

Fully gyro-kinetic simulations of Alfvén Eigenmodes in LHD and Geodesic Acoustic Modes in non-axisymmetric geometries

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Important Physics to be addressed with gk codes

- fast particle instabilities, redistribution and loss
- W7-X current physics, density profile (low n instabilities ?)

EUTERPE

- a number of electro-magnetic calculations for tokamaks:
(Mishchenko et al. 2005,2014, 2015 ..., Cole et al. 2015, 2018)
- verification and validation of linear EP physics with GTC, ORB5, GYRO, GEM, MEGA, NOVA-K and FAR on DIII-D successful,
(S. Taimourzadeh et al. submitted to Nucl. Fusion)

apply fully gyro-kinetic EUTERPE version to Alfvén eigenmodes in LHD

- low mode number, comparison with resistive MHD (MEGA) and gk-electron-fluid (GTC)
- experimental parameters, realistic equilibrium

Geodesic Acoustic Modes in non-axisymmetric geometries

- GAMs are important in tokamaks → this ER project
- GAMs have been studied in LHD → theory (Sugama), experiment
- Strong damping of GAMs in standard W7-X (large ι) → seen with EUTERPE

- 1 Gyro-kinetic model of the EUTERPE code
- 2 Numerical improvements
- 3 Case description
- 4 Results of MEGA and GTC
- 5 Results of EUTERPE
- 6 Geodesic Acoustic Modes in non-axisymmetric geometries
- 7 Summary

Gyrokinetic equation:

$$\begin{aligned}
 \dot{\mathbf{R}}_s &= v_{\parallel} \mathbf{b} + \frac{m_s}{q_s} \left[\frac{\mu B + v_{\parallel}^2}{BB^*} \mathbf{b} \times \nabla B + \frac{v_{\parallel}^2}{BB^*} (\nabla \times \mathbf{B})_{\perp} \right] + \\
 &- \frac{q_s}{m_s} \langle A_{\parallel} \rangle \left\{ \mathbf{b} + \frac{m_s}{q_s} \frac{v_{\parallel}}{BB^*} [\mathbf{b} \times \nabla B + (\nabla \times \mathbf{B})_{\perp}] \right\} + \\
 &+ \frac{1}{B^*} \mathbf{b} \times \nabla \langle \Psi \rangle
 \end{aligned}$$

$$\begin{aligned}
 \dot{v}_{\parallel,s} &= -\mu \nabla B \cdot \left[\mathbf{b} + \frac{m_s}{q_s} \frac{v_{\parallel}}{BB^*} (\nabla \times \mathbf{B})_{\perp} \right] + \\
 &- \frac{q_s}{m_s} \left\{ \mathbf{b} + \frac{m_s}{q_s} \frac{v_{\parallel}}{BB^*} [\mathbf{b} \times \nabla B + (\nabla \times \mathbf{B})_{\perp}] \right\} \cdot \nabla \langle \Psi \rangle
 \end{aligned}$$

with $B^* = B + \frac{m_s v_{\parallel}}{q_s} \mathbf{b} \cdot (\nabla \times \mathbf{b})$ and $\Psi = \Phi - v_{\parallel} \langle A_{\parallel} \rangle$

Note the notation: $v_{\parallel,s} := \frac{1}{m_s} p_{\parallel,s}$ and $\mu := \frac{v_{\perp}^2}{2B}$

- $\dot{\mathbf{R}}^1$ and v_{\parallel}^1 denote the terms in $\dot{\mathbf{R}}$ and \dot{v}_{\parallel} containing Φ, A_{\parallel} . The remaining terms are denoted $\dot{\mathbf{R}}^0$ and v_{\parallel}^0 .

- Splitting:

$$f_s(\mathbf{R}, v_{\parallel}, \mu) = f_{0,s}(\mathbf{R}, v_{\parallel}, v_{\perp}) + \delta f_s(\mathbf{R}, v_{\parallel}, \mu)$$

- δf equation:

$$\delta \dot{f}_s = -f_{0,s} \left[\dot{\mathbf{R}}_s^1 \frac{\nabla f_{0,s}}{f_{0,s}} + \dot{v}_{\parallel,s}^1 \frac{1}{f_{0,s}} \frac{\partial f_{0,s}}{\partial v_{\parallel}} + \frac{v_{\perp}}{2B} \dot{\mathbf{R}}_s^1 \cdot \nabla B \frac{1}{f_{0,s}} \frac{\partial f_{0,s}}{\partial v_{\perp}} \right]$$

- Maxwellian:

$$f_{0,s} = \frac{n_{0s}(x)}{(2\pi)^{\frac{3}{2}} v_{\text{th},s}^3} e^{-\frac{v_{\parallel}^2 + v_{\perp}^2}{2v_{\text{th},s}^2}}, \quad v_{\text{th},s}^2(x) = \frac{k_B T_s(x)}{m_s}$$

$$\frac{1}{f_{0,s}} \frac{\partial f_{0,s}}{\partial v_{\parallel,\perp}} = -\frac{1}{v_{\text{th},s}^2} v_{\parallel,\perp}, \quad \frac{1}{f_{0,s}} \nabla f_{0,s} = \frac{1}{n_{0s}} \nabla n_{0s} + \left(-3 + \frac{v_{\parallel}^2 + v_{\perp}^2}{v_{\text{th},s}^2} \right) \frac{1}{v_{\text{th},s}} \nabla v_{\text{th},s}$$

Field equations:

- Quasineutrality:

$$\sum_s q_s n_s = 0, \quad n_s = \langle n_s \rangle + \frac{m_s}{q_s} \nabla \cdot \left(\frac{n_{0s}}{B^2} \nabla_{\perp} \Phi \right)$$

- Adiabatic electrons:

$$n_e = \frac{|e| n_{0e}(x)}{k_B T_e} (\Phi - \bar{\Phi})$$

- Ampère's law:

$$-\frac{1}{\mu_0} \nabla_{\perp}^2 A_{\parallel} + \sum_s \frac{q_s^2}{m_s} \mathcal{S}[A_{\parallel}] = \sum_s \langle j_{\parallel, s} \rangle$$

with $\mathcal{S}[A_{\parallel}] := \int \langle f_0 \langle A_{\parallel} \rangle \rangle dW$.

Note: In the code the approximation $\mathcal{S}[A_{\parallel}] \approx n_{0s} A_{\parallel}$ is used, giving

$$-\frac{1}{\mu_0} \nabla_{\perp}^2 A_{\parallel} + \sum_s n_{0s} \frac{q_s^2}{m_s} A_{\parallel} = \sum_s \langle j_{\parallel, s} \rangle$$

- The corresponding perturbed equations of motion are

$$\dot{\mathbf{R}}^{(1)} = \frac{\mathbf{b}}{B_{\parallel}^*} \times \nabla \langle \phi - v_{\parallel} A_{\parallel}^{(s)} - v_{\parallel} A_{\parallel}^{(h)} \rangle - \frac{q}{m} \langle A_{\parallel}^{(h)} \rangle \mathbf{b}^*$$

$$\dot{v}_{\parallel}^{(1)} = -\frac{q}{m} \left[\mathbf{b}^* \cdot \nabla \langle \phi - v_{\parallel} A_{\parallel}^{(h)} \rangle + \frac{\partial}{\partial t} \langle A_{\parallel}^{(s)} \rangle \right] - \frac{\mu}{m} \frac{\mathbf{b} \times \nabla B}{B_{\parallel}^*} \cdot \nabla \langle A_{\parallel}^{(s)} \rangle$$

- An equation for $\partial A_{\parallel}^{(s)} / \partial t$ is needed

$$\frac{\partial}{\partial t} A_{\parallel}^{(s)} + \mathbf{b} \cdot \nabla \phi = 0$$

- Ampere's law takes the form

$$\left(\sum_{s=i,e,f} \frac{\hat{\beta}_s}{\rho_s^2} - \nabla_{\perp}^2 \right) A_{\parallel}^{(h)} - \nabla_{\perp}^2 A_{\parallel}^{(s)} = \mu_0 \sum_{s=i,e,f} j_{\parallel 1s}$$

- At the end of each time step, redefine the magnetic potential splitting:

$$A_{\parallel(\text{new})}^{(s)}(t_i) = A_{\parallel}(t_i) = A_{\parallel(\text{old})}^{(s)}(t_i) + A_{\parallel(\text{old})}^{(h)}(t_i)$$

- As a consequence, redefine $A_{\parallel(\text{new})}^{(h)}(t_i) = 0$
- The new mixed-variable distribution function must coincide with its symplectic-formulation counterpart (pullback).

$$f_{1s(\text{new})}^{(m)}(t_i) = f_{1s}^{(s)}(t_i) = f_{1s(\text{old})}^{(m)}(t_i) + \frac{q_s \langle A_{\parallel(\text{old})}^{(h)}(t_i) \rangle}{m_s} \frac{\partial F_{0s}}{\partial v_{\parallel}}$$

- Proceed, explicitly solving the mixed-variable system of equations (1)-(8) at the next time step $t_i + \Delta t$ in a usual way, but using Eqs. (1)-(3) as the initial conditions.
- Perform this rearrangement at each time step.

see:

A Mishchenko, et al. Physics of Plasmas 21 (9), 092110 (2014).

R Kleiber, et al. Physics of Plasmas 23 (3), 032501 (2016)

A Mishchenko, Physics of Plasmas 24 (8), 081206 (2017)

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major progress achieved with:

- Fourier solver successfully tested with pull-back-scheme
- improvement in spline-scheme: parallel gradient discretisation ensures consistency in Fourier space
no parasitic modes
- smoothing at axis of VMEC improved
- interpolation of equilibrium improved
- diagnostics improved (using JULIA and MATLAB)
two modes fit to frequency and growth rate as standard
SVD decomposition with iterated frequency refinement

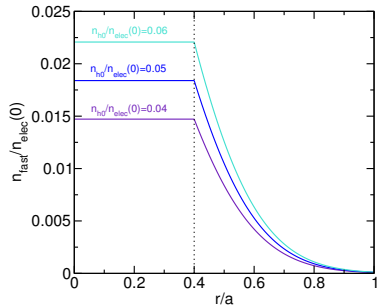
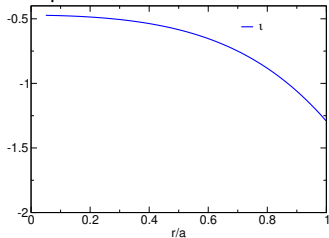
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LHD case with $B_0 = 0.619\text{T}$

$T_i = T_e = 1\text{keV}$, $T_{\text{fast}} = 100\text{keV}$, $R_0 \approx 3.7\text{m}$, $N_p = 10$

$\langle \beta \rangle \approx 3\%$, $\beta_{\text{fast}}(0) \approx 1.3\%$

iota profile:



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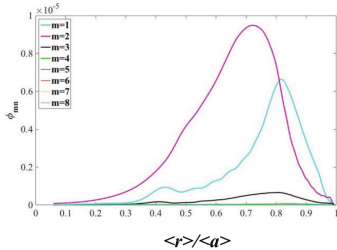
D. A. Spong et al.,
Nucl. Fusion **57** 086018 (2017)

- GTC: 3D EM GK turbulence code
- fluid electron model with $E_{||}$ to lowest order in
- markers are not re-inserted: about 40% fast and 7% of thermal ion markers lost
- Gaussian drop-off the fields for the edge and axis boundary condition
- Fourier mode window $m = 1 \dots 8$, $n = 1$

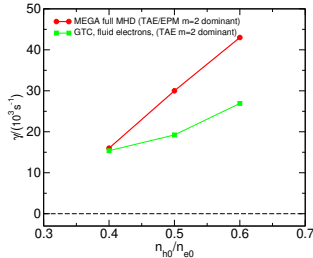
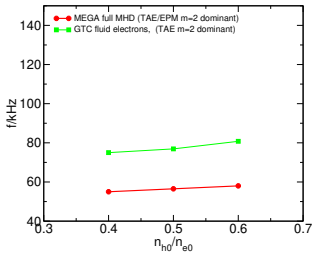
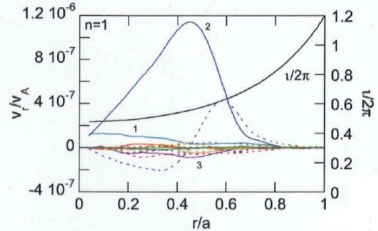
Y. Todo, et al.,
Phys. Plasmas **24**, 081203 (2017)

- MEGA: 3D non-linear resistive MHD code
- +gyro-kinetic fast particles (pressure coupling)
- finite differences, full radius
- numerical resistivity much larger than physical, leads to mode damping

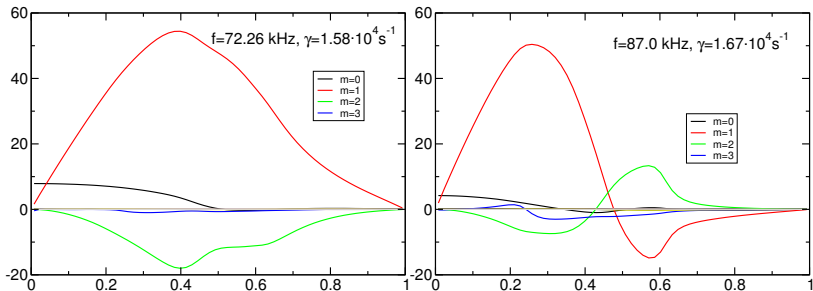
GTC (Spong et al. 2017):



MEGA (Todo et al. 2017):



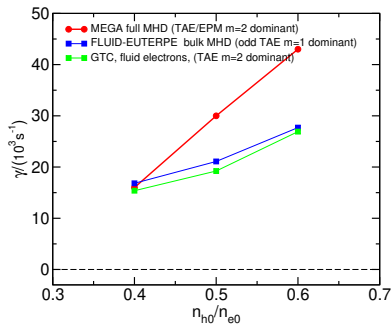
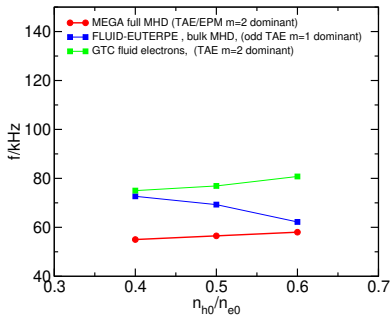
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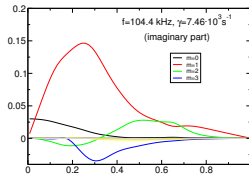
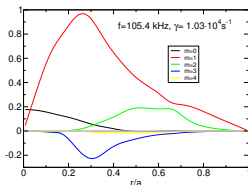
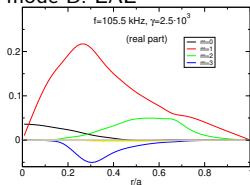
odd TAE, different radial knots

Fluid model:

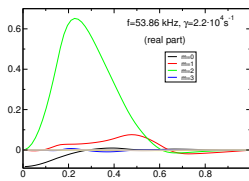
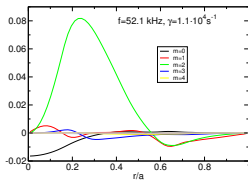
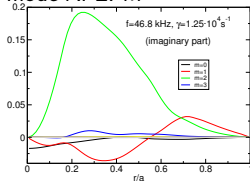
M. Cole, et al. Plasma Physics and Controlled Fusion 57 (5), 054013.
and M. Borchardt, R. Kleiber and A. Mischchenko 2018 (unpublished)



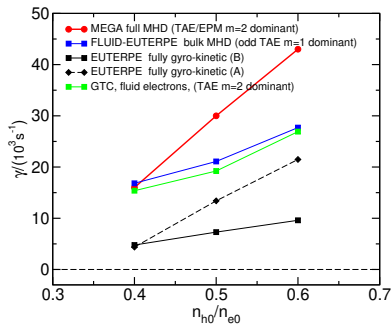
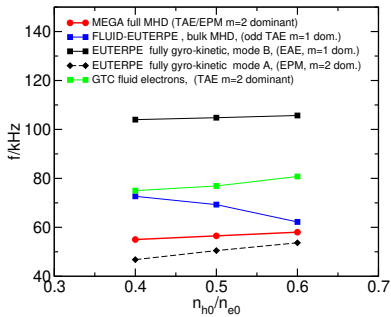
mode B: EAE

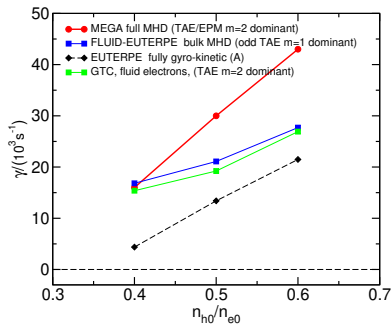
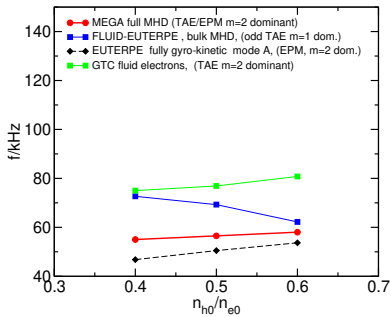


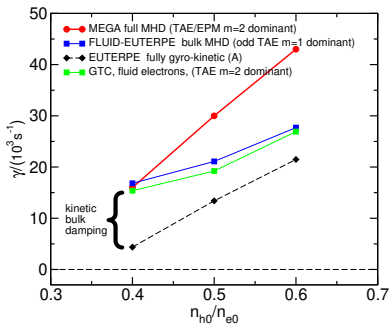
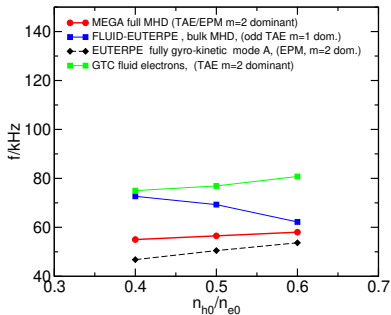
mode A: EPM

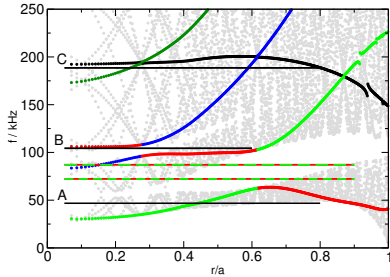


note: the frequency measurement at the SVD decomposition and the growth rate estimation from the mode maximum still deviate









CONTI

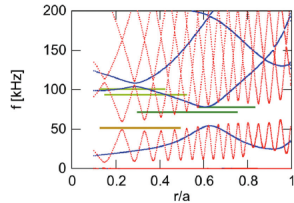


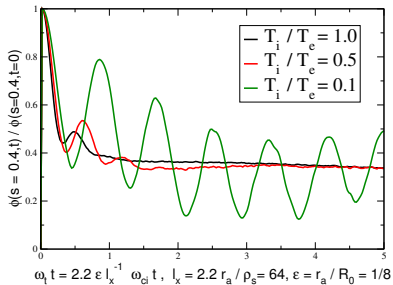
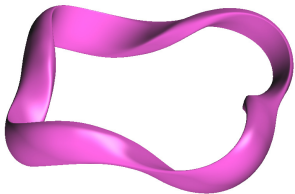
FIG. 5. Alfvén continuous spectra of the toroidal mode number (a) $n=1$ (blue) and (b) $n=11$ (red). The frequency and the spatial location of each AE with frequency $f=52, 72, 78, 94, 101$ kHz are shown with a horizontal line.

STELLGAP

with slow sound approximation

Alfvén Eigenmodes in LHD

- numerically clean result for $n = -1$
- fully gyro-kinetic results reveal two competing modes:
EAE ($m = 1$ dominant) and EPM ($m = 2$ dominant) close to the TAE gap
- EPM agrees approximately with MEGA finding, not regraded missing bulk damping in MEGA
- fluid model FLUID-EUTERPE gives odd TAE
- fluid model GTC gives even TAE
- differences to GTC and MEGA – to be attributed to model differences?
- further investigation but overall agreement satisfying

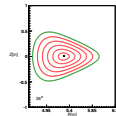
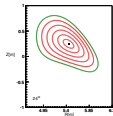
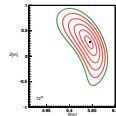
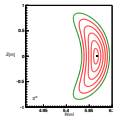
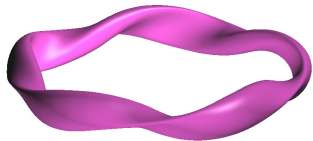


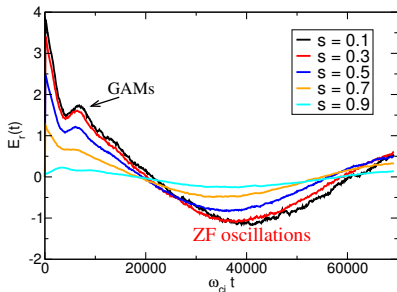
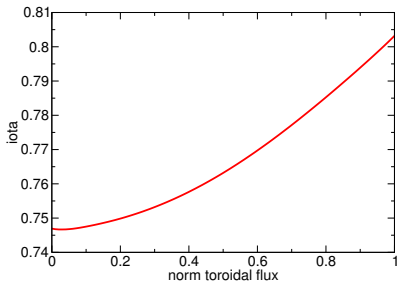
- Low-shear configuration with $\iota = 1/q \approx 1$

- Strong GAM damping:

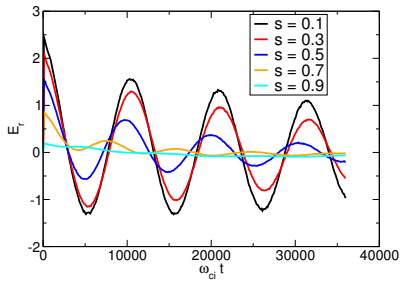
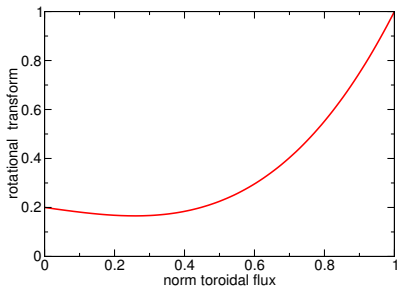
$$\gamma_{GAM} \sim \exp\left(-\frac{q^2 R^2 \omega_{GAM}^2}{v_{Ti}^2}\right), \quad \omega_{GAM}^2 \approx \frac{v_{Ti}^2}{R^2} \left(\frac{7}{4} + \frac{T_e}{T_i}\right)$$

- GAM activity at $T_e \gg T_i$ (similar to OP1.1 W7-X plasma)

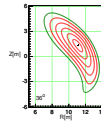
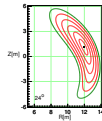
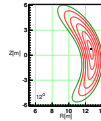
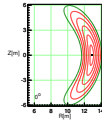
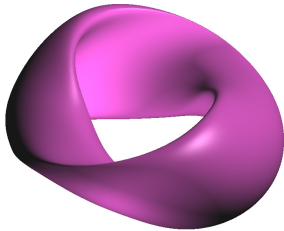


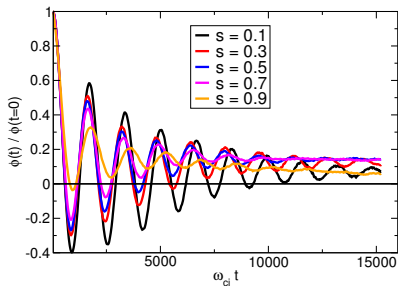
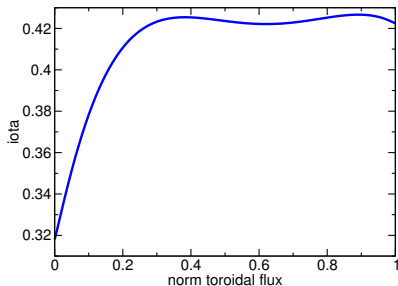


- Rotational transform profile (low-iota OP1.2 finite beta)
- Time traces at different radial positions
- Strong damping of GAMs observed
- Low-frequency zonal flow oscillations



- Modified rotational transform profile (e. g. by ECCD or return currents)
- Time traces at different radial positions
- GAM activity observed
- GAM are weakly damped in the centre (small ι)





- Rotational transform profile
- Time traces at different radial positions
- GAM activity observed
- GAM are weakly damped in the centre (small ν)

EUTERPE can go non-linear now and to W7-X

- numerically clean result for $n = -1$ eigenmodes
- three-dimensional with realistic equilibrium
- fully gyro-kinetic, electromagnetic, global *full* radius
- very low mode numbers including $m = 0, 1, \dots; n = -1$
- no visible pollution with parasitic modes

GAM physics:

- GAMs in HSX for large T_e/T_i ; similar to W7-X OP1.1 conditions
- GAMs W7-X with modified ι profile (e. g. by ECCD or return currents)
- GAMs in QuASDEX (IPP Garching 2030+) for $\rho_* \sim 0.005$