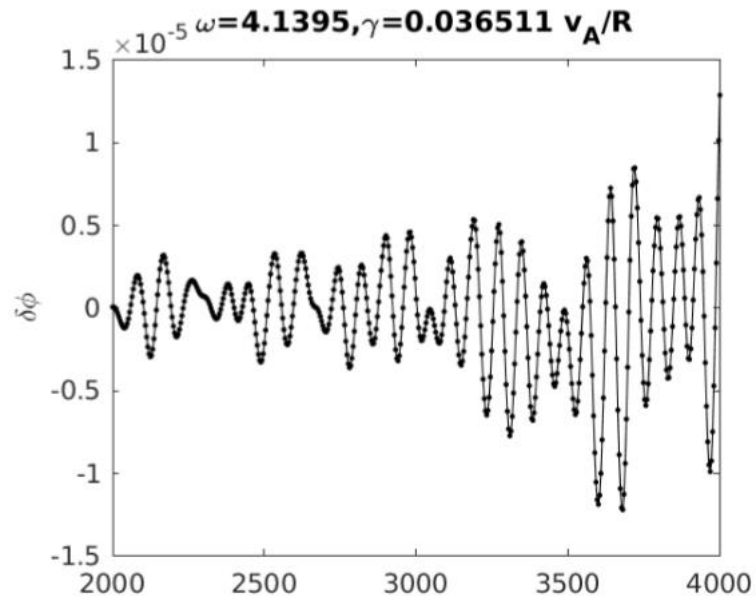
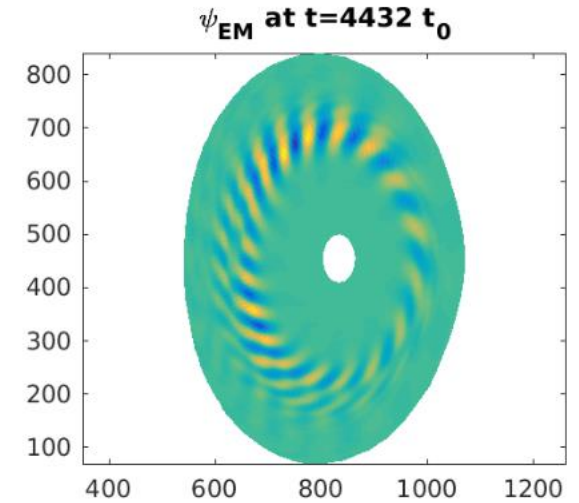
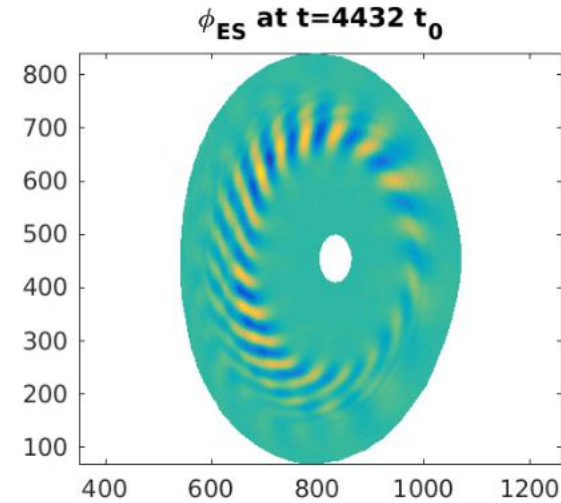


### III. Exp. 2D equilibrium + 1D exp. Profiles (in progress)

- Numerical 1D profiles: might need check the parameters of input and the code (beta, Lx)
- 1D profiles using polynomial fitting: implemented and being tested
  - Edge numerical instability better understood (filter; thanks to Thomas, Alberto)
  - High m (17,18) mode appears instead of m=12 for n=4 ( $q_{\min}=2.9479$ )

# III. Exp. 2D equilibrium + 1D exp. Profiles (in progress)

- Filter from  $m=11\sim 20$ ; expected dominant  $m=12$
- Particle #, dt similar to EUTERPE (thanks for Axiel)



# Part III Extension of symmetry breaking studies

GAM/EGAM symmetry breaking

# GAM/EGAM equation in local limit

- Equation for  $\delta\phi_0$  with multiple species
  - Global effect can be included [Fu 08, Zonca 08, Qiu 10]; here in Fourier space [Lu 18, BAE]

$$D_{ln} \equiv \sum_{s=i,f} \frac{e_s n_s}{T_s} \left[ -\frac{k_{\perp}^2 \rho_{ts}^2}{2} - \frac{\omega_{dts}^2}{4\omega^2} \sum_{\sigma=\pm 1} H_{\sigma,s} \right] + \sum_{\sigma=\pm 1} \frac{1}{4\bar{D}_{\sigma}} \left( \sum_{s=i,f} \frac{e_s n_s}{T_s} \frac{\omega_{dts}}{\omega} N_{\sigma,s} \right)^2 .$$

- Mode structure  $\delta\phi_{\pm 1}$ 

$$\bar{D}_1 \delta\phi_1 = -\frac{ie^{-i\theta_r}}{2} \left( \sum_{s=i,f} \frac{e_s n_s}{T_s} \frac{\omega_{dts}}{\omega} N_{1,s} \right) \delta\phi_0 ,$$

$$\bar{D}_{-1} \delta\phi_{-1} = \frac{ie^{i\theta_r}}{2} \left( \sum_{s=i,f} \frac{e_s n_s}{T_s} \frac{\omega_{dts}}{\omega} N_{-1,s} \right) \delta\phi_0 ,$$

- For GAM w/o EPs:  $\delta\phi_1 + \delta\phi_{-1} \propto \sin \theta$
- EPs  $\rightarrow$  mode structure symmetry breaking

D, H, N: non-adiabatic response function; thanks for GFLDR (Fulvio), LIGKA (Philipp)

# Linear solution of GAM/EGAM

- GAM/EGAM pair (A&B) and mode structure symmetry breaking
  - Shifted Maxwellian for EPs
  - As EP density increases, one mode becomes destabilized; similar to results using double shifted Maxwellian EPs [D. Zarzoso, NF14]
  - Analytical continuation: from plasma Z function to D, N, H

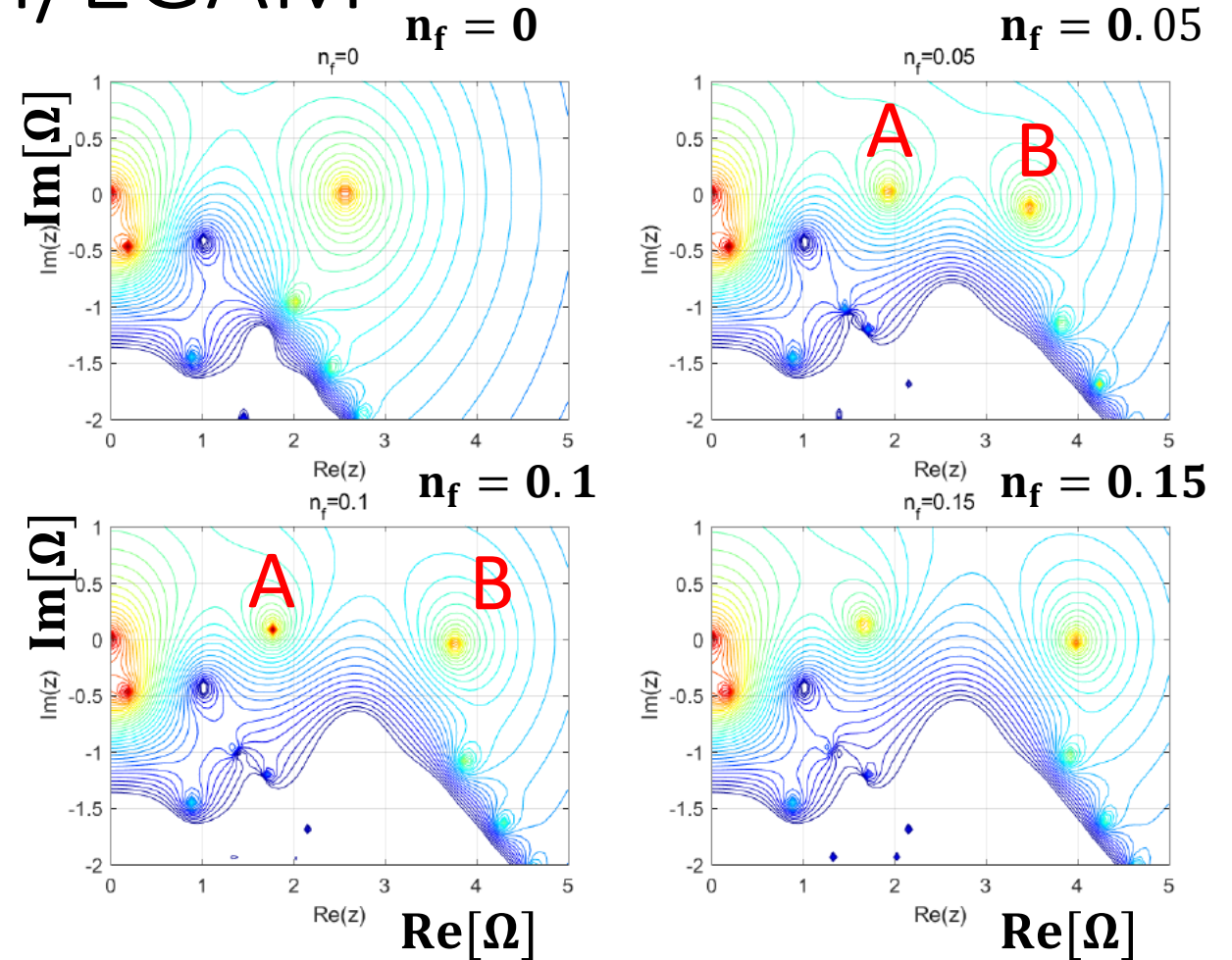
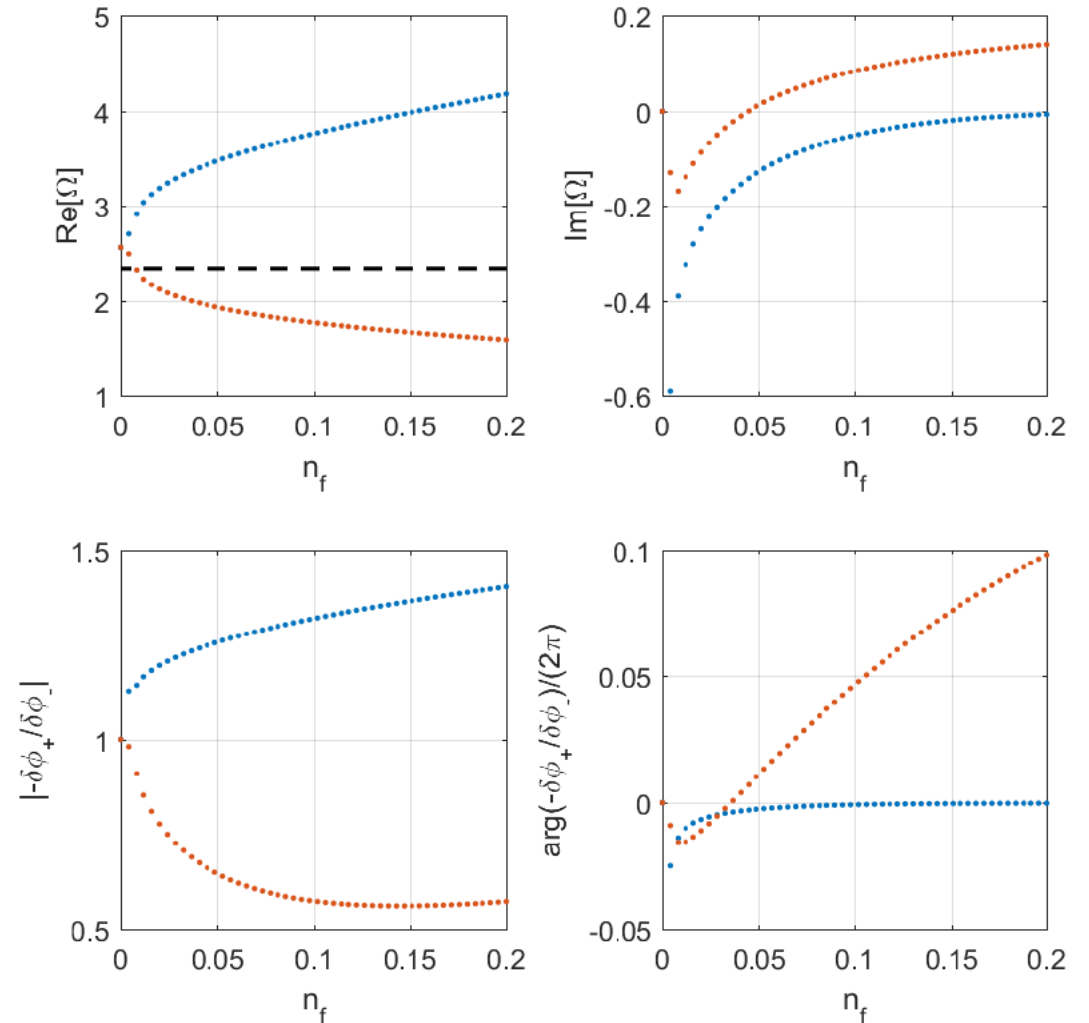


Figure 1. GAM and EGAM for different values of  $n_f$ .

# Symmetry breaking of GAM/EGAM

- GAM/EGAM pair and mode structure symmetry breaking
- $|\delta\phi_1/\delta\phi_{-1}|$  deviates from 1 as EP density increases
  - EP's non-perturbative effect
  - What's the consequent momentum transport [Sasaki]?



# Outlook

- Basic AE studies using ORB5: need re-run some cases for wide radial range and better convergence
- ORB5 run with experimental profile (w EP) & numerical equilibrium of DIIID benchmark case in progress; need fix numerical problem
- BAAE simulation: from analytical profiles to DIIID profiles
- Symmetry breaking studies: GAM/EGAM; electrostatic-electromagnetic coupling
- I appreciate your instructive suggestions.