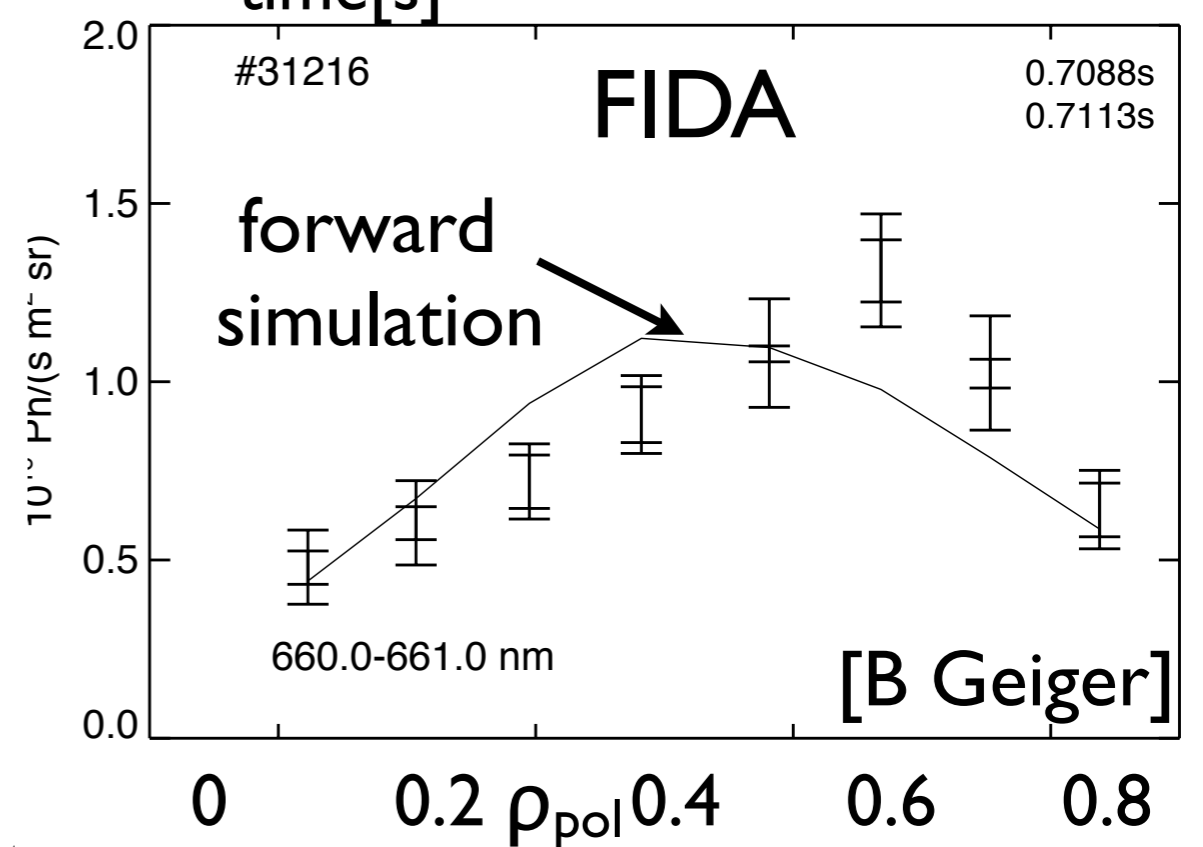
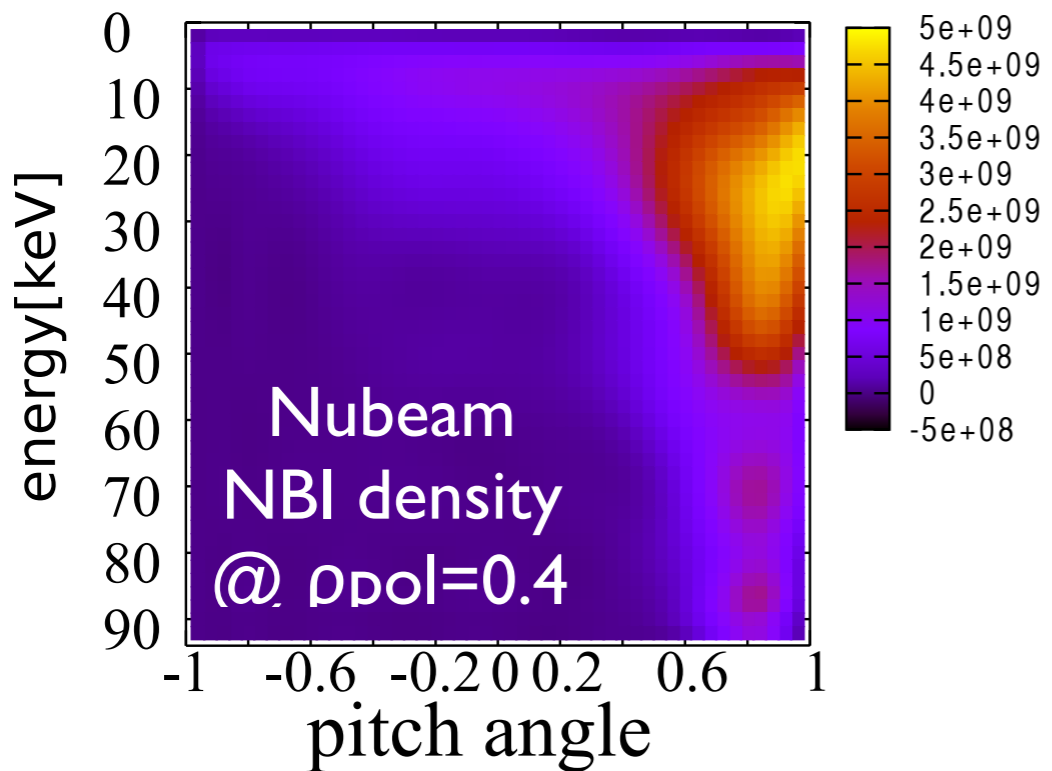
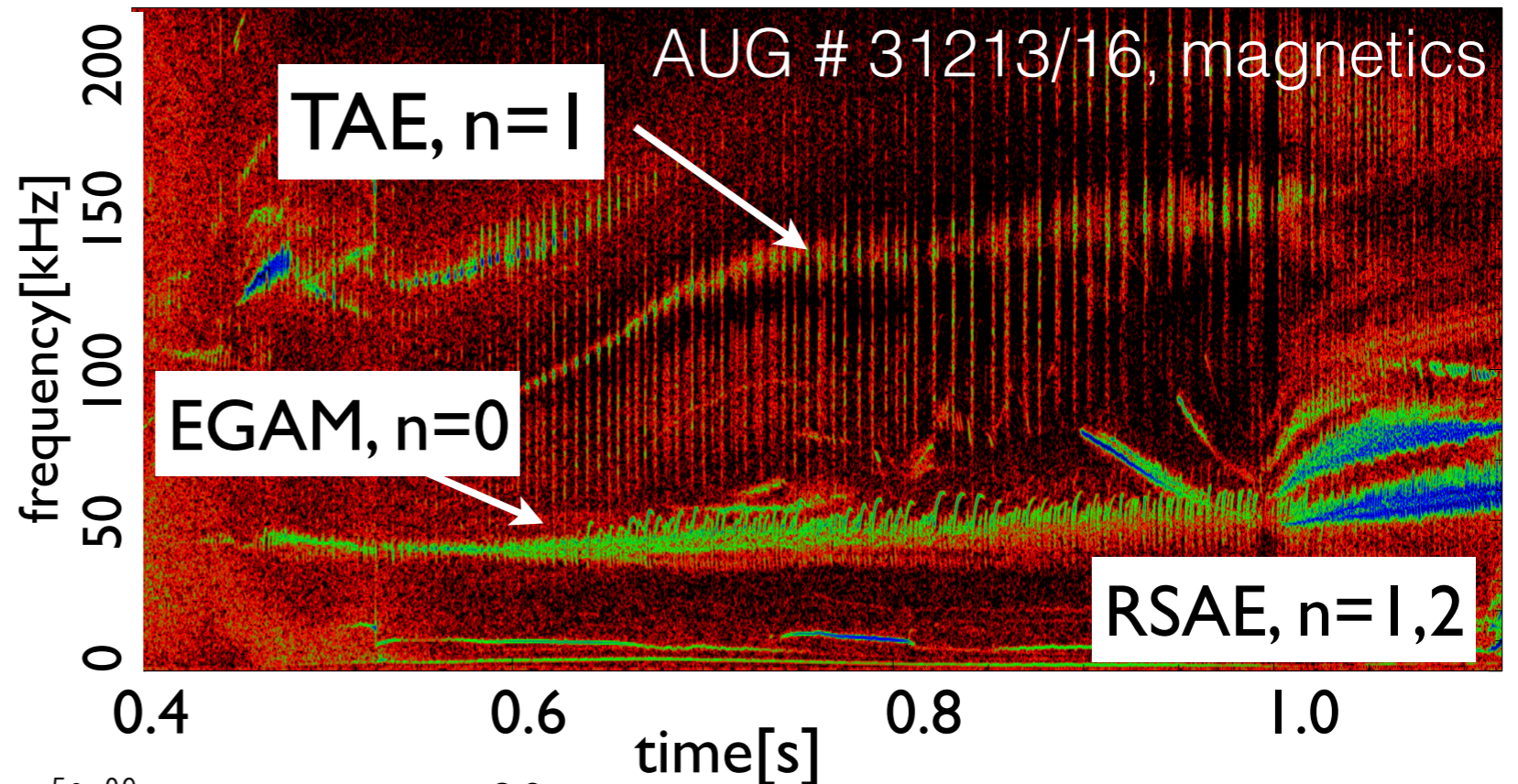
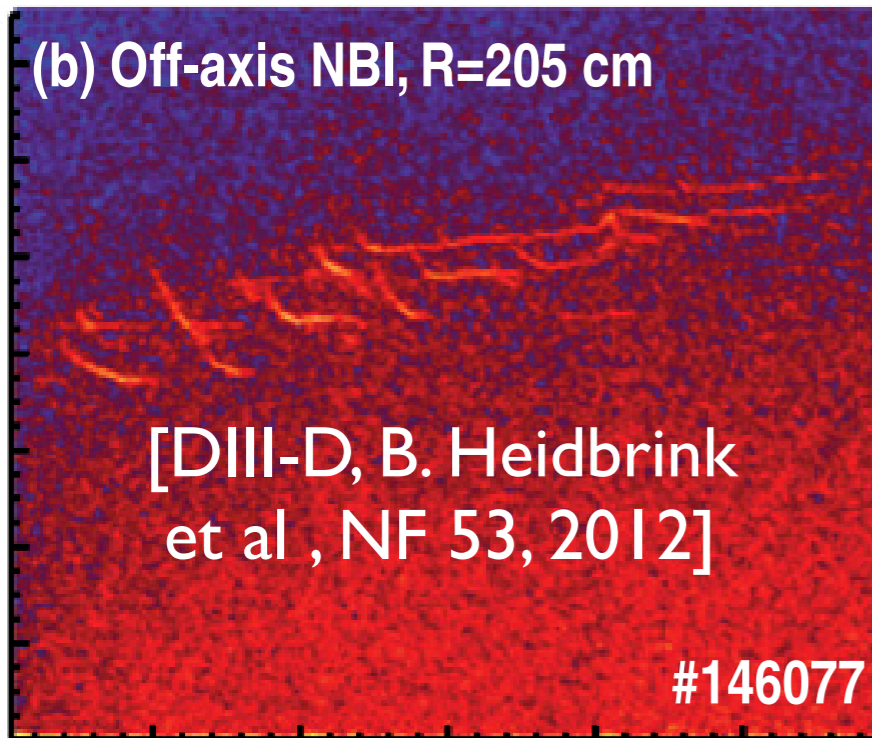
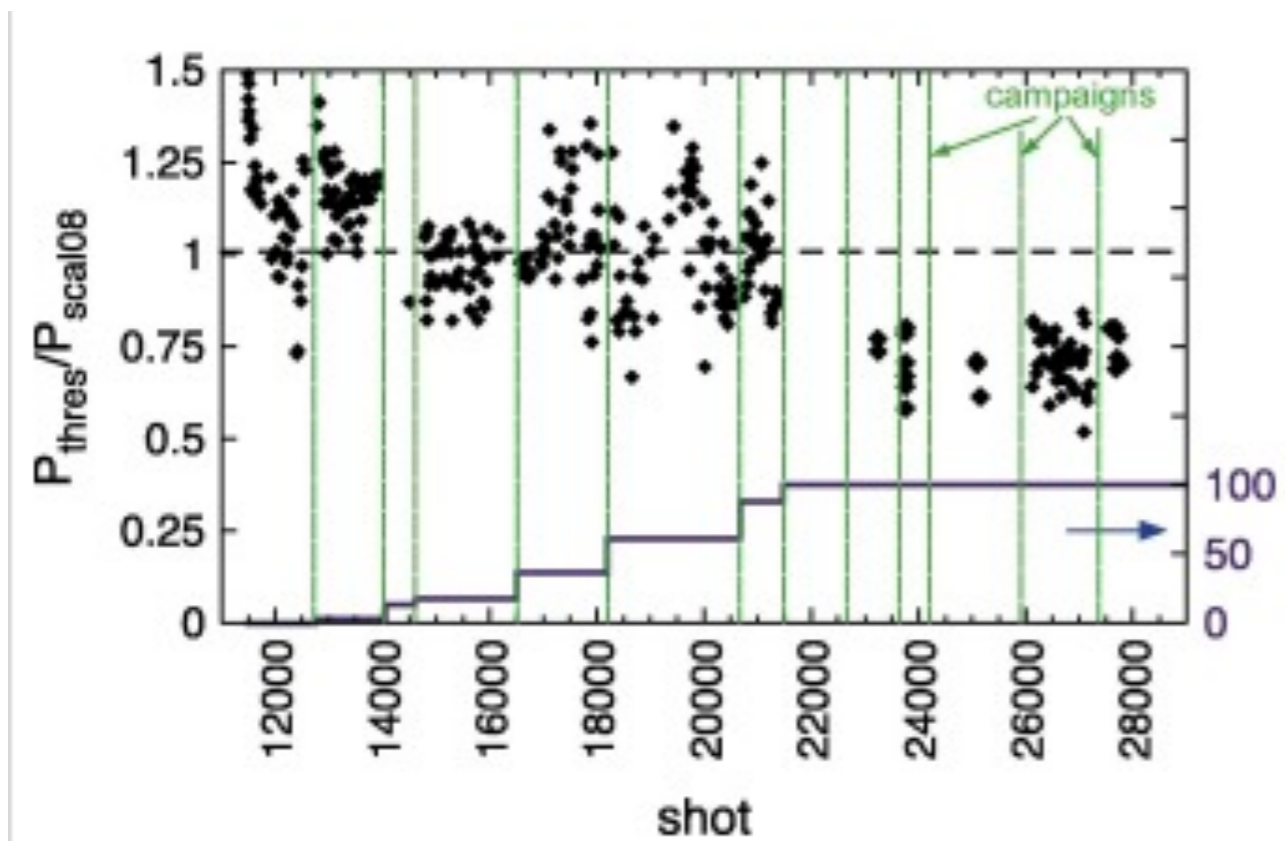


Onset of strongly non-linear energetic particle dynamics at ASDEX Upgrade

Ph. Lauber with input from
I. Chavdarovski, A. Biancalani, M. Schneller, G. Fu,
A. Bierwage, H. Wang, F. Palermo, P. Poloskei, G. Papp, M. Maraschek
and ASDEX Upgrade team





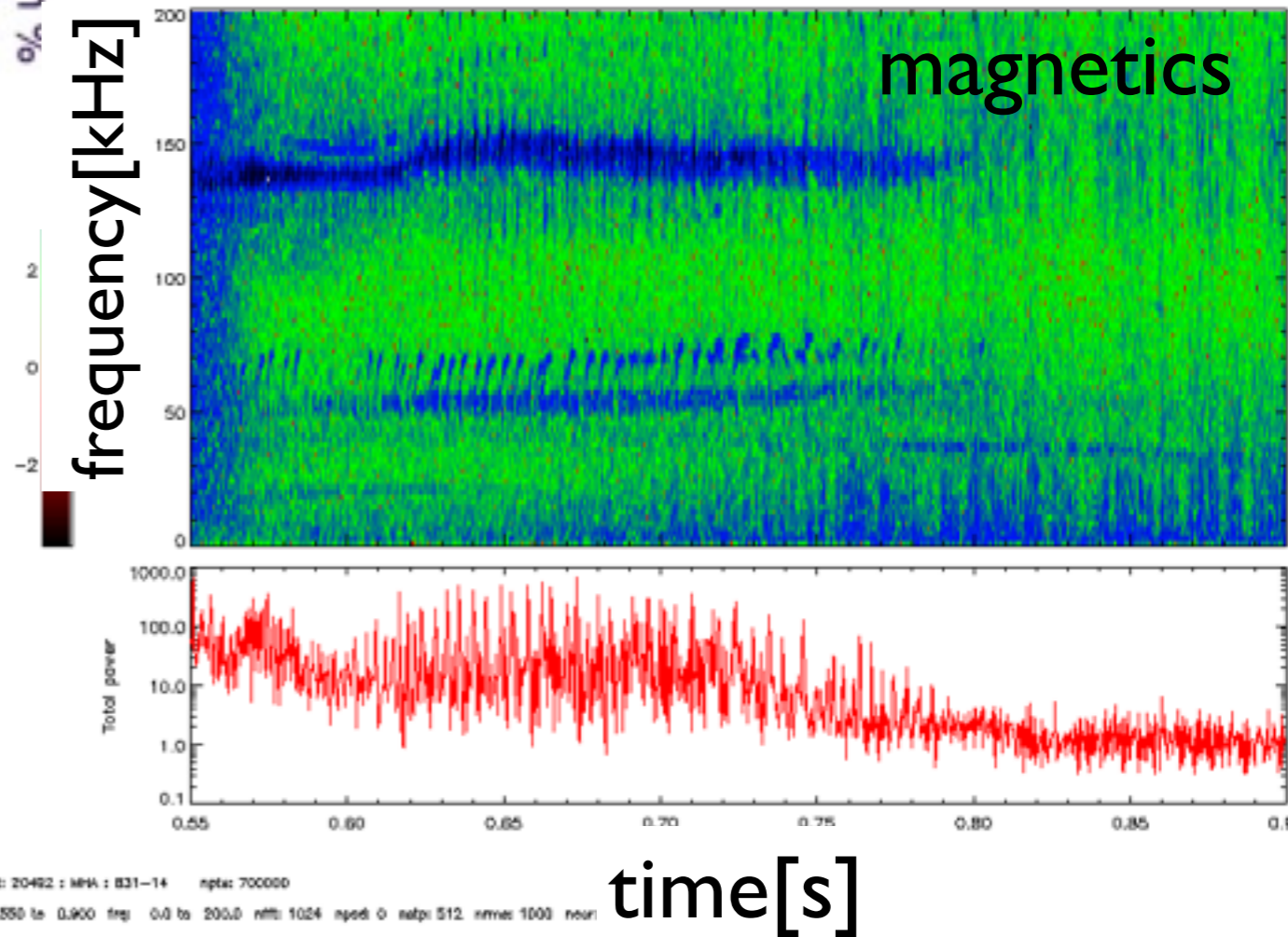
first EGAMs at AUG: #20492: July 2005
 radially localised between $0.1-0.5\rho_{pol}$
 co-NBI, off axis
 frequency close to GAM continuum
 frequency!

Tungsten PFC coverage in %

other devices:

Observation and explanation of the JET n=0 chirping mode (ICRF) [Boswell,Berk, Oct 2006]

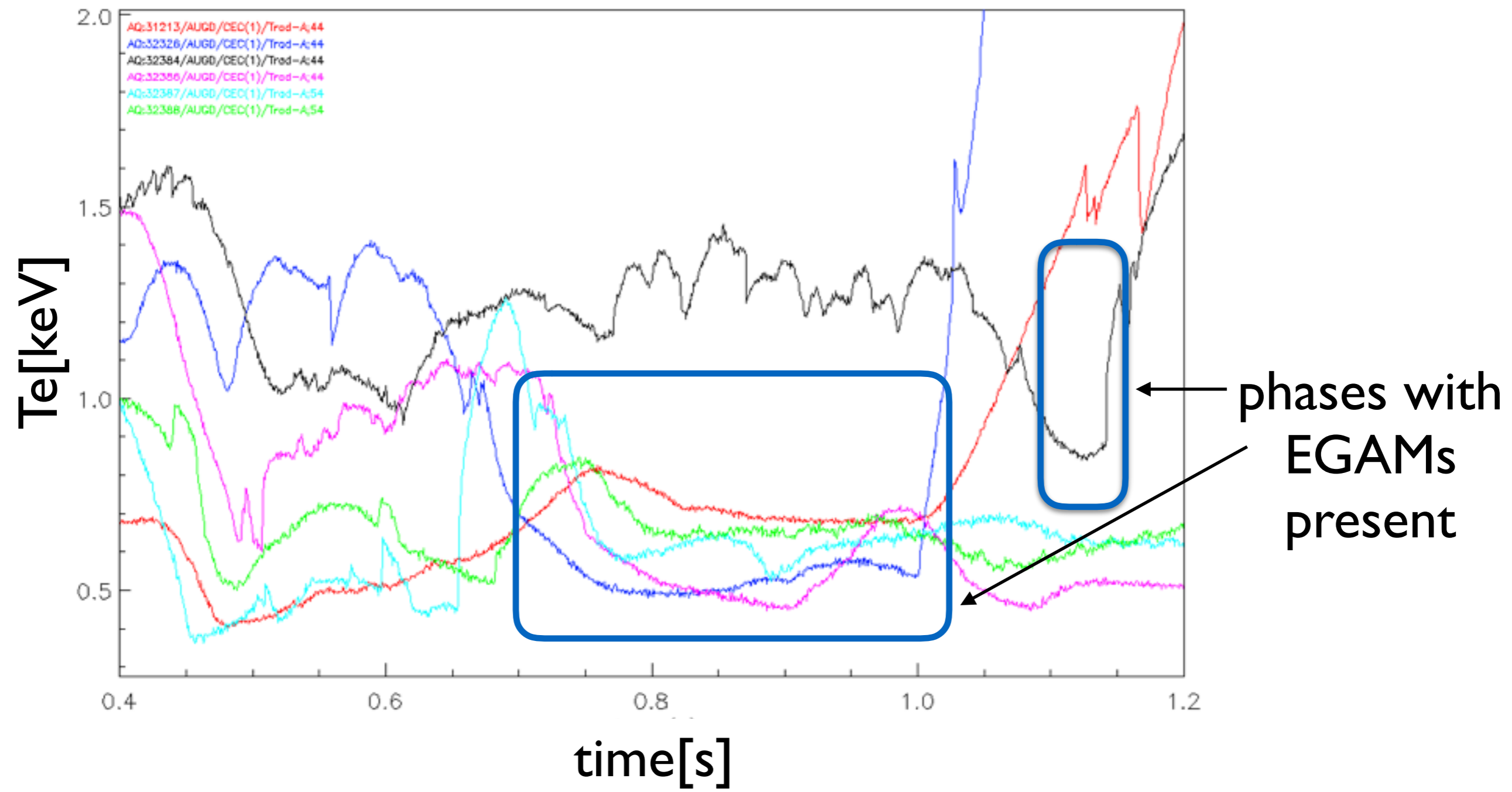
Intense Geodesic Acoustic-like Modes Driven by Suprathermal Ions in a Tokamak Plasma (DIII-D, counter NBI)
 R. Nazikian et al PRL 2008



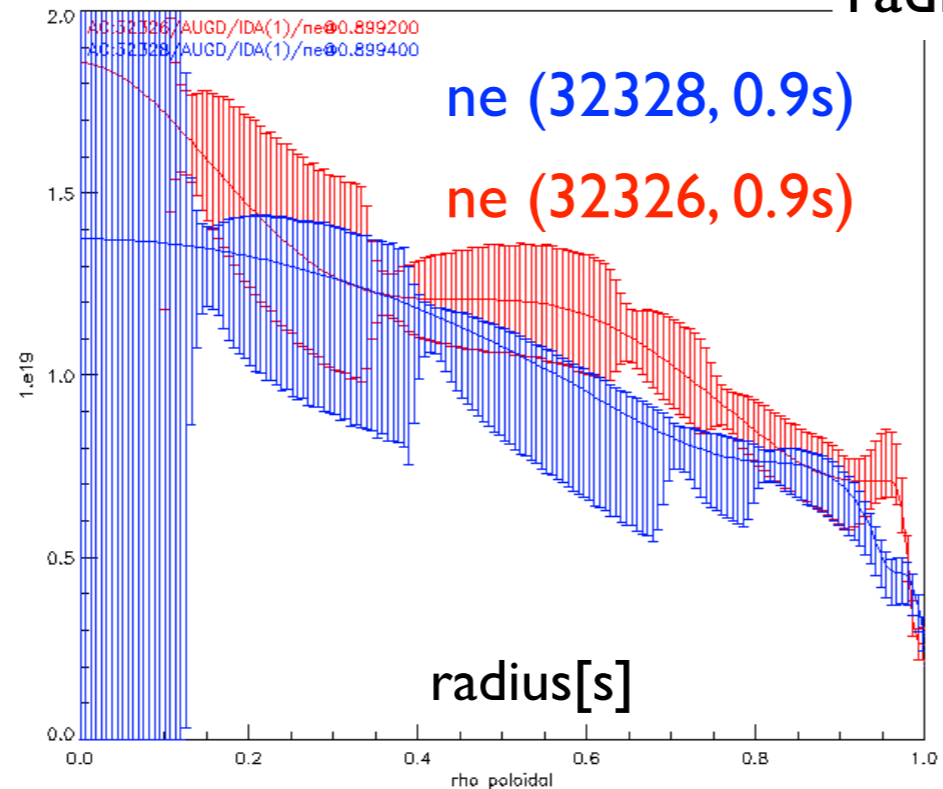
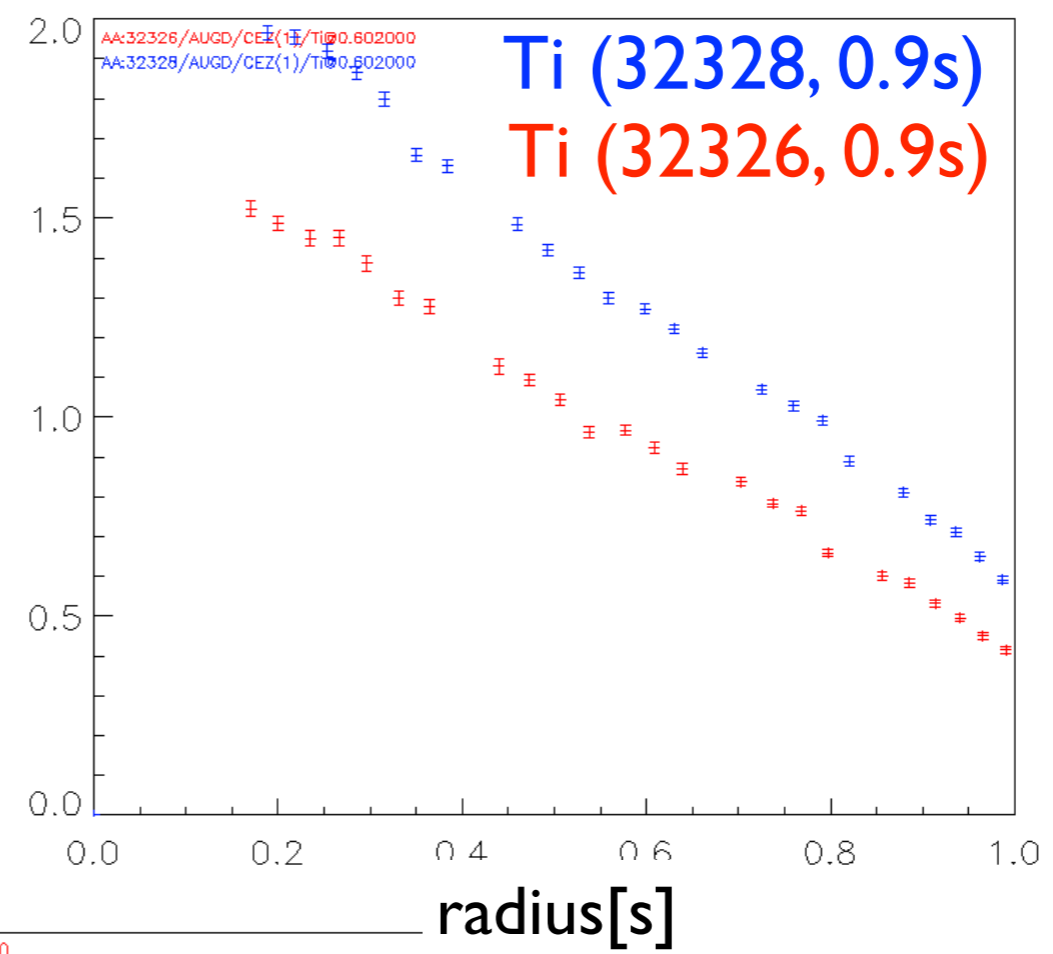
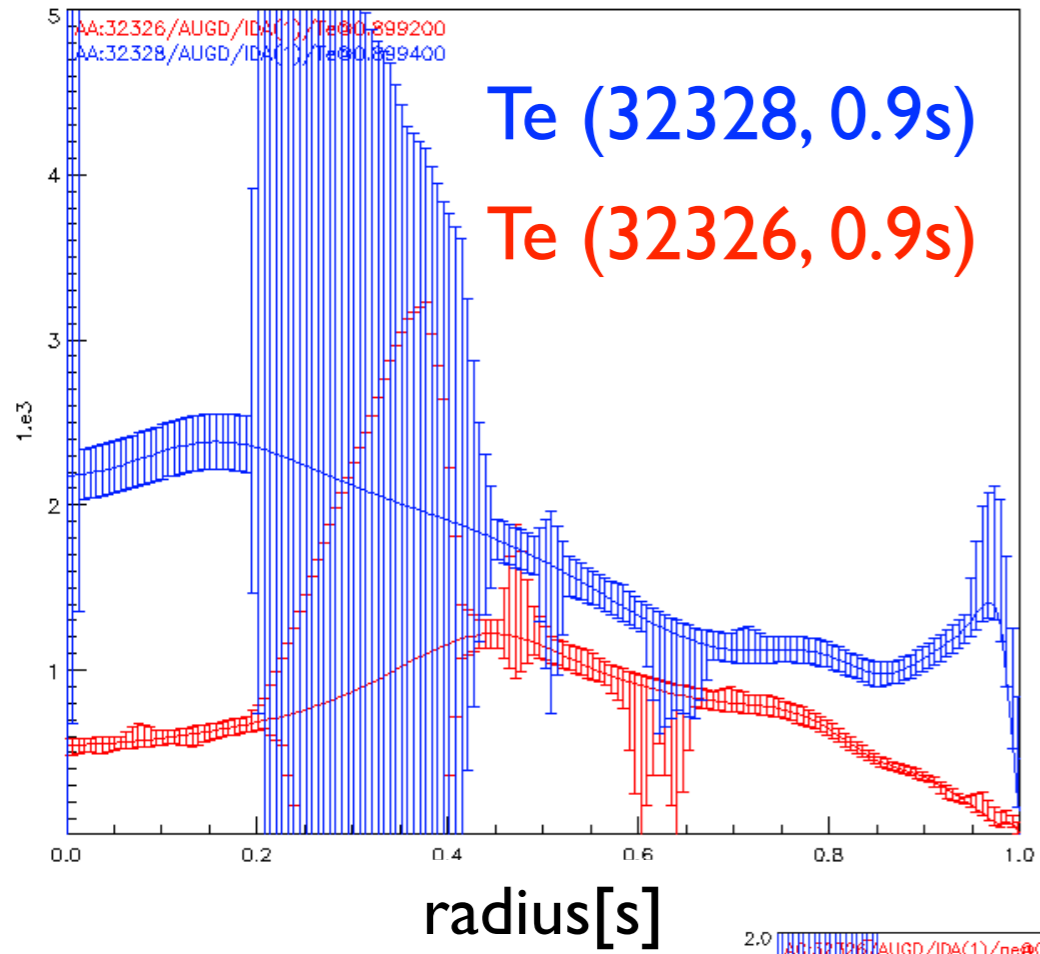
AUG Shot: 20492 : MHA : B31-14 npts: 700000
 Time: 0.550 to 0.900 freq: 0.0 to 200.0 nfft: 1024 npts: 0 nstps: 512 nruns: 1000 nrun:

time[s]

central Te for several EGAM discharges

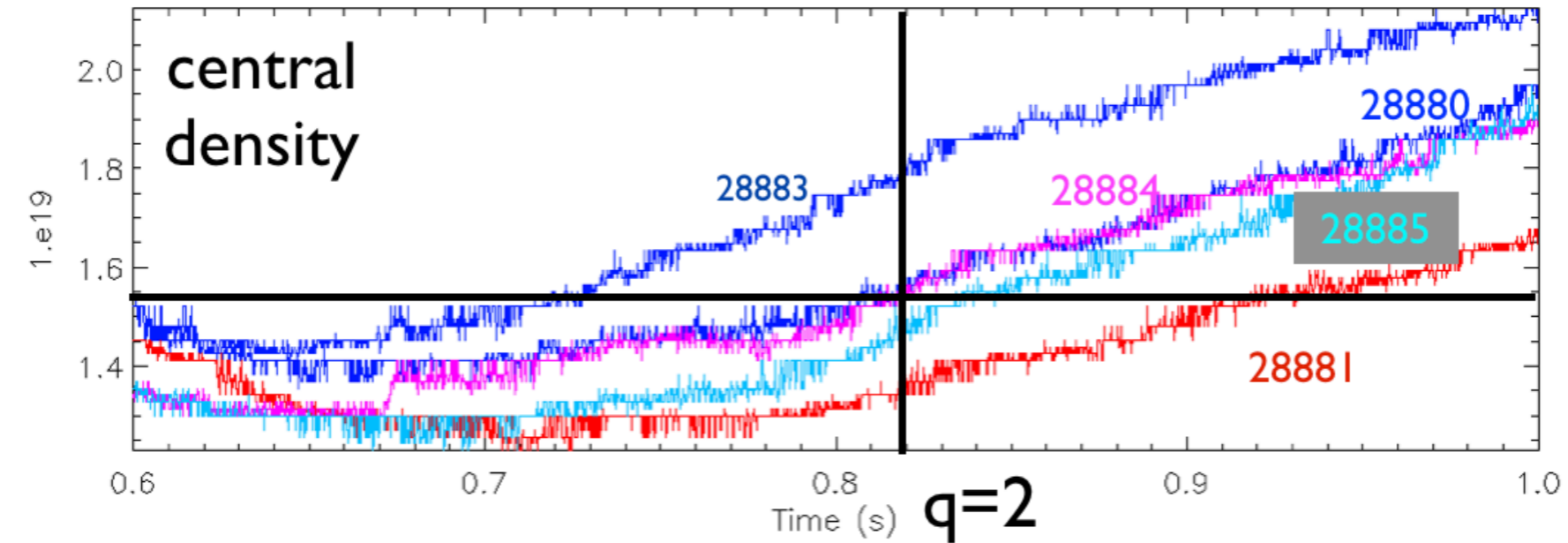


#32326 (NB only, EGAMs, AEs) vs #32328 (NB+ECRH, no EGAMs, AEs) : profiles

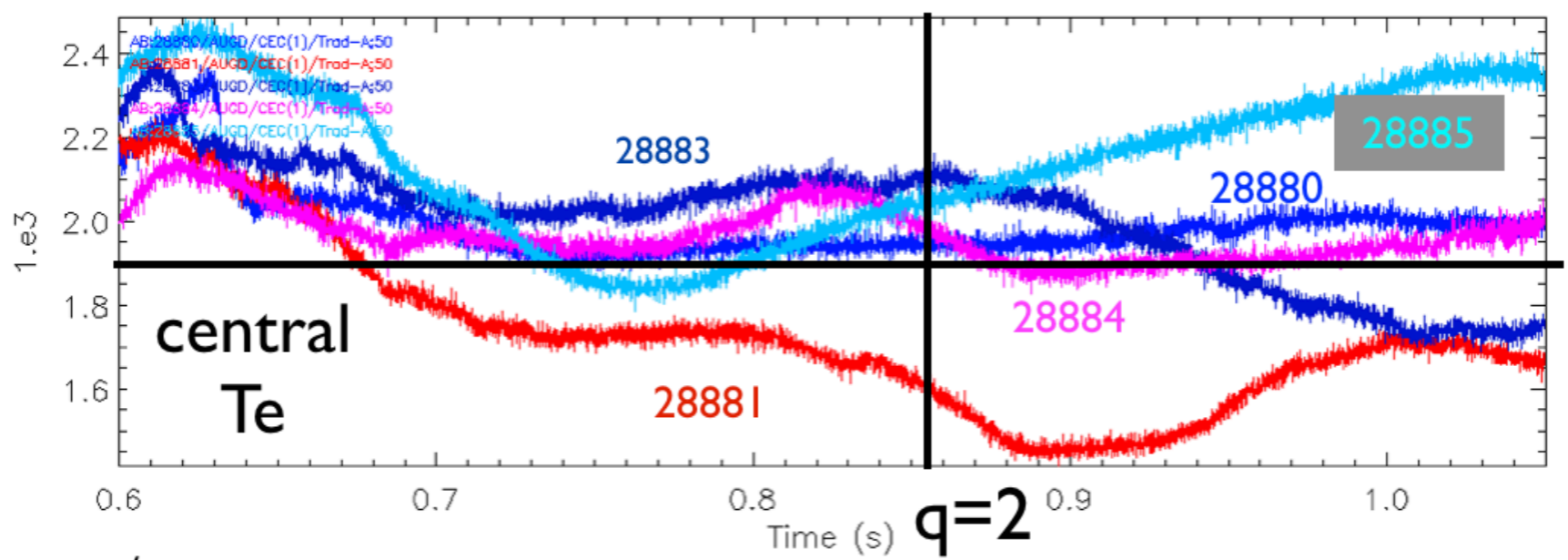


low Te, Ti despite
2.5MW neutral
beam injection
are key ingredients

$$q \geq 2, T_e, T_i < 1.8 \text{keV}$$

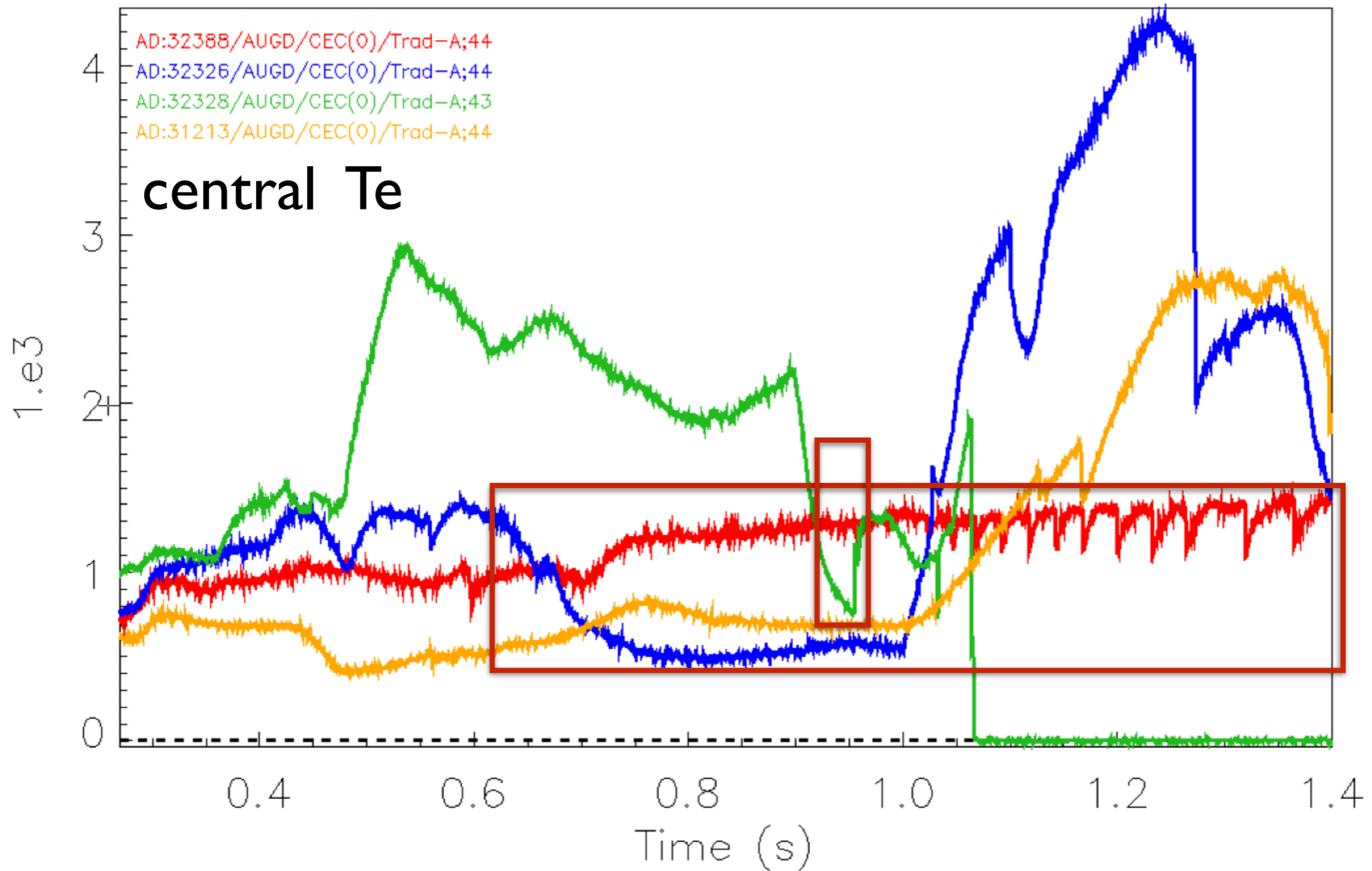


critical density for n=1 BAE

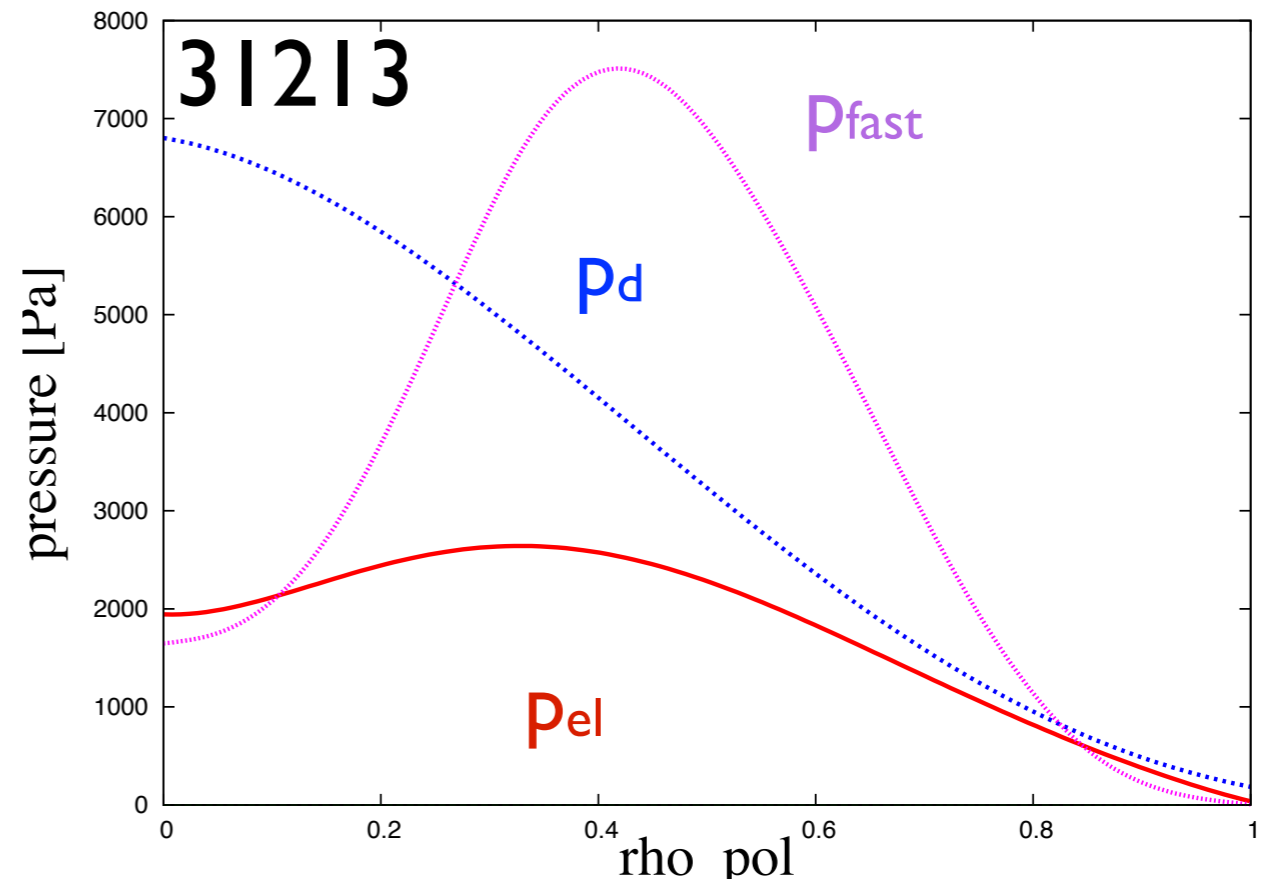
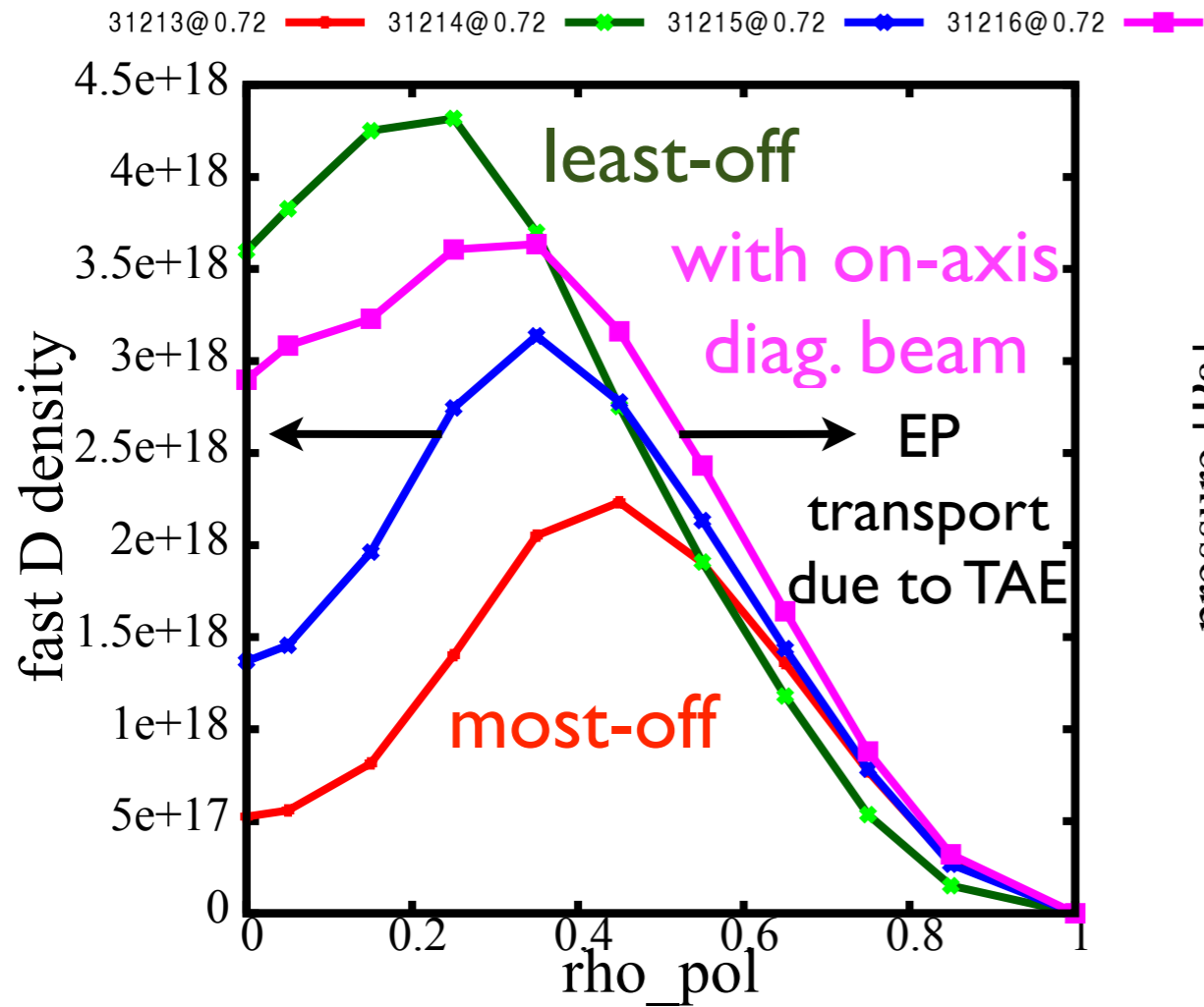


critical temperature for n=0 mode

- $\omega(T_1) = \sqrt{T_1/T_0} \omega(T_0) \rightarrow$ frequency of BAE/GAM varies from shot to shot by about 10% (5kHz)



longer, more stable phases with EGAMs in new experiments



$$p_{EP} \approx p_e + p_d$$

$$\beta_{EP}/\beta_{th} > 1; T_f \sim 90 T_i$$

DEMO-like conditions for these two parameters

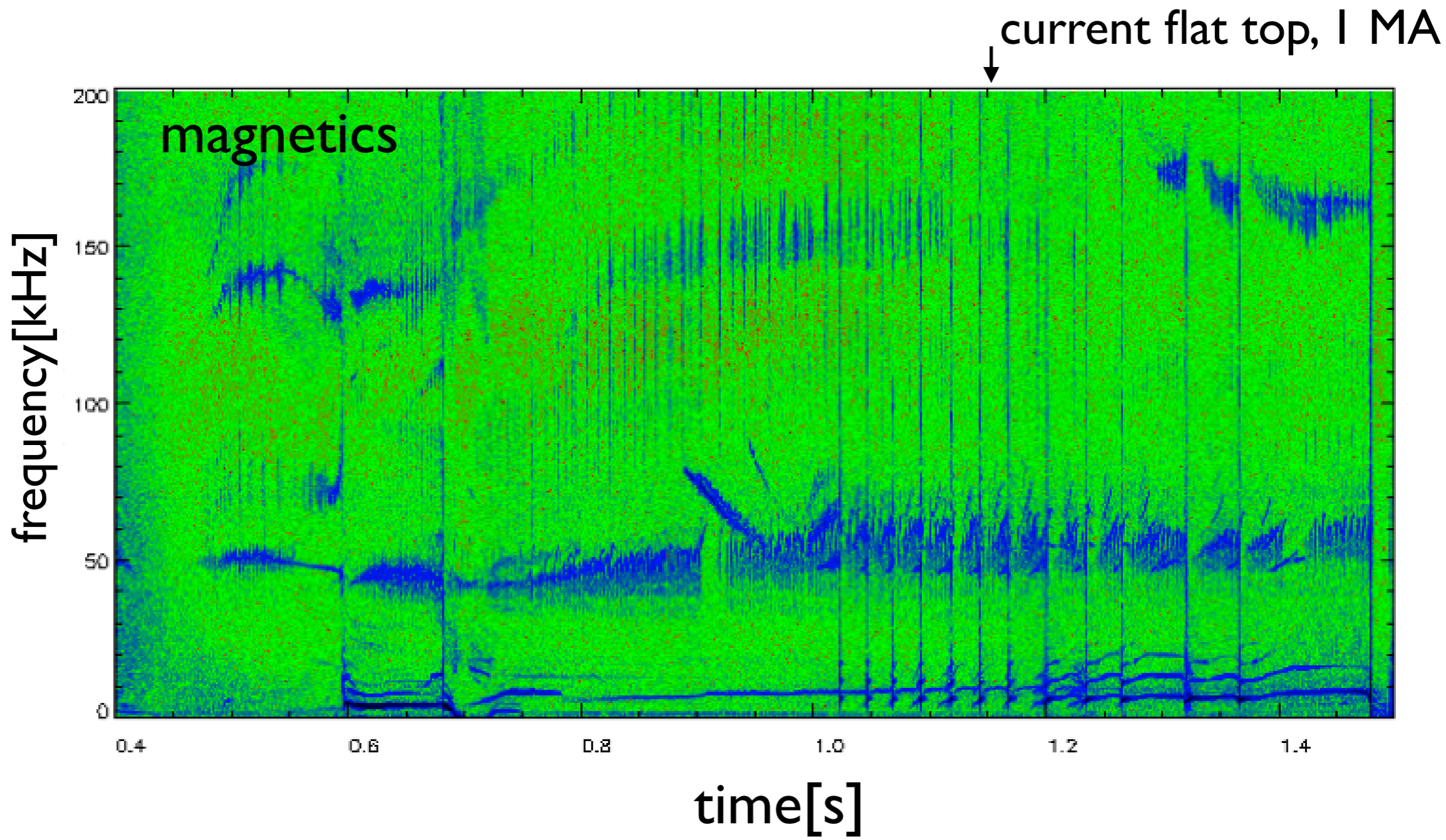
- the accumulation of W in the core decreases the background T_e, T_i and thus reduces the ion Landau damping of AEs and GAMs
- hollow T_e profiles form
- large values of $R_0 \nabla \beta_\alpha / \beta_{\text{back}}$ can arise
- central ECRH can counteract this accumulation; the increased Landau damping (exponential dependence on T_i) brings the system below excitation threshold (#32328) \rightarrow threshold typically $T_i \approx 1.8 \text{keV}$ for $q > 2$
- $E_{\text{max}} / T_{i, \text{th}} \sim 90$ is comparable to burning plasma parameters (ITER/DEMO: $3.5 \text{MeV} / 30 \text{keV}$)
- opens possibility to study experimentally the interaction between Alfvénic modes, EGAMs i.e. zonal structures and background turbulence: due to the EGAM excitation a direct channel from EPs to $n=0$ modes can be investigated

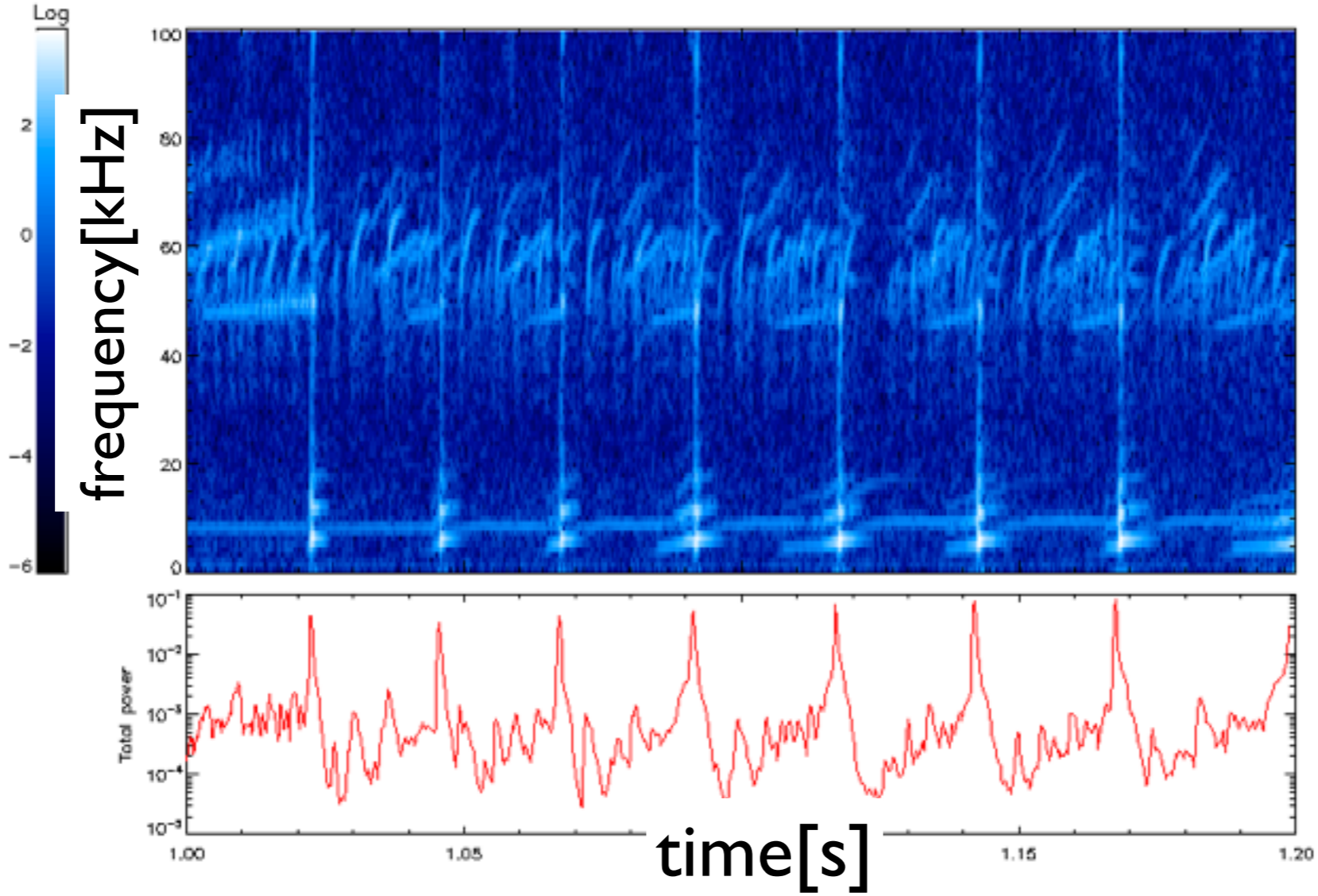
strongly non-linear EP dynamics at AUG (in contrast to DIII-D) for sub-Alfvénic neutral beam injection raises the following questions:

- experimental conditions?
- why can system arrive at state well above marginal stability (critical gradient models would not allow for that state)
- study non-linear evolution of various ES and EM modes
- study interaction between modes
- study interaction with turbulence
- study scenarios that match projected DEMO parameters in β_{fast}/β_{th} and T_{fast}/T_{th}

obtain confidence in models and codes towards understanding the self-organisation of a burning plasma

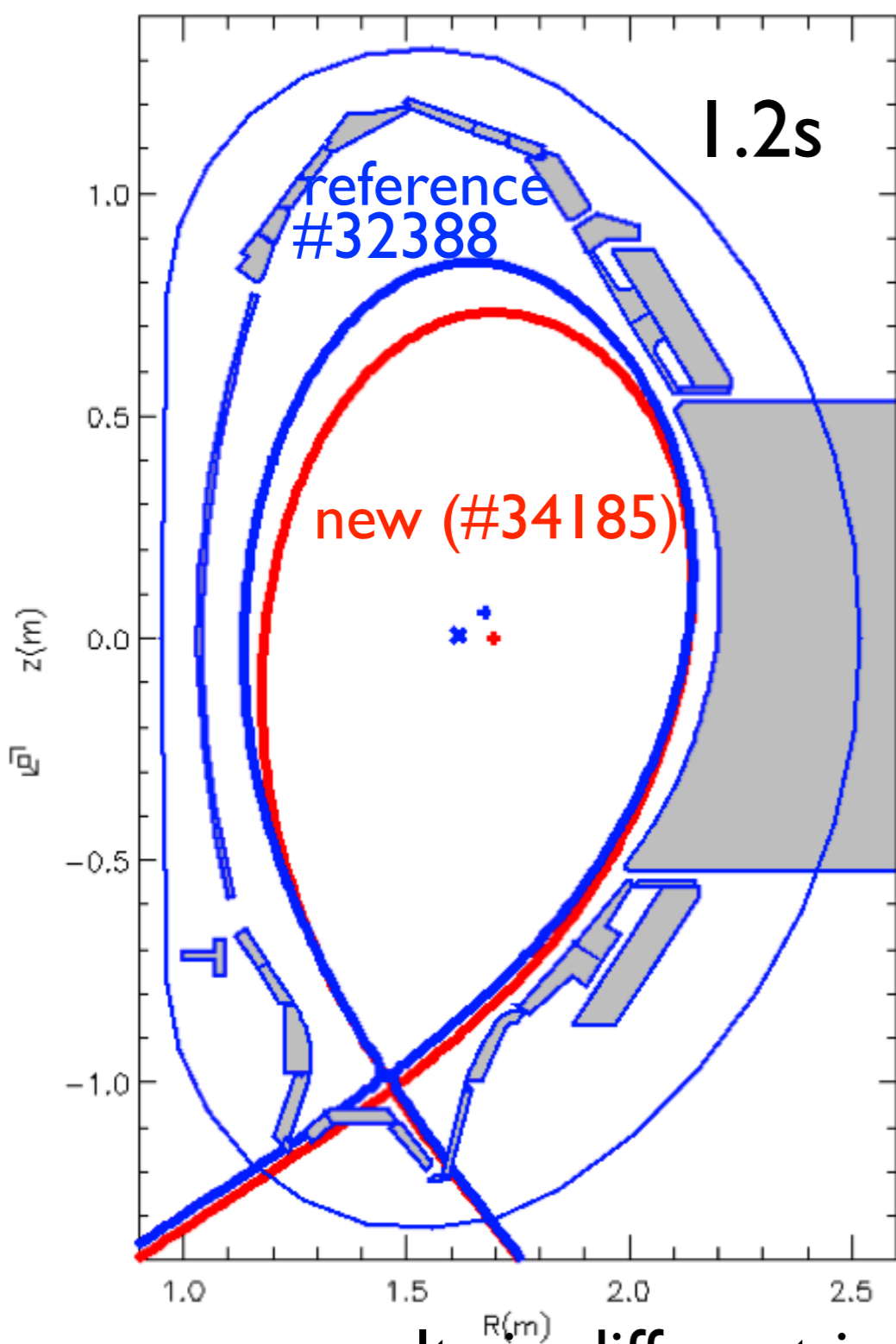
- small density feed-forward: more stable transition through $q=2/q_{\text{edge}}=4$ region
- optimised beam blips for measuring T_i



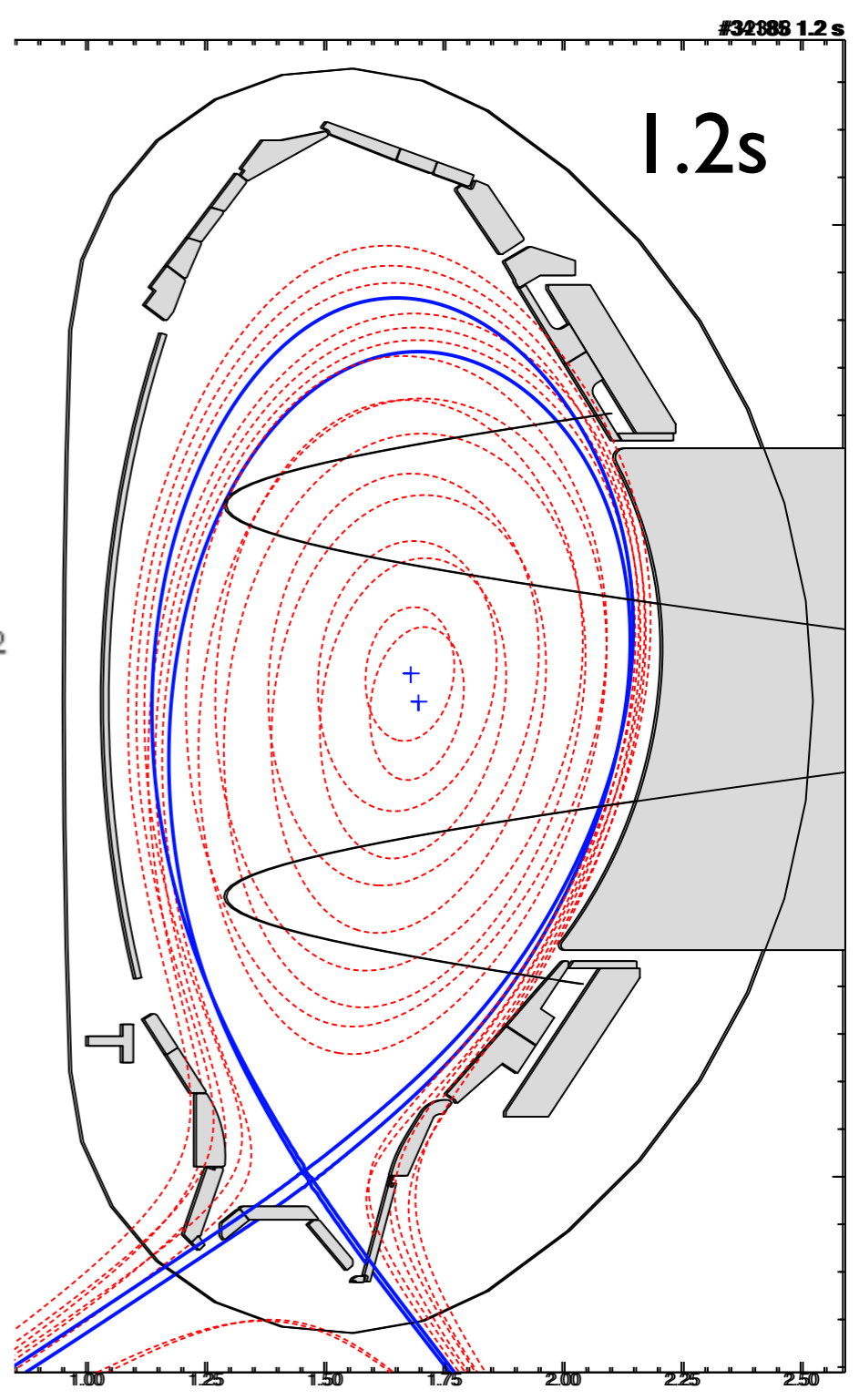


- $q=2$ sawtooth crashes like in 2001-05 JET/JT60U discharges (current holes) - is this how advanced scenarios JT-60SA will look like? [A Bierwage, 2016/17]
- EGAMs persistent during these crashes
- almost no q -dependence of f_{EGAM} is observed
- surprisingly no variation of EGAM onset frequency - constant T_i !

successful change of plasma position and shape after $t > 0.8s$: mainly different NB deposition position



| AUG Flux: ρ_{pol} | AUG Flux: ψ_{pol} |
|---------------------------|---------------------------|
| AUGD/EQI(1) | AUGD/EQI(1) |
| AUGD/EQI(1) | Shot: 34185 |
| Shot: 32388 | Time: 1.200 |
| Time: 1.200 | |
| From GQI | From GQI |
| I_p : 1.02MA | I_p : 1.01MA |
| β_p : 0.21 | β_p : 0.23 |
| ζ_1 : 0.91 | ζ_1 : 0.84 |
| ma: 1.677 : 0.05 | ma: 1.698 : -0.002 |
| cc: 1.619 : 0.007 | cc: 1.640 : -0.063 |
| δ_x : -0.022 | δ_x : -0.082 |
| δ_y : 0.361 | δ_y : 0.389 |
| κ : 1.742 | κ : 1.728 |
| a_{hor} : 0.497m | a_{hor} : 0.478m |
| q_{95} : -3.88 | q_{95} : -3.52 |
| R_{aus} : 2.144m | R_{aus} : 2.142m |
| vol : 13.45m ³ | vol : 12.44m ³ |
| Δ_e : 0.058m | Δ_e : 0.058m |
| z_{gr} : -1.116m | z_{gr} : -1.150m |
| z_{ga} : -1.346m | z_{ga} : -0.801m |

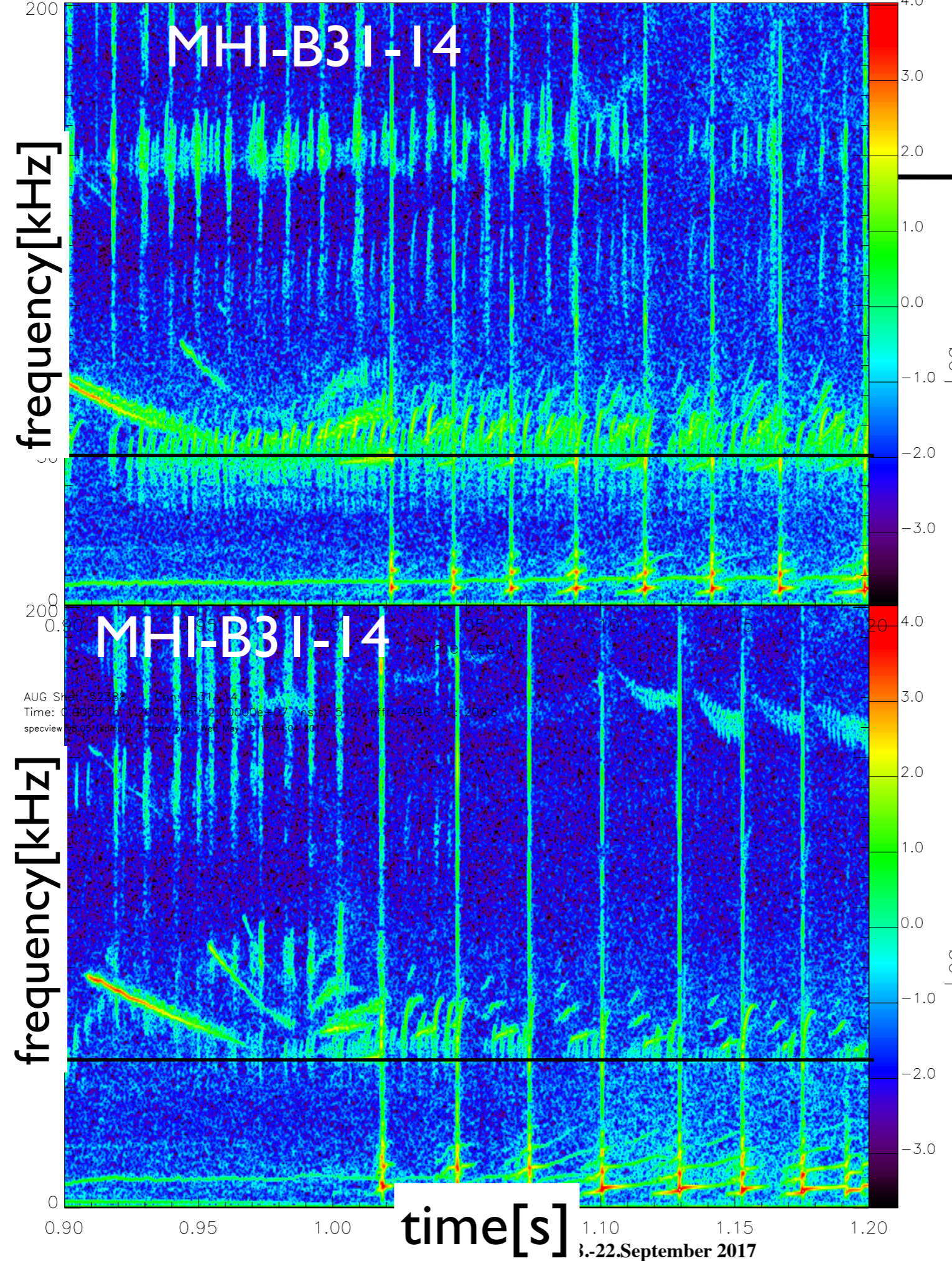


results in different inductance, q-profile, elongation

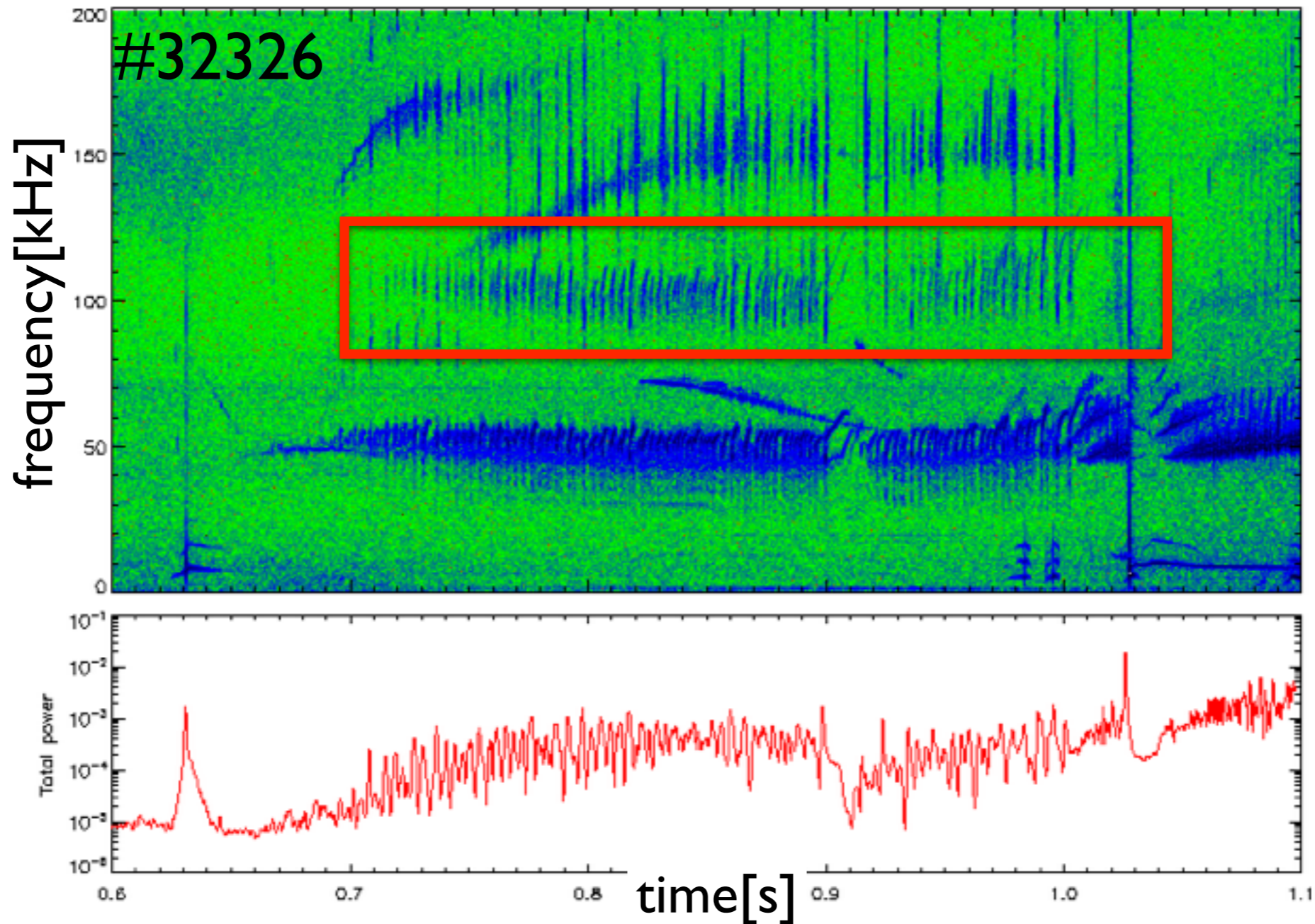
scenario developed further (II)

reference (#32388)

new shape (#34185)



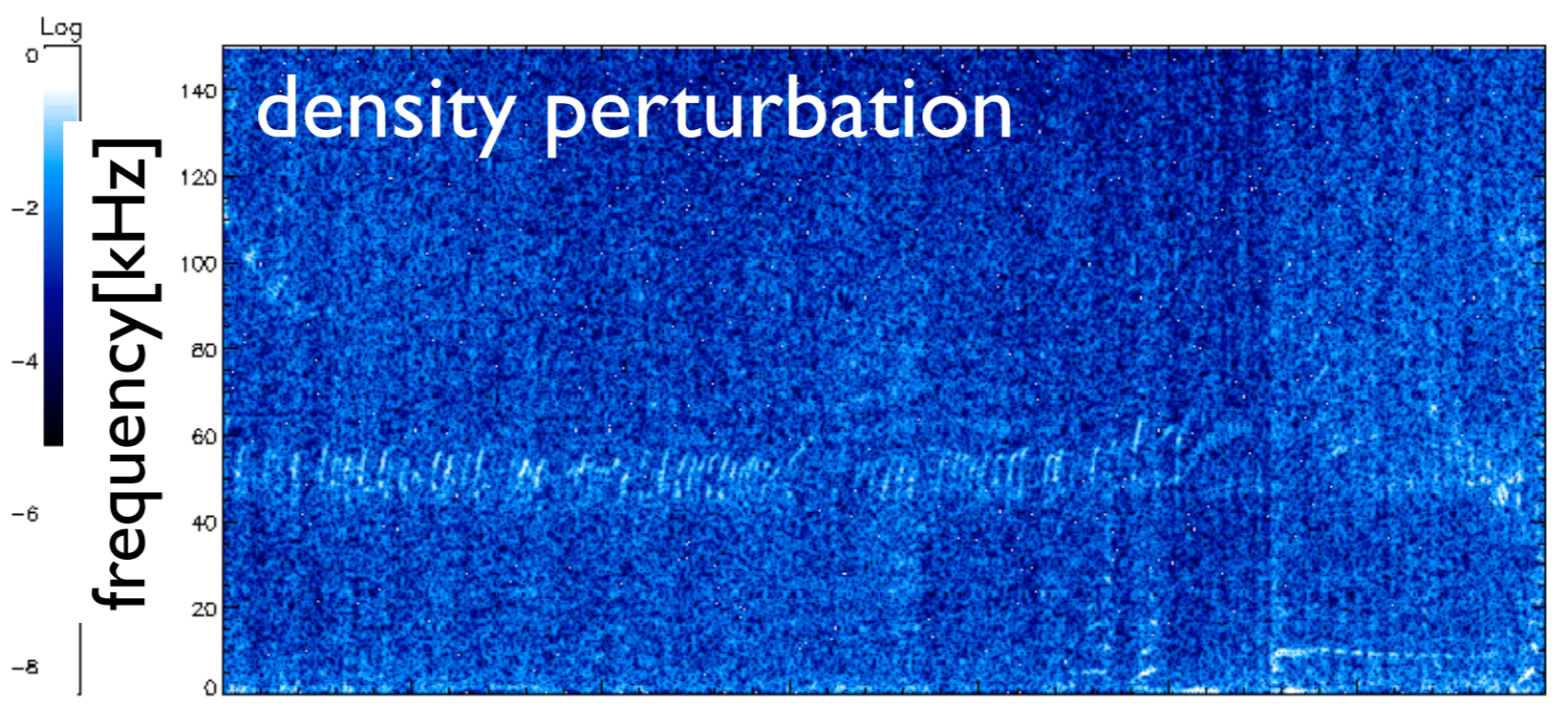
- different dynamics for:
- TAEs
 - EGAMs
 - TAE/EGAM coupling
 - $q=2$ crashes



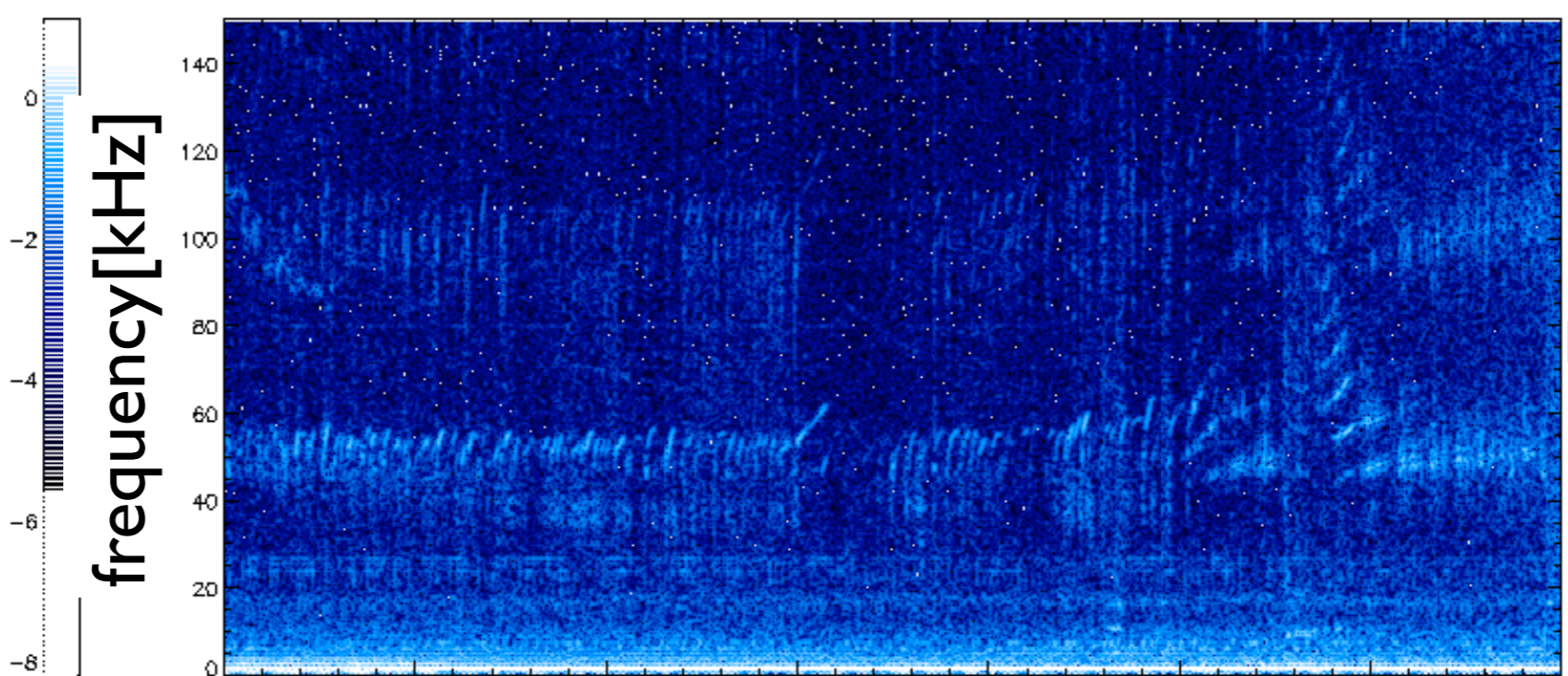
2nd order EGAM excitation! signature of density perturbation?

DIII-D, Fu [2011]: 2nd order outboard midplane density perturbation is comparable to first-order perturbation

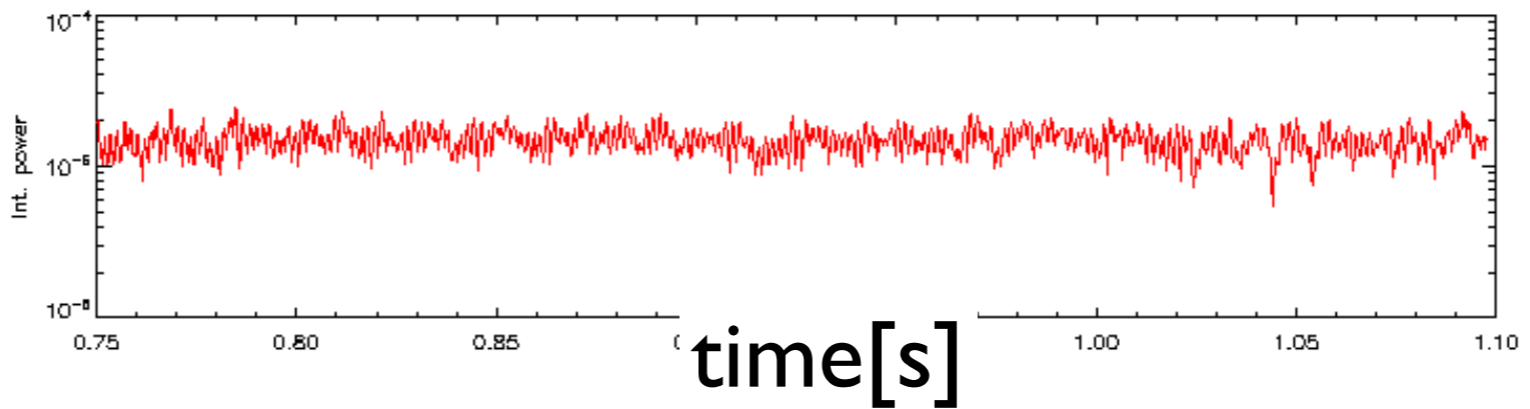
at
AUG:
first order
EGAM
harmonics
dominates,
2nd order
perturbation
more visible
on high field
side



reflectometry:
low field side

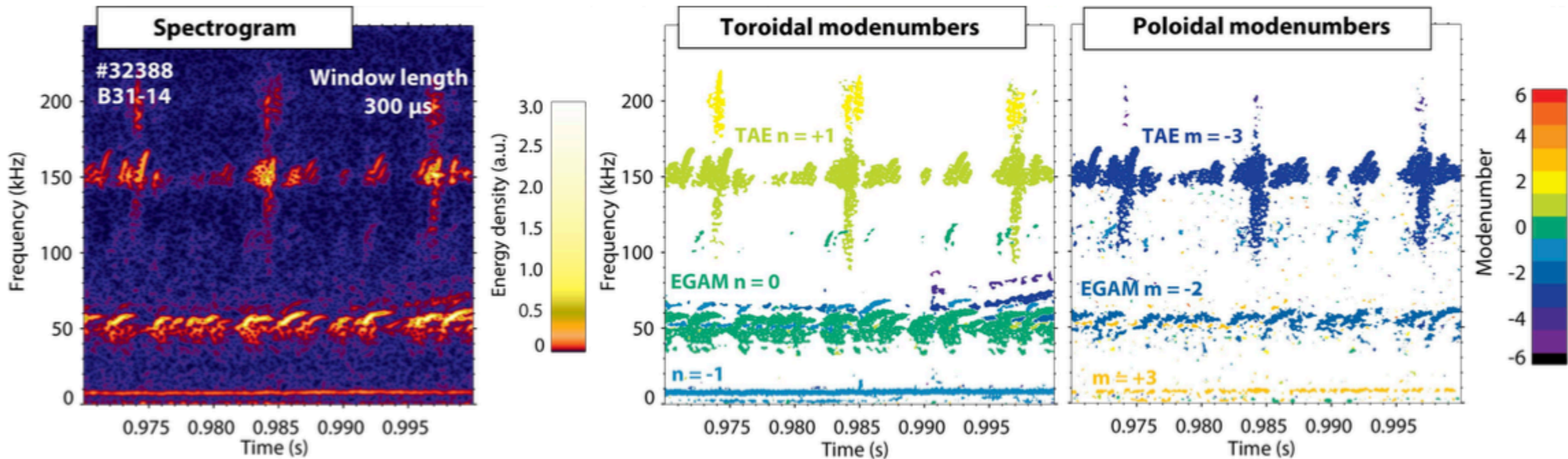


reflectometry
high field side



AUG #32388

- Chirping EGAMs at ~50 kHz with (-2,0) (propagating in electron diamagnetic direction)
- Chirping/bursting TAEs at ~150 kHz with (-3,+1)



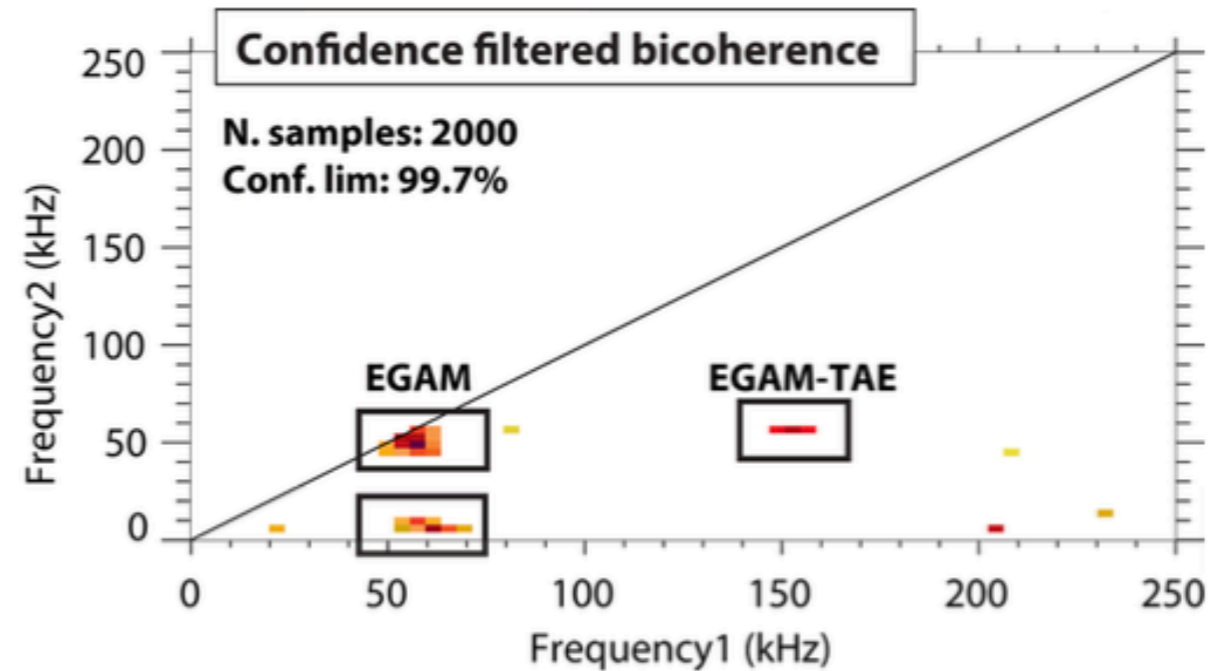
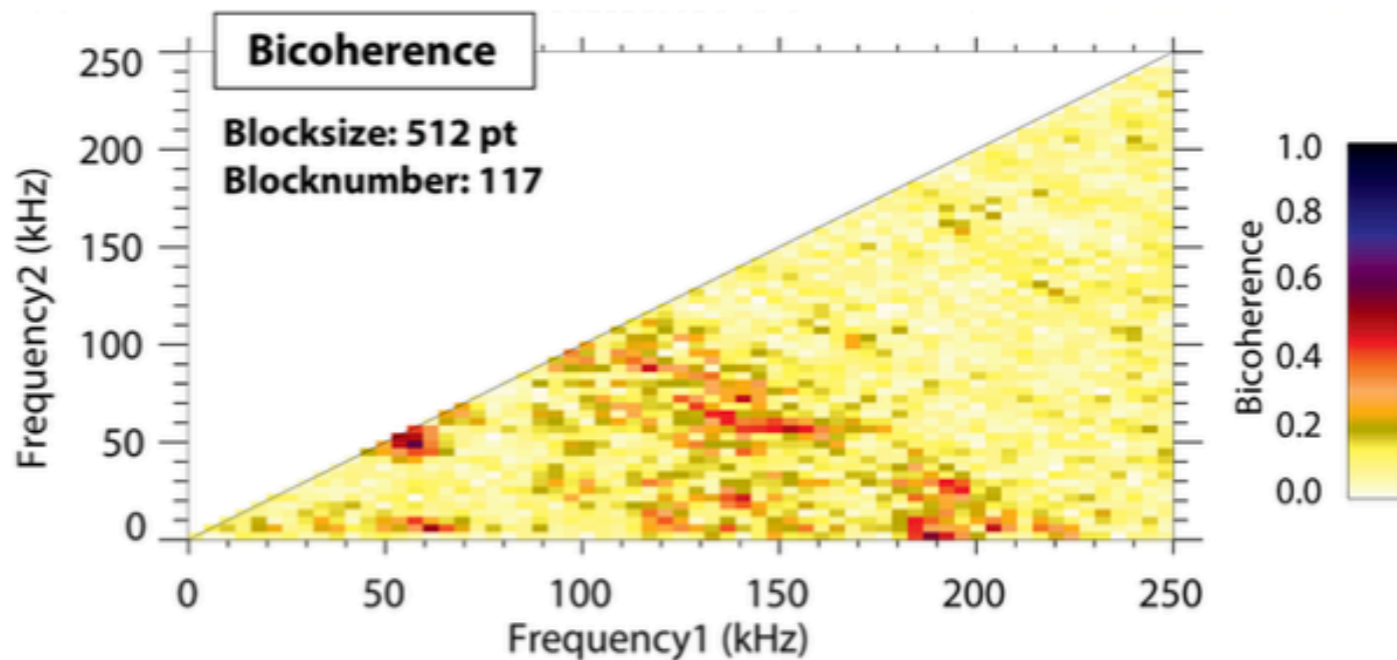
signals with rapid chirping (amplitudes, frequency) may cause ‘spurious’ bicoherence

Phase randomized bicoherence probability density function calculation

signals with rapid chirping (amplitudes, frequency) may cause ‘spurious’ bicoherence
phase randomized bicoherence probability density function calculation

- High bicoherence around at (55, 55) kHz indicates **strongly nonlinear EGAMs**. (see spectrogram at ~110 kHz)
- **Without filtering interaction with TAEs is not clear**

- Filtering shows high, significant bicoherence around (155, 55) kHz
- **Indicates the nonlinear interaction between EGAMs and TAEs**



modeling efforts

- linear drive
- radial propagation
- non-linear interaction: wave-wave
- non-linear interaction: wave-particle

- linear local and global GK solver: QN, GKM and GKE, non perturbative mode structures
- continuous spectrum: null-space of 2nd order operator
- in addition to fully numerical solver, new analytical finite orbit width version: Taylor expansion to 2nd order for EPs and thermal ions:
 k_r as parameter or 4th order terms; recovers [Zonca 1998] in appropriate limit
- antenna, nyquist contour and vector iteration solver
- LIGKA_lib as library for wrapper or other codes (HAGIS)

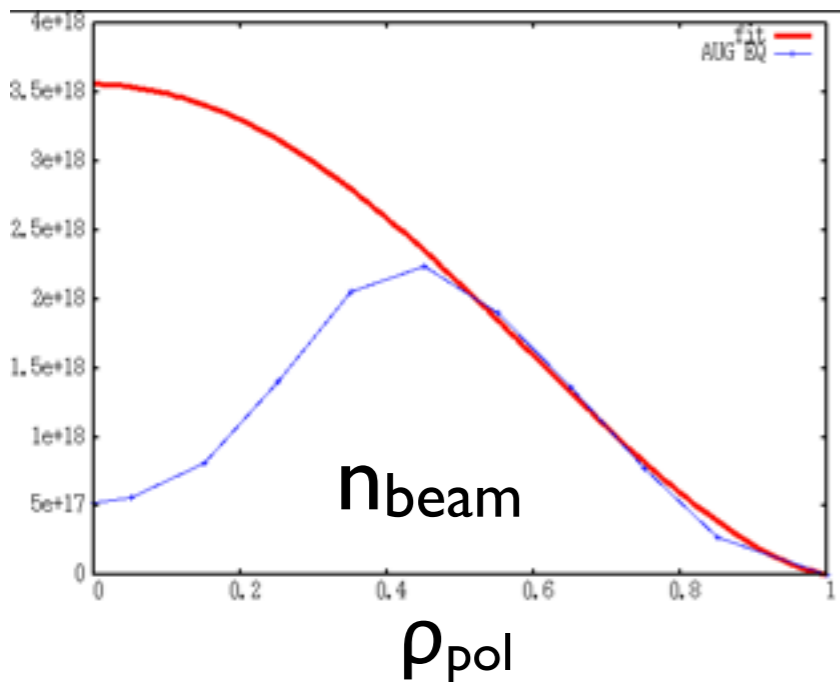
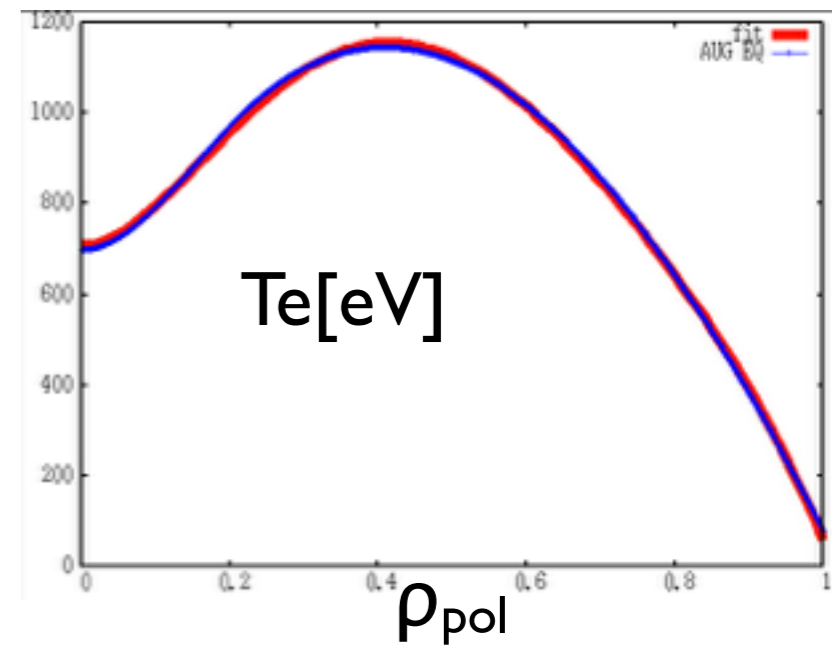
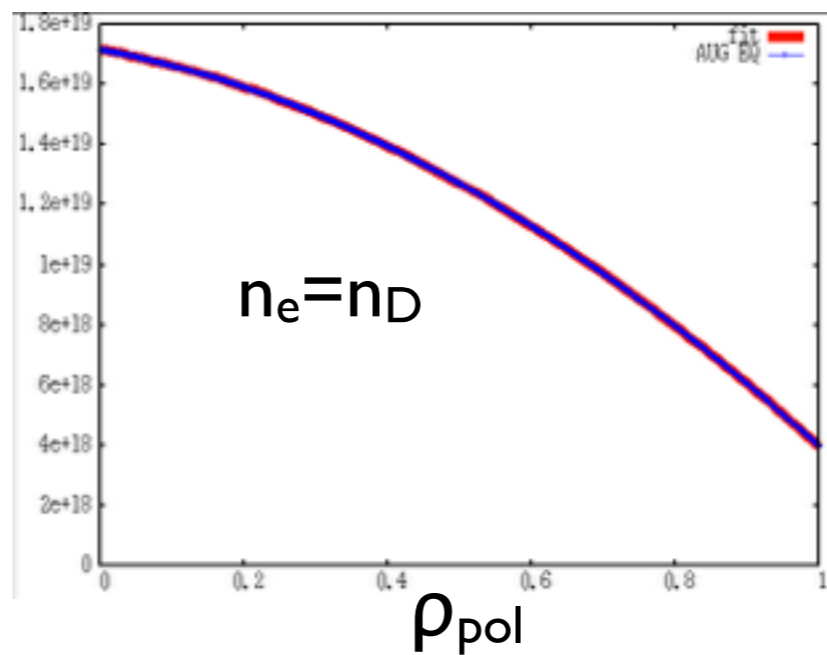
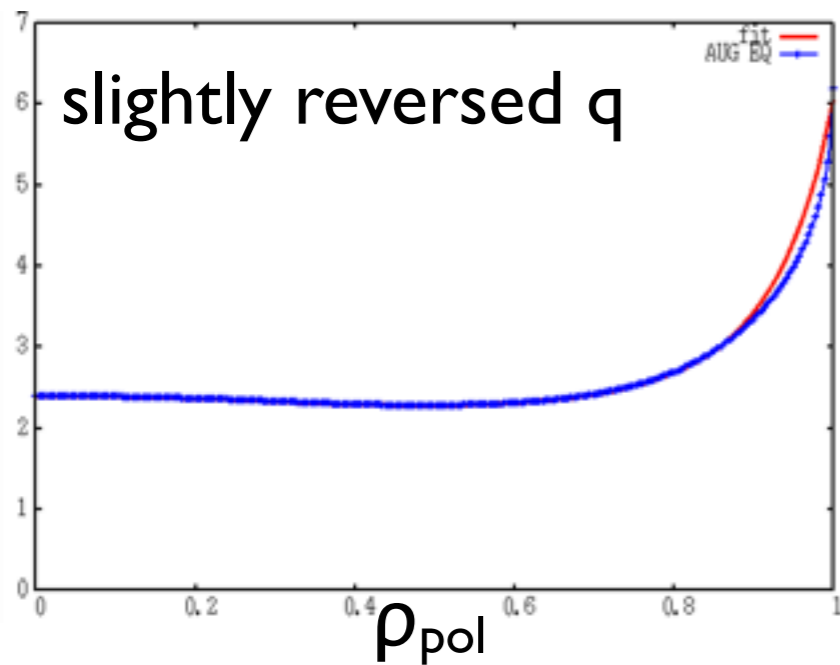
LIGKA model equations

$$\begin{aligned}
 & \underbrace{-\frac{\partial}{\partial t} \left[\nabla \cdot \frac{\nabla_{\perp} \delta \phi}{v_A^2} \right]}_{\text{Inertia (2nd order)}} + \underbrace{\mathbf{B} \cdot \nabla \frac{\nabla \times (\nabla \times \delta A_{\parallel} \mathbf{b})}{B}}_{\text{Field line bending}} + \underbrace{(\mathbf{b} \times \nabla \delta A_{\parallel}) \cdot \nabla \frac{\mu_0 \mathbf{j}_{\parallel}}{B}}_{\text{Current gradient ('kink')}} \\
 & = \underbrace{-\sum_a \mu_0 \int d^2v e_a \{v_d \cdot \nabla J_0 \delta f\}_a}_{\text{Pressure-curvature coupling ('ballooning')}} + \underbrace{\sum_a \left[\mathbf{b} \times \nabla \left(\frac{\beta_{a\perp}}{2\Omega_a} \right) \right] \cdot \nabla \nabla_{\perp}^2 \delta \phi}_{\text{Diamagnetic correction for inertia}} \\
 & \quad + \underbrace{\sum_a \frac{3\beta_{a\perp}}{8\Omega_a^2} \nabla_{\perp}^4 \frac{\partial \delta \phi}{\partial t}}_{\text{4th-order inertia ('kinetic Alfvén wave')}} + \underbrace{\mathbf{B} \cdot \nabla \frac{1}{B} \sum_a \frac{\beta_a}{4} \nabla_{\perp}^2 \delta A_{\parallel}}_{\text{High-beta field line bending correction (negligible)}} ;
 \end{aligned}$$

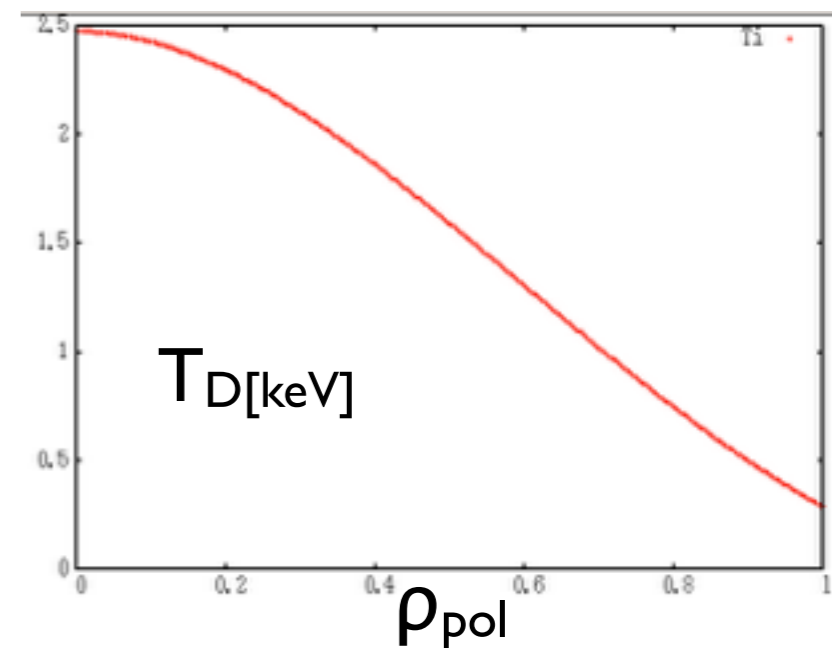
$$0 = \underbrace{\sum_a e_a \int d^2v \{J_0 \delta f\}_a}_{\text{Charge density compression}} + \underbrace{m_i \nabla_{\perp} \cdot \frac{n_i \nabla_{\perp} \delta \phi}{B^2} + \frac{3P_{i\perp}}{4B^2 \Omega_i^2} \nabla_{\perp}^4 \delta \Phi}_{\text{FLR polarization terms}},$$

$$\begin{aligned}
 \frac{\partial \delta h}{\partial t} + (v_{\parallel} \mathbf{b} + \hat{v}_d) \cdot \nabla \delta h &= \left[\frac{\mathbf{b} \times \nabla f_{\text{eq}}}{eB} \cdot \nabla - \frac{\partial f_{\text{eq}}}{\partial E} \frac{\partial}{\partial t} \right] \\
 &\times J_0 \left[\delta \phi - \left(1 - \frac{\hat{v}_d \cdot \nabla}{i\omega} \right) \delta \psi \right].
 \end{aligned}$$

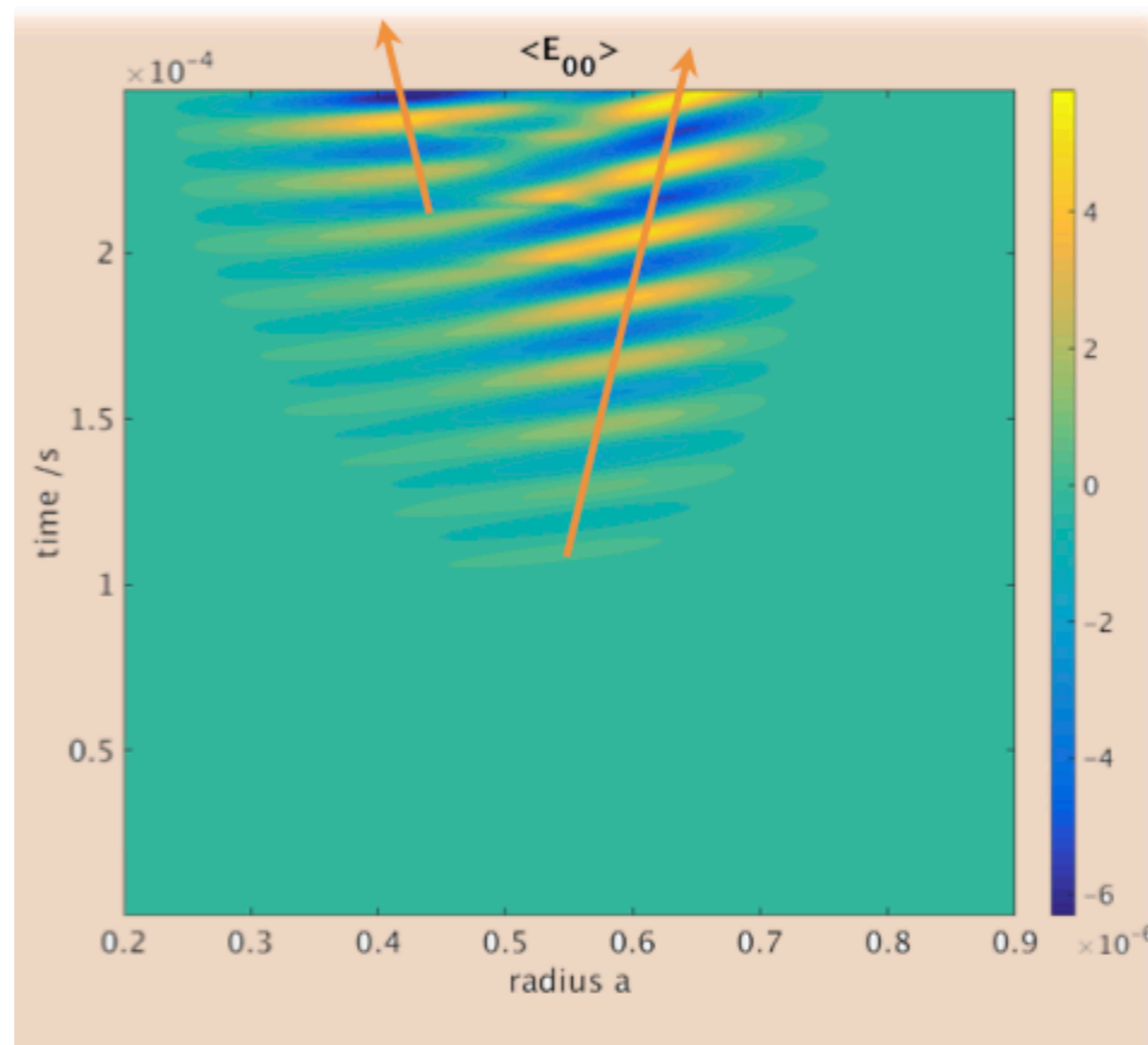
http://www2.ipp.mpg.de/~pwl/NLED_AUG/data.html



benchmark
steps:
step 1: flat T_e, T_i, n_e
step 2: n_e , flat T_e, T_i
step 3: $n_e, T_e = T_i$
step 4: $n_e, T_e \neq T_i$



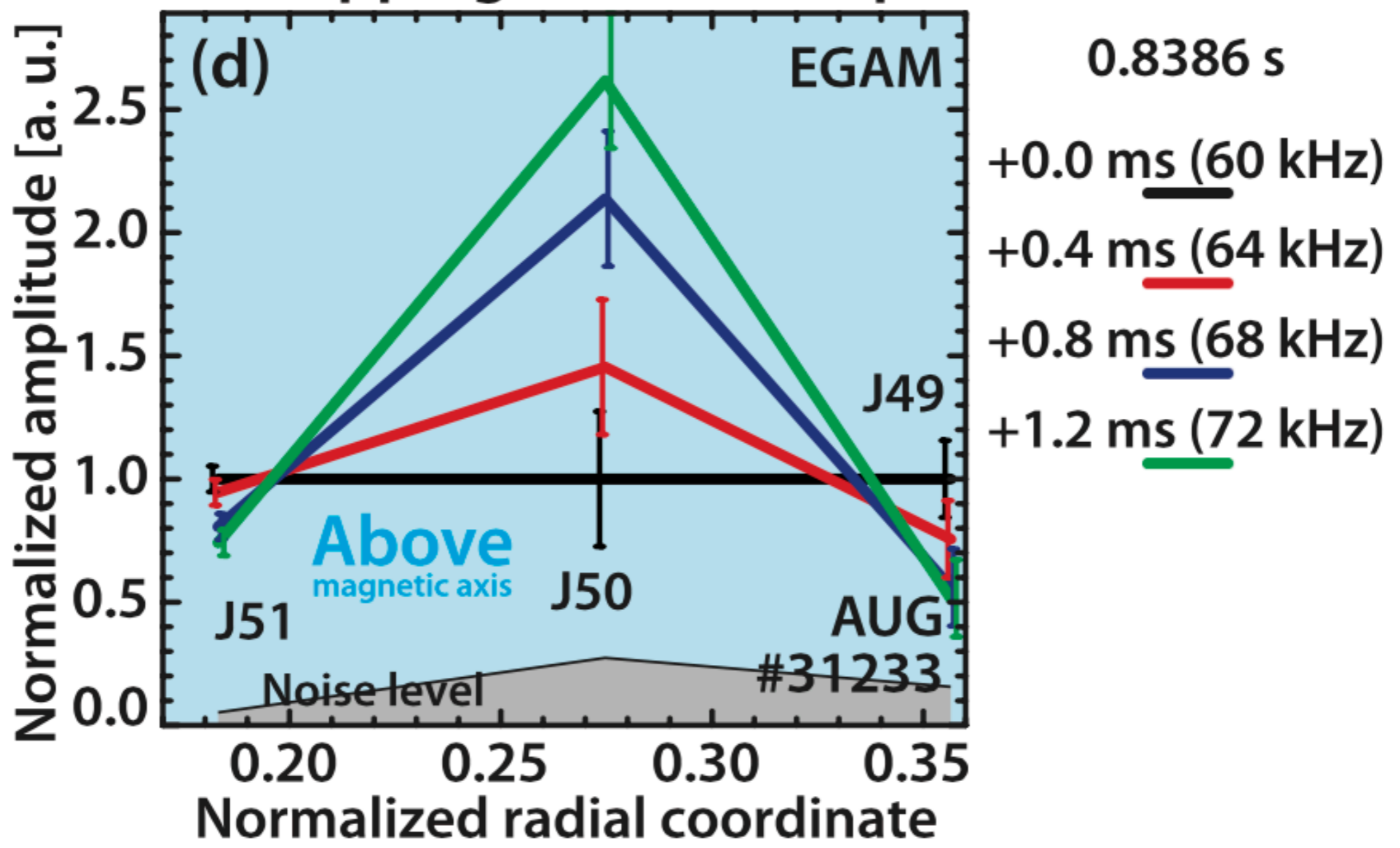
- analytical models point out importance of radial GAM/EGAM propagation [Zonca 2008, Qiu, 2009, Smolyakov 2009, Sasaki, Miki&Idomura 2015, Palermo 2017, etc..]
- nonlinear simulations GYSELA, GTS [Zarzoso, Schneller] and nl-analytical models [Sasaki] emphasise the role of radial GAM/EGAM propagation for turbulence spreading



[Schneller, 2017
talk Friday]

experimentally: no (or small) radial propagation but rather radial shrinking of the modes was found

Radial mapping of mode amplitude



[Horvath, NF 2016]

$$\omega^2 \left(1 - \frac{\omega_{*p}}{\omega}\right) - k_{\parallel}^2 \omega_A^2 R_0^2 = 2 \frac{v_{thi}^2}{R_0^2} \left(- \left[H(x_{m-1}) + H(x_{m+1}) \right] + \tau \left[\frac{N^m(x_{m-1}) N^{m-1}(x_{m-1})}{D^{m-1}(x_{m-1})} + \frac{N^m(x_{m+1}) N^{m+1}(x_{m+1})}{D^{m+1}(x_{m+1})} \right] \right)$$

no FOW,
circulating particle
approximation

[Zonca 1996, 2009 Lauber 2009]

$$\begin{aligned} & \left(\omega^2 - H_{0,0} + \frac{N_{-1,0} N_{0,-1}^G}{D_{-1,-1}} + \frac{N_{1,0} N_{0,1}^G}{D_{1,1}} \right) \\ & + \varrho^2 \left(H_{0,0}^{FLR} - \frac{D_{-1,-1}^{FLR} N_{-1,0} N_{0,-1}^G}{D_{-1,-1}^2} - \frac{D_{1,1}^{FLR} N_{1,0} N_{0,1}^G}{D_{1,1}^2} \right. \\ & \left. + \frac{N_{-1,0} N_{0,-1}^{G,FLR} + N_{-1,0}^{FLR} N_{0,-1}^G}{D_{-1,-1}} + \frac{N_{1,0}^{FLR} N_{0,1}^G + N_{1,0} N_{0,1}^{G,FLR}}{D_{1,1}} \right) \\ & + \rho^2 \left(J_{0,0} + \frac{N_{-1,0} Q_{0,-1}^G + N_{0,-1}^G Q_{-1,0}}{D_{-1,-1}} + \frac{N_{0,1}^G Q_{1,0} + N_{1,0} Q_{0,1}^G}{D_{1,1}} \right. \\ & + \frac{P_{-2,0} P_{0,-2}^G}{D_{-2,-2}} + \frac{P_{0,0} P_{0,0}^G}{D_{0,0}} + \frac{P_{2,0} P_{0,2}^G}{D_{2,2}} \\ & + \frac{E_{-2,-1} E_{-1,-2} N_{-1,0} N_{0,-1}^G}{D_{-2,-2} D_{-1,-1}^2} + \frac{E_{-1,0} E_{0,-1} N_{-1,0} N_{0,-1}^G}{D_{-1,-1}^2 D_{0,0}} \\ & + \frac{E_{-1,0} E_{0,1} N_{1,0} N_{0,-1}^G}{D_{-1,-1} D_{0,0} D_{1,1}} + \frac{E_{0,-1} E_{1,0} N_{-1,0} N_{0,1}^G}{D_{-1,-1} D_{0,0} D_{1,1}} \\ & + \frac{E_{0,1} E_{1,0} N_{1,0} N_{0,1}^G}{D_{0,0} D_{1,1}^2} + \frac{E_{1,2} E_{2,1} N_{1,0} N_{0,1}^G}{D_{1,1}^2 D_{2,2}} \\ & - \frac{E_{-2,-1} N_{-1,0} P_{0,-2}^G}{D_{-2,-2} D_{-1,-1}} - \frac{E_{0,-1} N_{-1,0} P_{0,0}^G}{D_{-1,-1} D_{0,0}} - \frac{E_{0,1} N_{1,0} P_{0,0}^G}{D_{0,0} D_{1,1}} \\ & - \frac{E_{2,1} N_{1,0} P_{0,2}^G}{D_{1,1} D_{2,2}} - \frac{E_{-1,-2} N_{0,-1}^G P_{-2,0}}{D_{-2,-2} D_{-1,-1}} - \frac{E_{-1,0} N_{0,-1}^G P_{0,0}}{D_{-1,-1} D_{0,0}} \\ & - \frac{E_{1,0} N_{0,1}^G P_{0,0}}{D_{0,0} D_{1,1}} - \frac{E_{1,2} N_{0,1}^G P_{2,0}}{D_{1,1} D_{2,2}} - \frac{F_{-1,-1} N_{-1,0} N_{0,-1}^G}{D_{-1,-1}^2} \\ & \left. - \frac{F_{-1,1} N_{1,0} N_{0,-1}^G}{D_{-1,-1} D_{1,1}} - \frac{F_{1,-1} N_{-1,0} N_{0,1}^G}{D_{-1,-1} D_{1,1}} - \frac{F_{1,1} N_{1,0} N_{0,1}^G}{D_{1,1}^2} \right) \end{aligned}$$

2nd order **FLR+FOW**

[Zonca 1998 , Lauber to be published]

- generalised expression (wrt. ω^*) for FOW effects, implemented in LIGKA
- equivalent to EGAM FOW equations: Qiu [2009], Miki & Idomura [2015]
- fast analytical model for FOW effects: solve equations both locally (scan k_r) and globally
- opens possibility for systematic EPM threshold checks
- extension to non-Maxwellian distribution functions on the way

$$\Lambda_0^2 \simeq 1 - \frac{q^2}{\Omega^2} \left(\frac{7}{4} + \frac{T_e}{T_i} \right) - \frac{q^2}{\Omega^4} \left(\frac{23}{8} + \frac{2T_e}{T_i} + \frac{T_e^2}{2T_i^2} \right) + i\pi^{1/2} q^2 \Omega^3 e^{-\Omega^2} \left[1 + (1 + 2T_e/T_i)/\Omega^2 \right]. \quad \text{[ZLR,ZOW]}$$

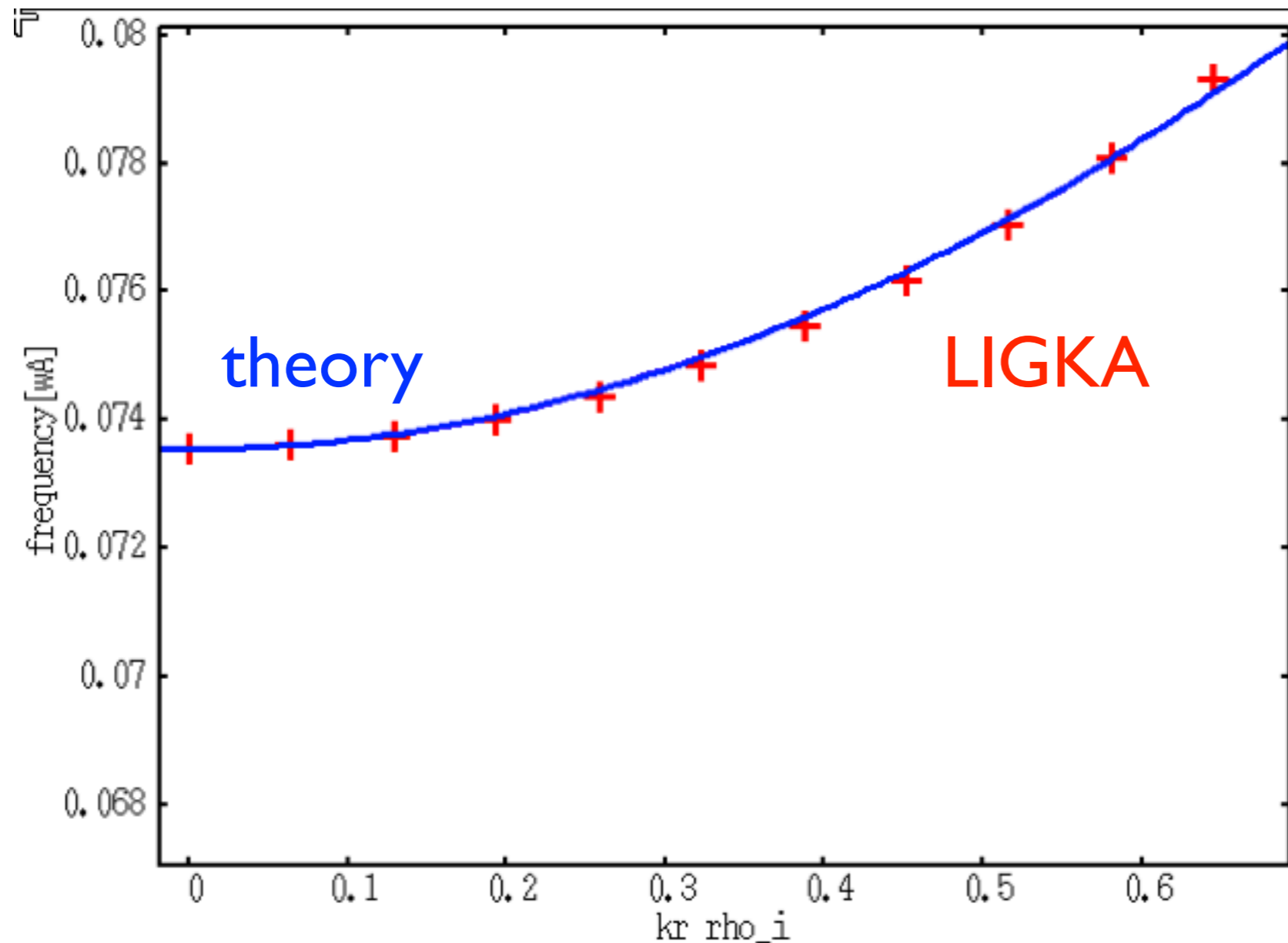
$$\Lambda_0^2 \rightarrow \Lambda_0^2 - (k_\zeta^2 \rho_i^2 / 2) \left(3/4 + (q^2 / \Omega) S_0(\Omega) \right) \quad \text{[FLR,FOW]}$$

$$(q^2(7/4 + T_e/T_i) \gg 1)$$

$$\begin{aligned} \frac{3}{4} + \frac{q^2}{\Omega} S_0(\Omega) &\simeq \frac{3}{4} - \frac{q^2}{\Omega^2} \left(\frac{13}{4} + 3 \frac{T_e}{T_i} + \frac{T_e^2}{T_i^2} \right) \\ &+ \frac{q^4}{\Omega^4} \left(\frac{747}{32} + \frac{481}{32} \frac{T_e}{T_i} + \frac{35}{8} \frac{T_e^2}{T_i^2} + \frac{1}{2} \frac{T_e^3}{T_i^3} \right) \\ &- i\pi^{1/2} q^4 e^{-\Omega^2/4} \left[\Omega^5/256 + (1 + T_e/T_i)\Omega^3/32 \right] \end{aligned}$$

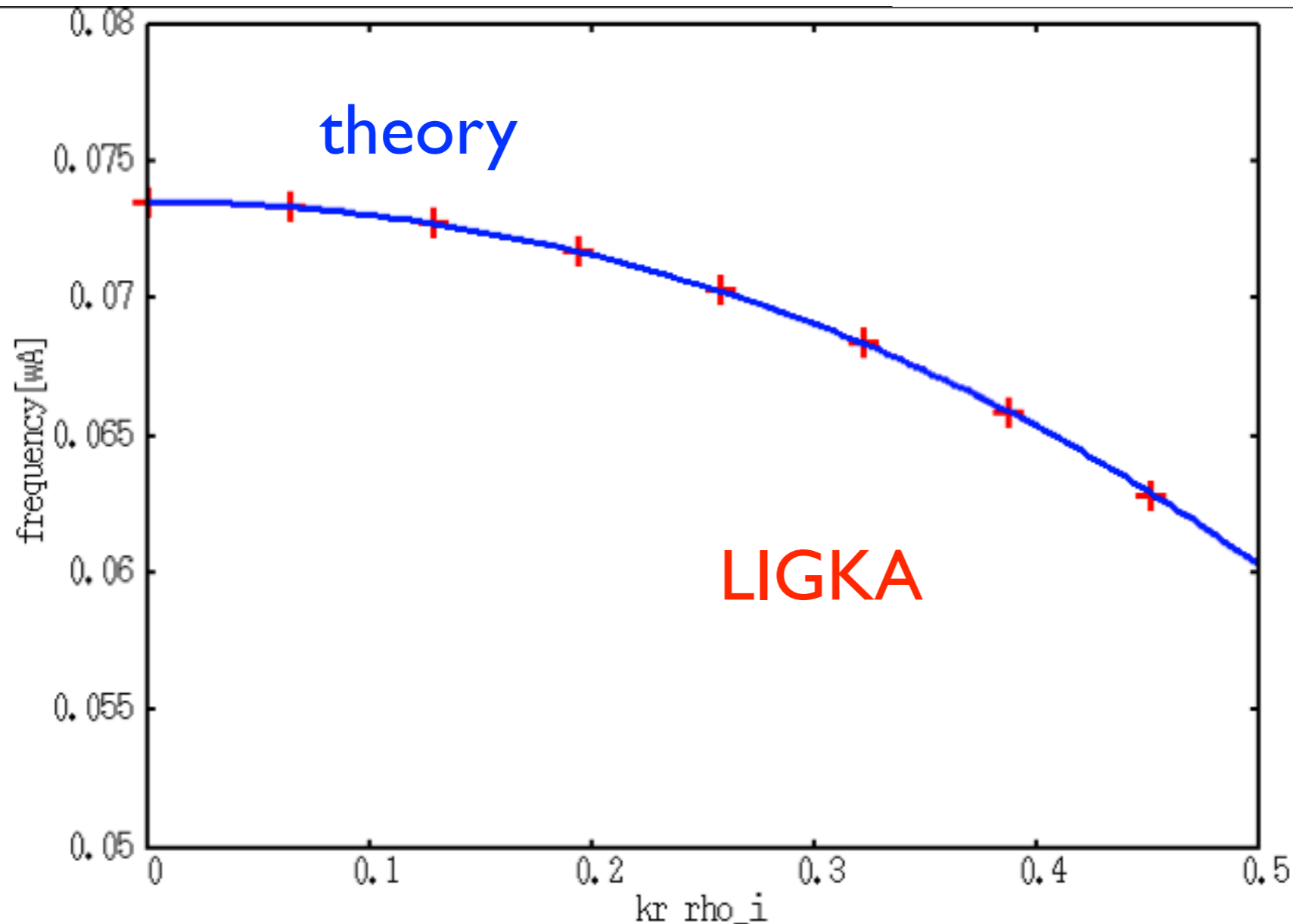
$q=3.25$
 $\tau=0.05$

$$\begin{aligned}
 \frac{3}{4} + \frac{q^2}{\Omega} S_0(\Omega) &\approx \frac{3}{4} - \frac{q^2}{\Omega^2} \left(\frac{13}{4} + 3 \frac{T_e}{T_i} + \frac{T_e^2}{T_i^2} \right) \\
 &+ \frac{q^4}{\Omega^4} \left(\frac{747}{32} + \frac{481}{32} \frac{T_e}{T_i} + \frac{35}{8} \frac{T_e^2}{T_i^2} + \frac{1}{2} \frac{T_e^3}{T_i^3} \right) \\
 &- i\pi^{1/2} q^4 e^{-\Omega^2/4} \left[\Omega^5/256 + (1 + T_e/T_i)\Omega^3/32 \right]
 \end{aligned}$$



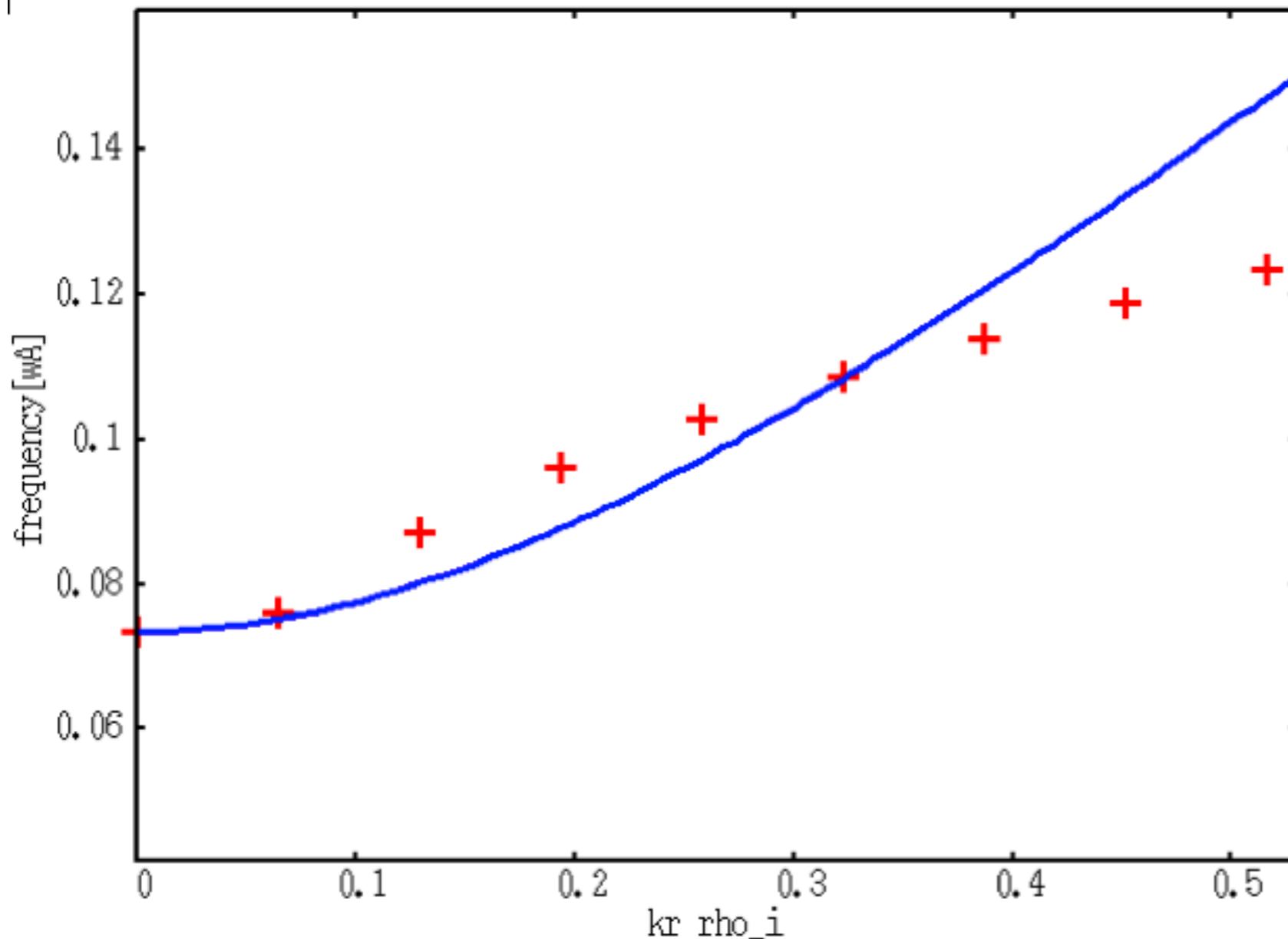
$q=3.25$
 $\tau=0.05$

$$\begin{aligned}
 \frac{3}{4} + \frac{q^2}{\Omega} S_0(\Omega) &\approx \frac{3}{4} - \frac{q^2}{\Omega^2} \left(\frac{13}{4} + 3 \frac{T_e}{T_i} + \frac{T_e^2}{T_i^2} \right) \\
 &+ \frac{q^4}{\Omega^4} \left(\frac{747}{32} + \frac{481}{32} \frac{T_e}{T_i} + \frac{35}{8} \frac{T_e^2}{T_i^2} + \frac{1}{2} \frac{T_e^3}{T_i^3} \right) \\
 &- i\pi^{1/2} q^4 e^{-\Omega^2/4} \left[\Omega^5/256 + (1 + T_e/T_i)\Omega^3/32 \right]
 \end{aligned}$$

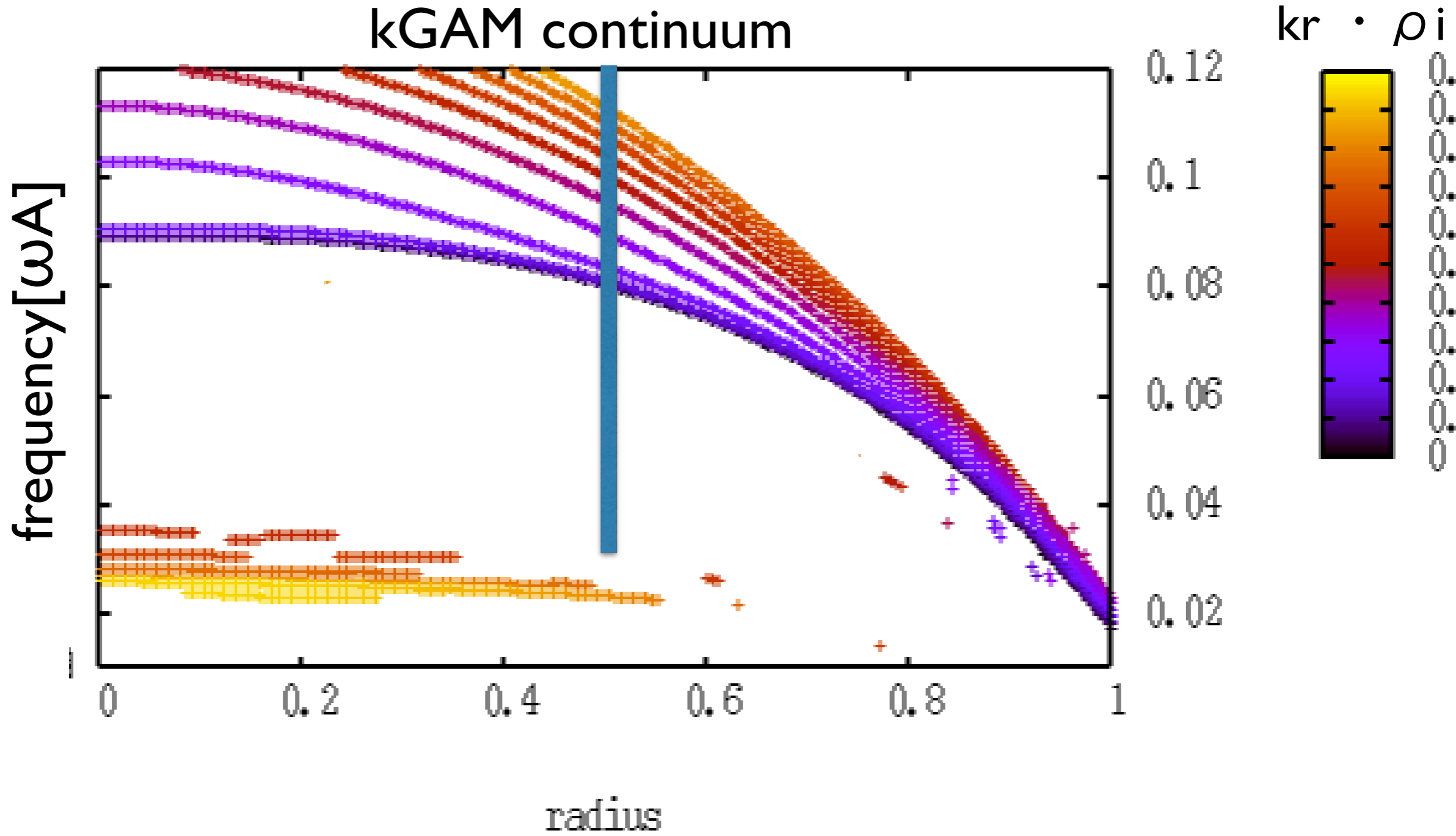


q=3.25
τ=0.05

$$\frac{3}{4} + \frac{q^2}{\Omega} S_0(\Omega) \approx \frac{3}{4} - \frac{q^2}{\Omega^2} \left(\frac{13}{4} + 3 \frac{T_e}{T_i} + \frac{T_e^2}{T_i^2} \right) + \frac{q^4}{\Omega^4} \left(\frac{747}{32} + \frac{481}{32} \frac{T_e}{T_i} + \frac{35}{8} \frac{T_e^2}{T_i^2} + \frac{1}{2} \frac{T_e^3}{T_i^3} \right) - i\pi^{1/2} q^4 e^{-\Omega^2/4} \left[\Omega^5/256 + (1 + T_e/T_i)\Omega^3/32 \right]$$

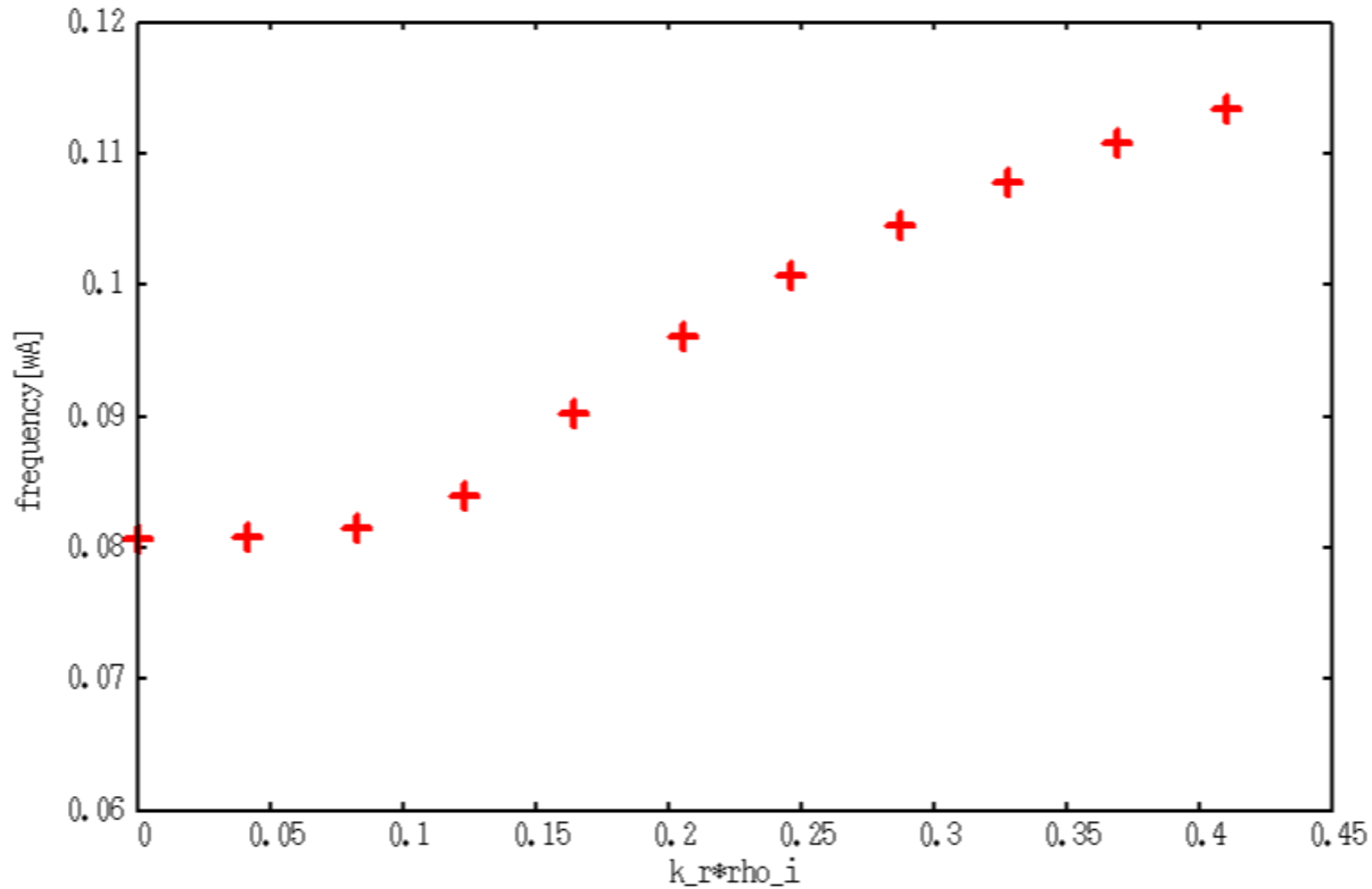


deviations for simple formula if equation is solved non-perturbatively



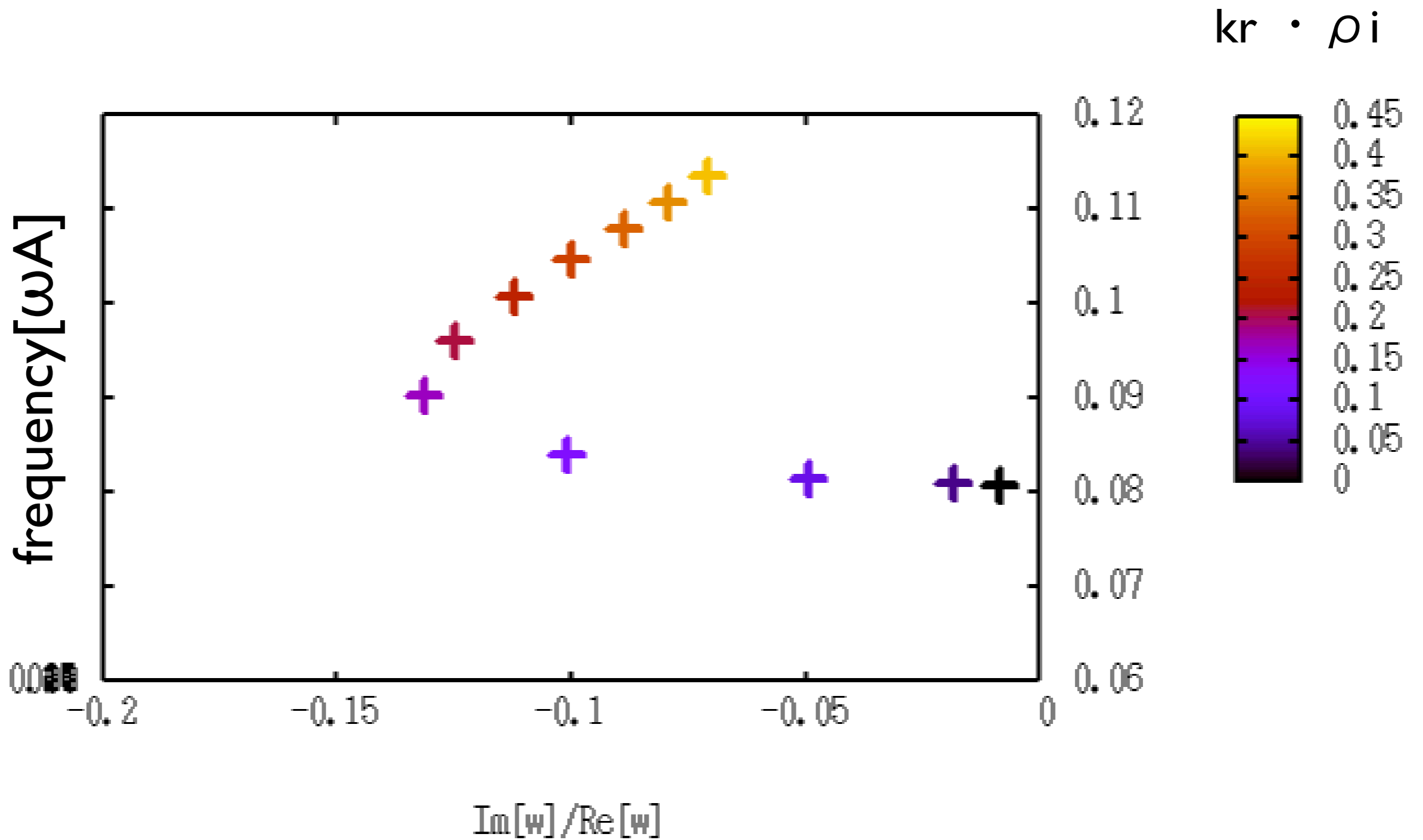
at q_{min} : $\omega(kr)$

$v_g = \partial\omega/\partial kr$ can be determined from this:



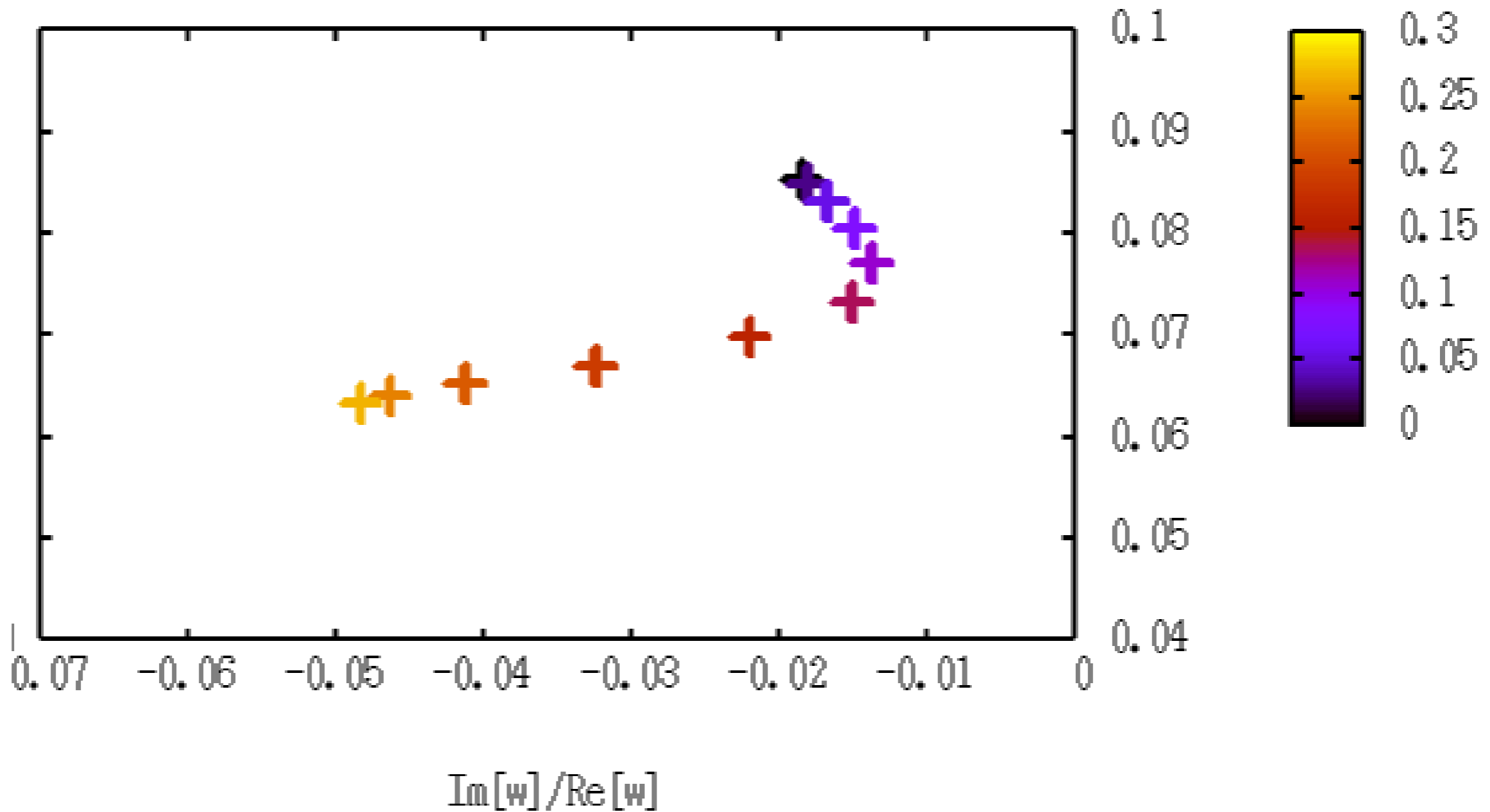
outward propagation

$q=2.1$



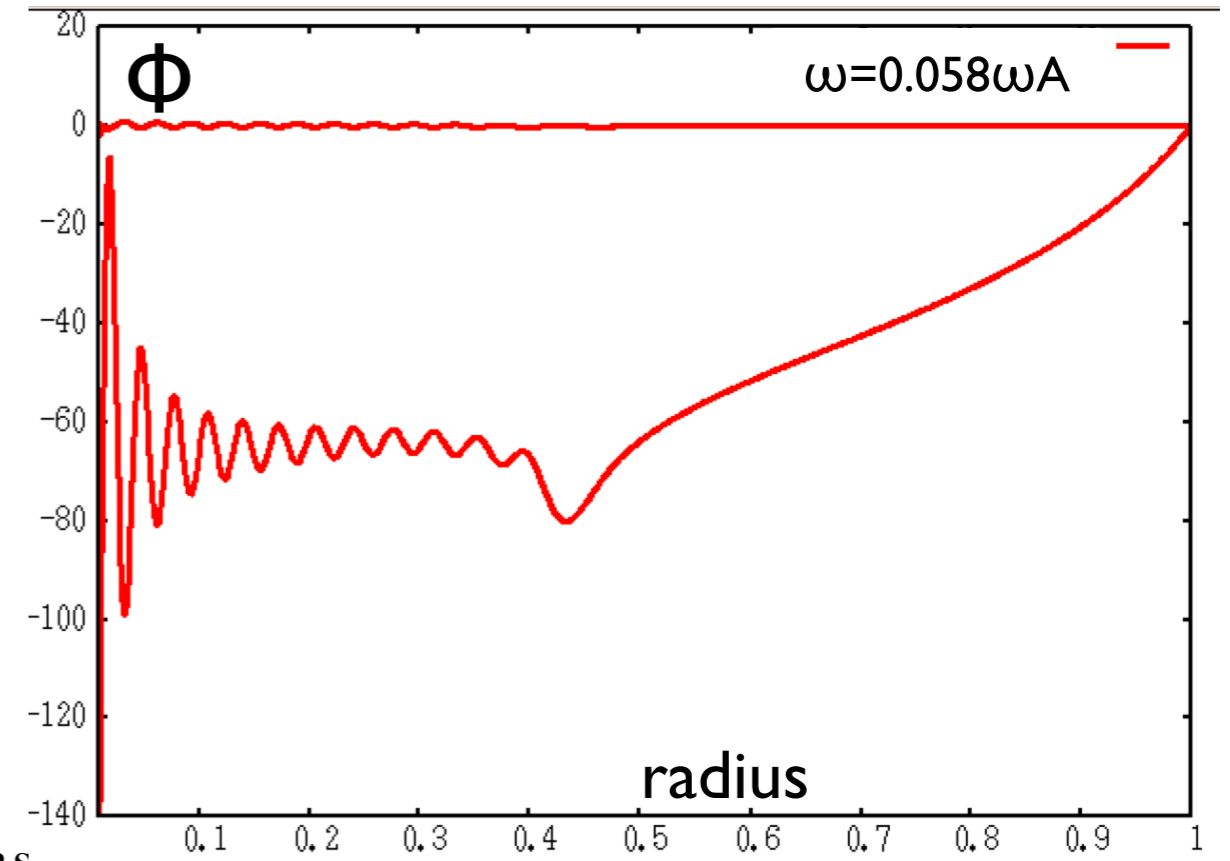
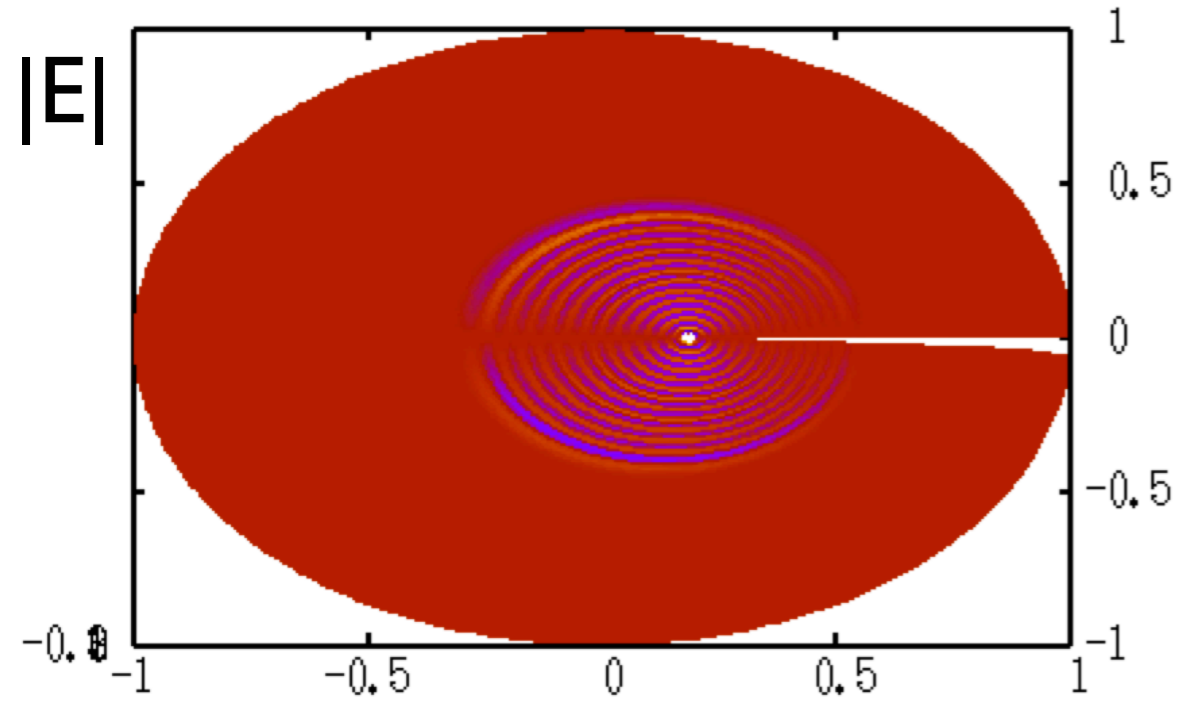
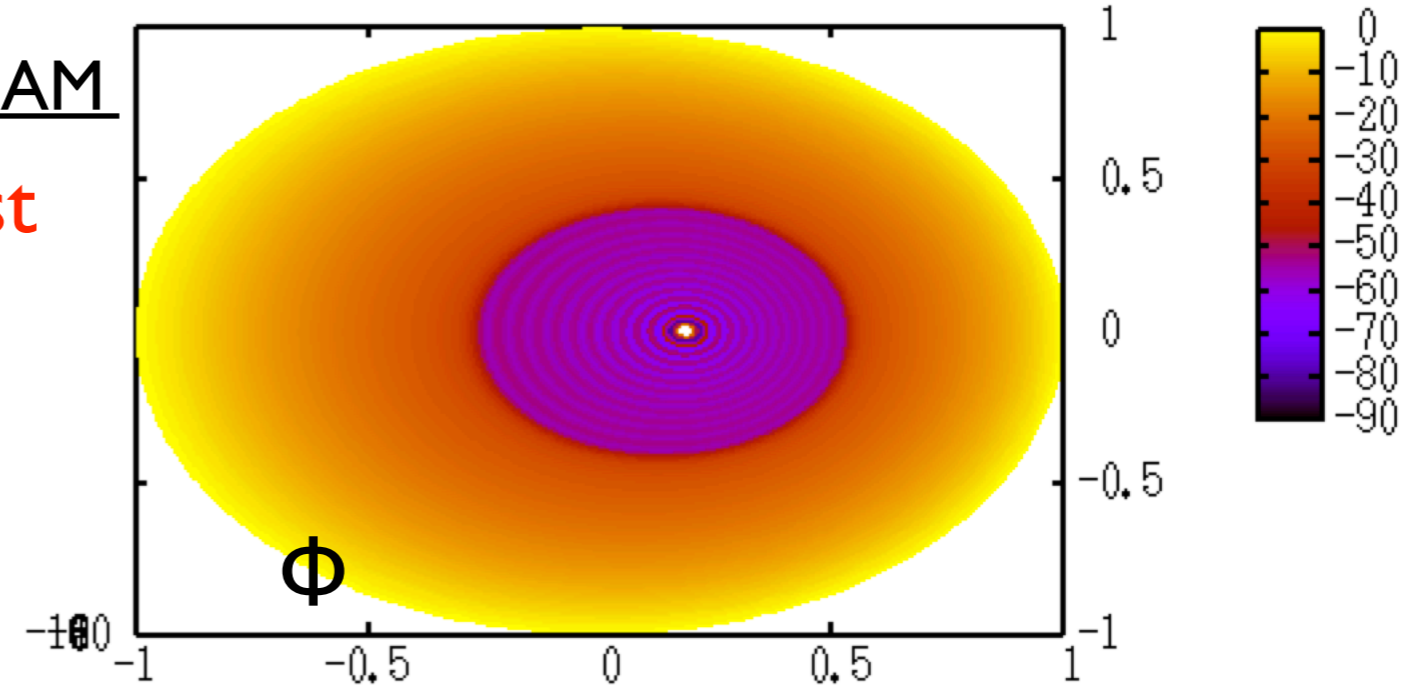
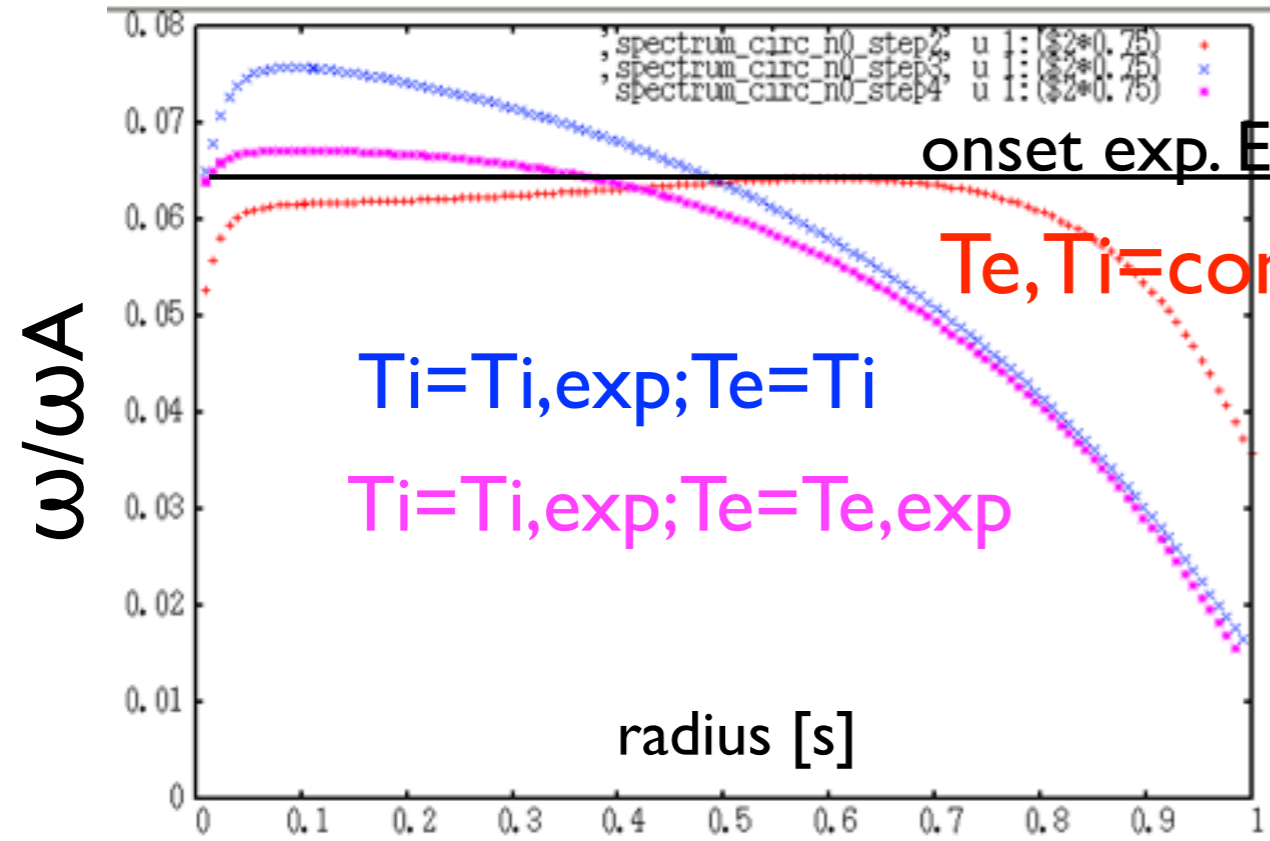
for short wavelength: frequency upshift causes reduced damping

for large T_e/T_i : also inward propagation possible:



next step: apply quantitatively to EGAM physics
(kr is set by EP drift orbit width)

stable, global kGAM solutions emphasize importance of GAM continuum[LIGKA], exp. f_{EGAM} close to f_{GAM}



Effects of velocity anisotropy on the excitation of EGAMs;

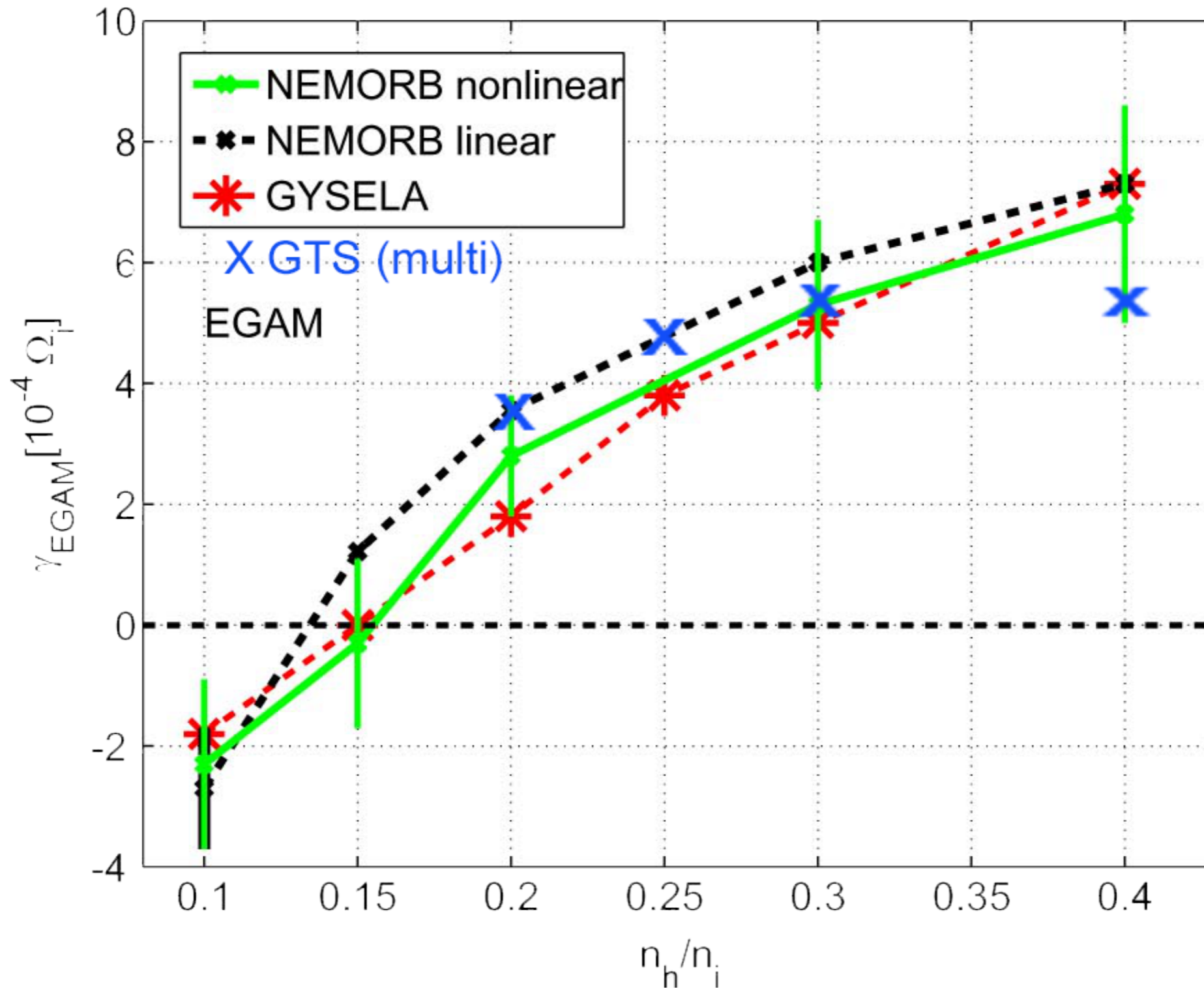
*Work in progress; To be submitted to Nuclear Fusion: Conf. series ,
I Chavdarovski, M Schneller, Z Qiu, A Biancalani;*

- The local dispersion relation of energetic -particle-induced Geodesic acoustic mode (EGAMs) is derived for both **circulating and trapped particle** beam with single pitch angle **slowing down and Maxwellian** distribution.
- Solutions of the local dispersion relation for each case give the **spectrum, the growth rate** and the threshold of excitation as function of the slowing down critical energy (for the trapped) and the pitch angle (for circulating).
- Sample result: dispersion relation for trapped EP with slowing down distribution, as function of the **bounce frequency and Δ** . The logarithmic term gives the drive.

$$0 = -1 + \frac{\omega_G^2}{\omega^2} + \frac{\pi}{8} N_b \frac{1}{\epsilon \Lambda_0 B_0} \left[\frac{3 - 2\Lambda_0 B_0 (1 - \epsilon)}{2(1 - \Lambda_0 B_0 (1 - \epsilon))^{3/2}} \log \left(1 - \frac{\omega_b^2}{\omega^2} \right) + \frac{1}{1 - \Lambda_0 B_0 (1 - \epsilon)^{1/2}} \cdot \frac{\omega_b^2 / \omega^2}{1 - \omega_b^2 / \omega^2} \right]$$

to be implemented together with anisotropic shifted Maxwellian
into LIGKA

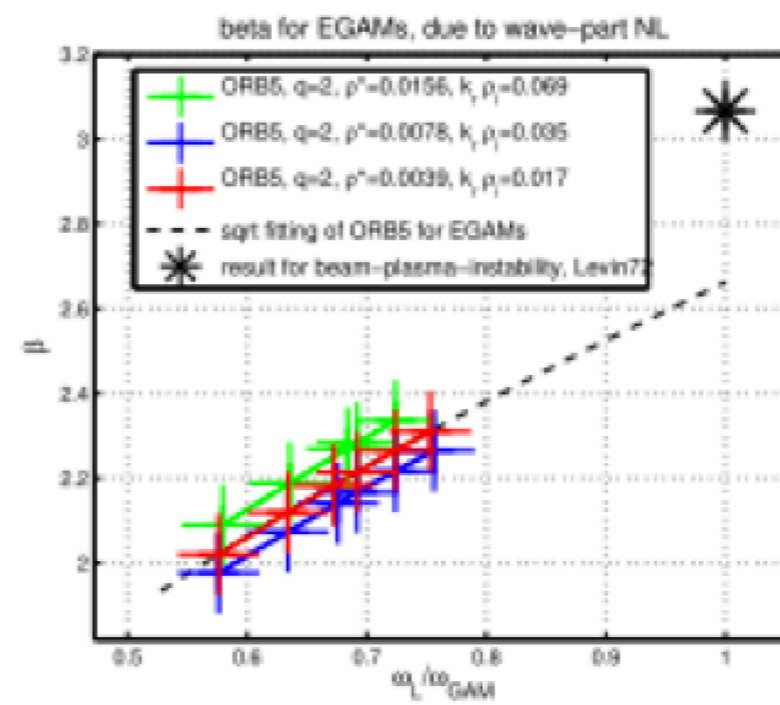
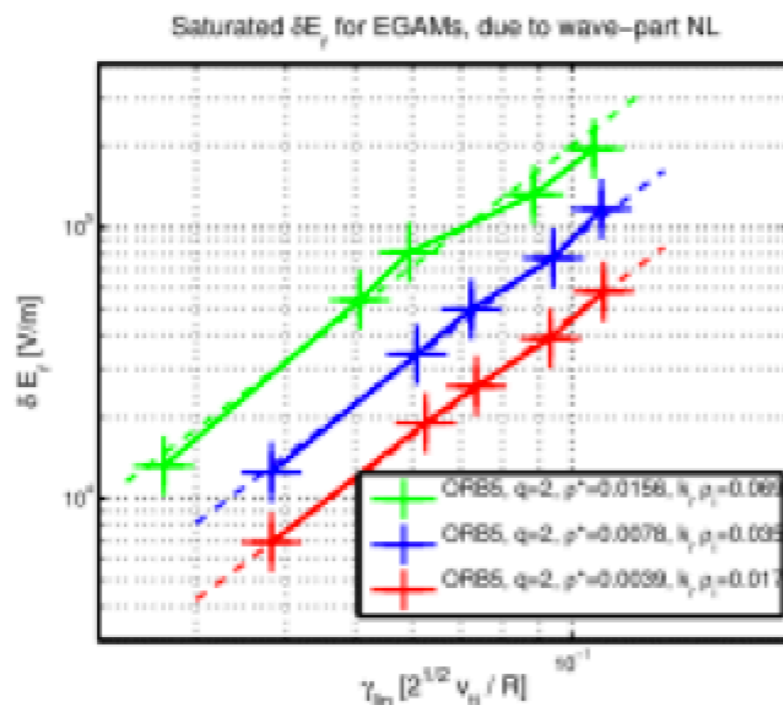
Growth rate vs fast-ion concentration, with adiabatic elec.



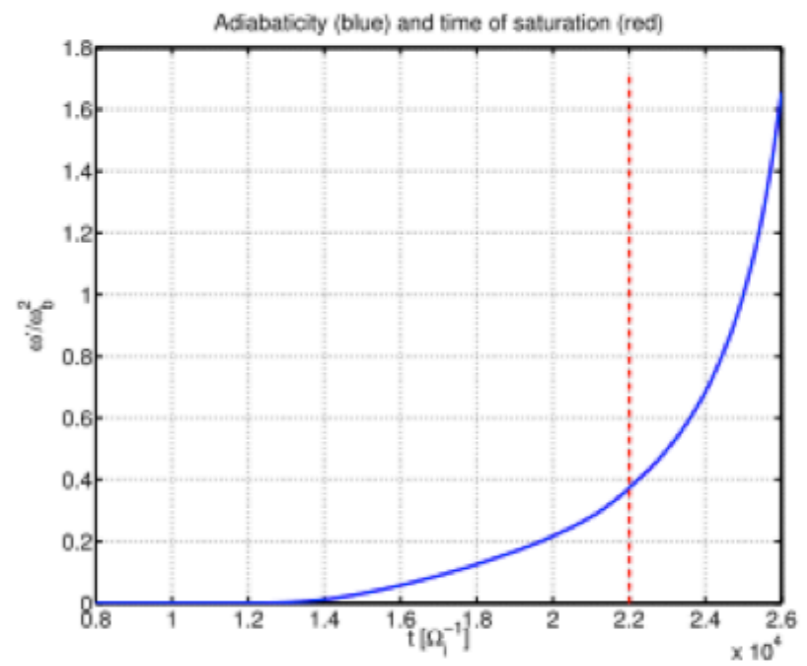
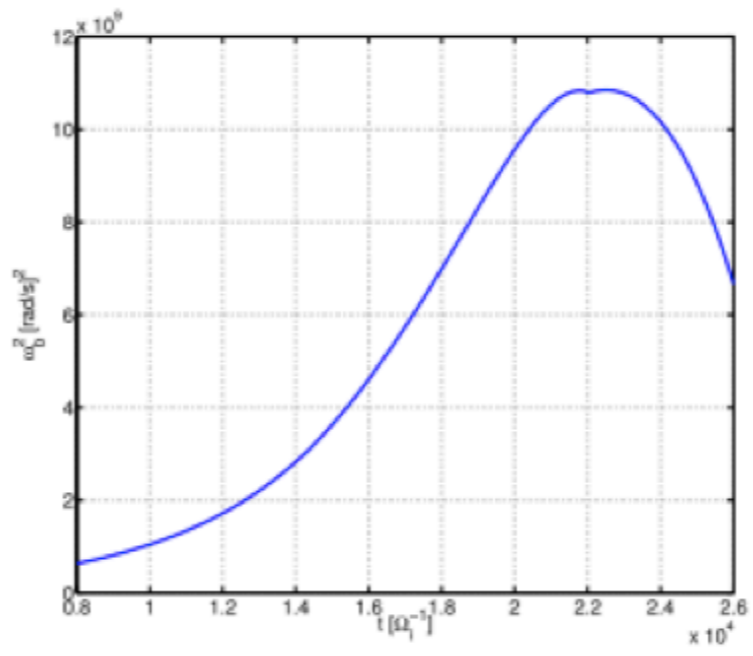
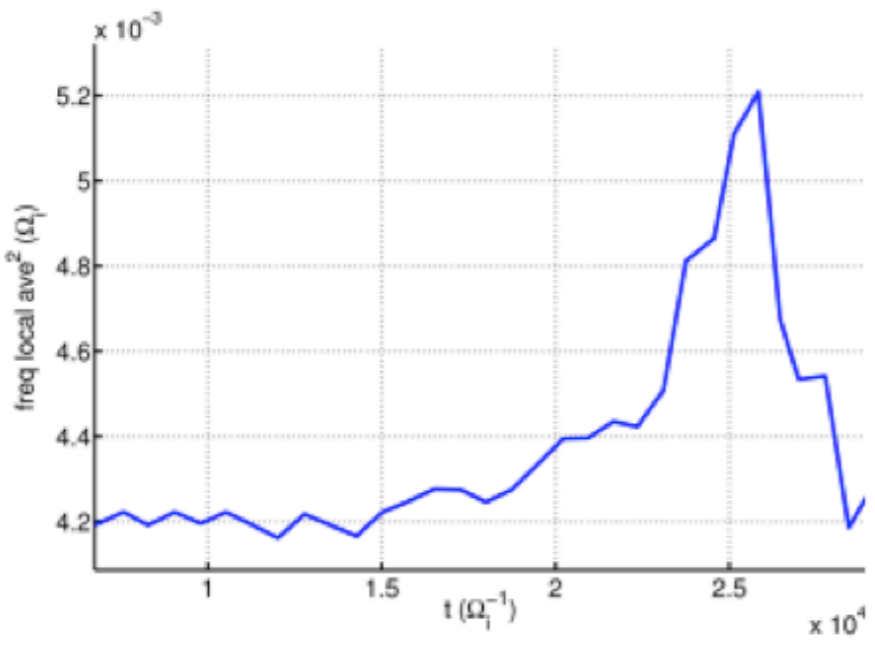
Saturation of EGAMs due to wave-particle nonlinearity

Work by A Biancalani, I Chavdarovski and Z Qiu. Currently on IPP pinboard.

- Only **wave-particle nonlinearities** are considered.
- The EGAM **saturates** mostly due to **flattening of the EP profile** in velocity space.
- **Quadratic scaling** of the saturated electric field with the linear growth rate is found, similarly to the beam-plasma instability: $\delta \bar{E}_r = \alpha_2 \gamma_L^2$



- The EP bounce frequency in the **mode potential well** is proportional to the linear growth rate : $\omega_b = 2.7 \gamma_L$. This factor is 3.2 for beam-plasma system.
- Near the saturation we observe a transition from adiabatic to **non-adiabatic chirping**, where the time derivative of the mode frequency is of the same order as the squared bounce frequency.
- Non-adiabatic chirping is due to **non-perturbative response** of the resonant particles, experiencing **trapping and de-trapping** in the mode.



Non-linear generation of Zonal Flow and EGAMs second harmonic;

Published work Z Qiu , I Chavdarovski, A Biancalani and J Cao,

Physics of Plasmas 24, 072509 (2017);

- Both second harmonic and ZFZFs can be driven by EGAMs, with the finite orbit width (FOW) effects playing a dominant role in the nonlinear couplings, contrary to the thermal where toroidicity dominates
- The contribution of resonant EPs to the cross-section of the nonlinear couplings dominates that of the thermal plasma.
- The generated ZFZF has a radial scale length half of the pump EGAM and growth rate double the size.
- For GAMs perpendicular and parallel non-linearities cancel out , but present EP will dominate (Fu 2011). Second harmonic is generated with $l=1,2$ resonances and radial scale half of the primary EGAM.

$$b_S \hat{\mathcal{E}}_{EGAM}(\omega_S) \hat{\Phi}_S = -\frac{ik_r T_i}{n_0 m \Omega} \left\langle \frac{\partial F_{0h}}{\partial E} \frac{3\omega \hat{\omega}_d^2}{(\omega^2 - \omega_{tr}^2)(\omega_S^2 - \omega_{tr}^2)} \right\rangle \\ \times \frac{\hat{\Phi}_G \hat{\Phi}_G}{r}$$

$$\hat{\mathcal{E}}_{EGAM}(\omega_S) \equiv -1 + \frac{\omega_G^2}{\omega_S^2} + \frac{T_i}{n_0 m_i b_S} \left\langle \frac{\partial F_{0h}}{\partial E} \sum_{l=\pm 1, \pm 2} \frac{J_l^2(\hat{\Lambda}_S) \omega_S}{\omega_S - l\omega_{tr}} \right\rangle$$

interesting and unique set of experimental AUG data:

- more stable EGAM scenarios developed
- non-linear coupling of EGAM and TAEs found
- second order EGAMs measured
- shrinking of mode structure found

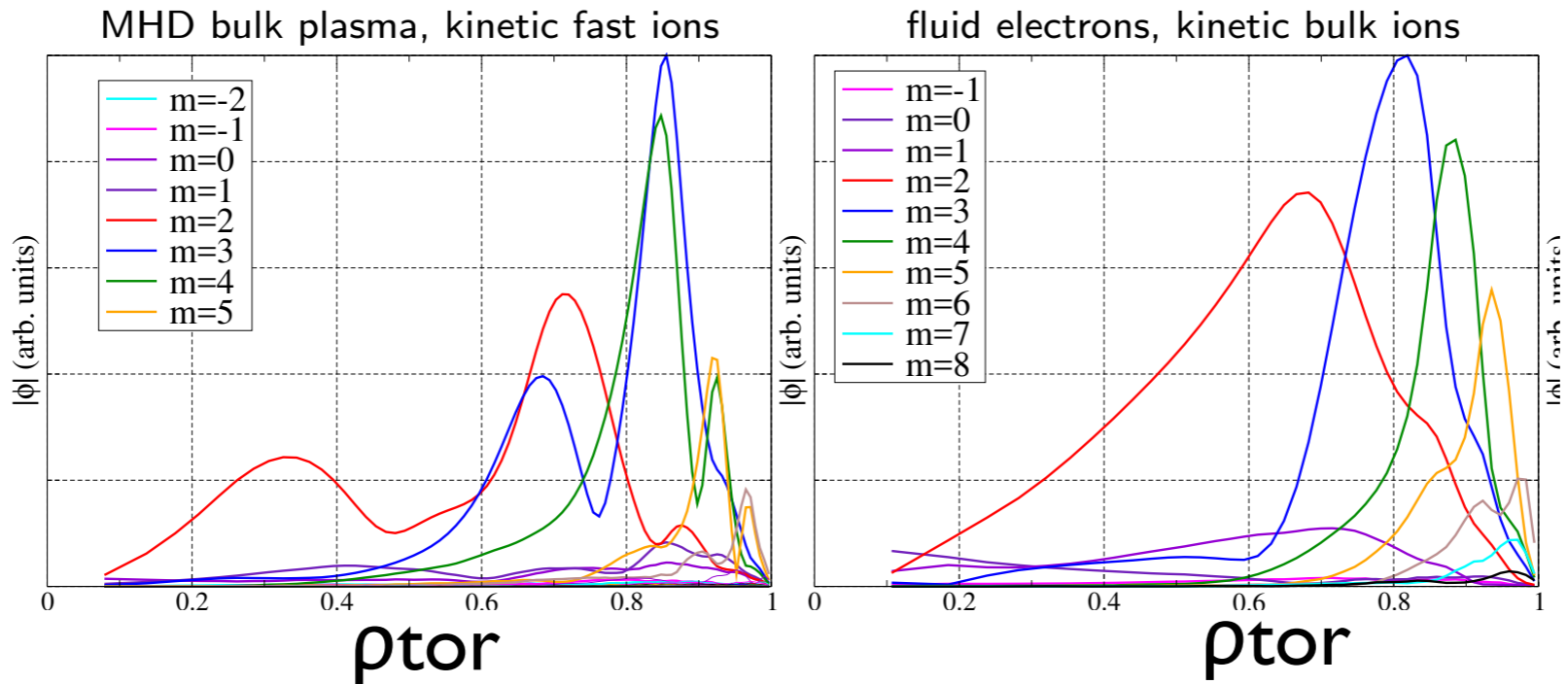
modeling progress:

several linear and non-linear key physics items are being addressed

allows for physics understanding and development of fast reduced models while moving towards complete non-linear EM simulations

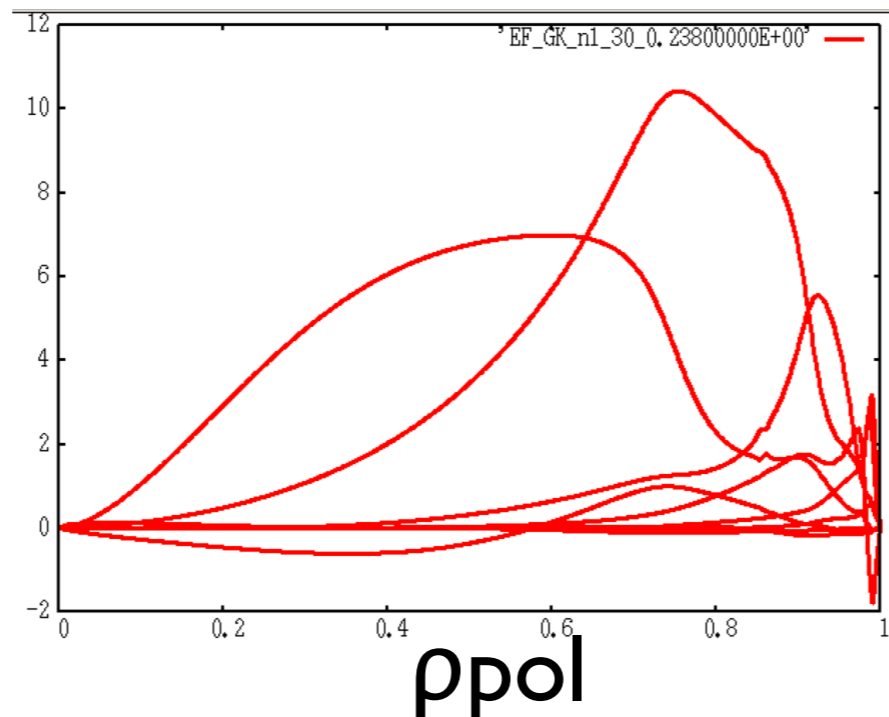
extra material

LIGKA and EUTERPE modeling started: TAE, $n=1$



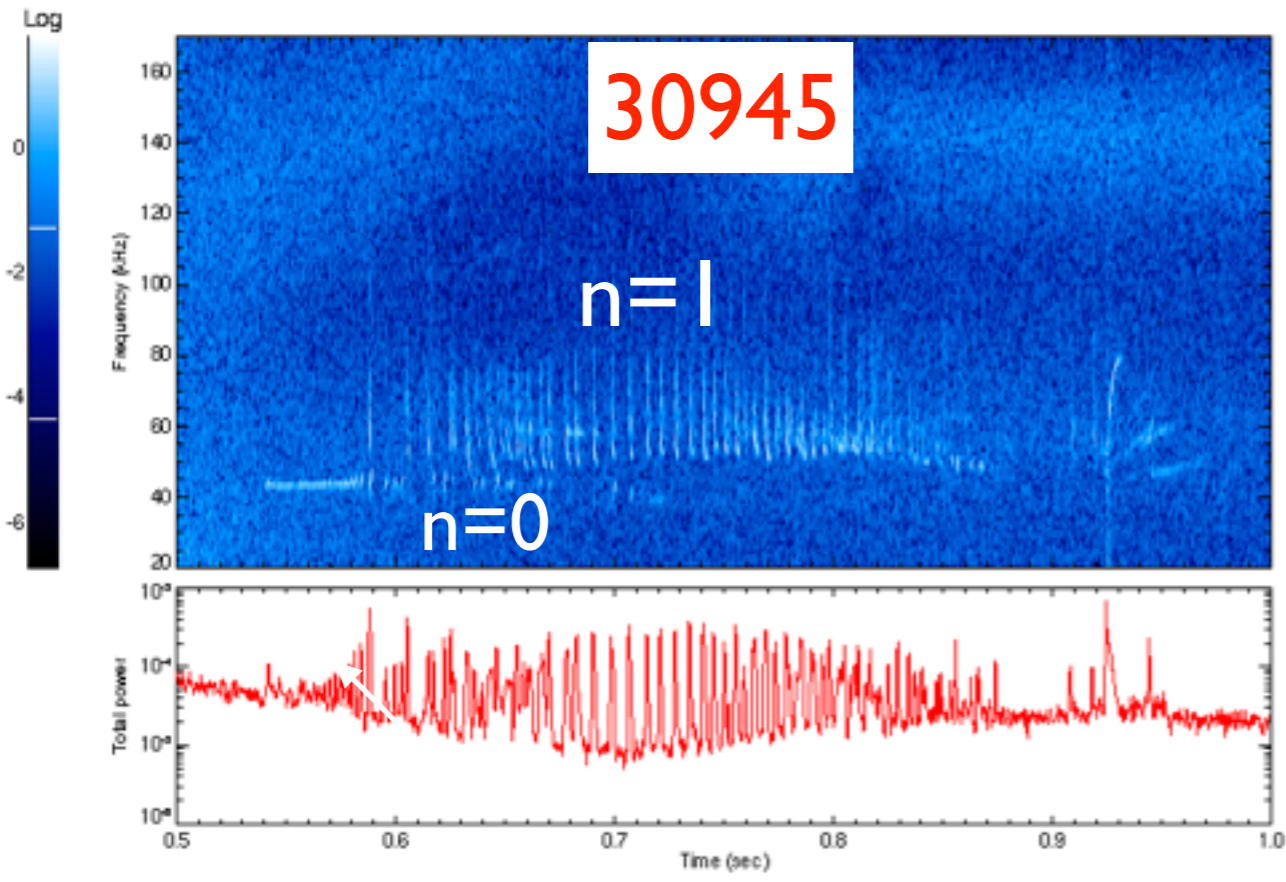
Euterpe: [M. Cole] elliptic eq

ion diamagnetic
propagation
exp: electron-
diamagnetic
propagation!

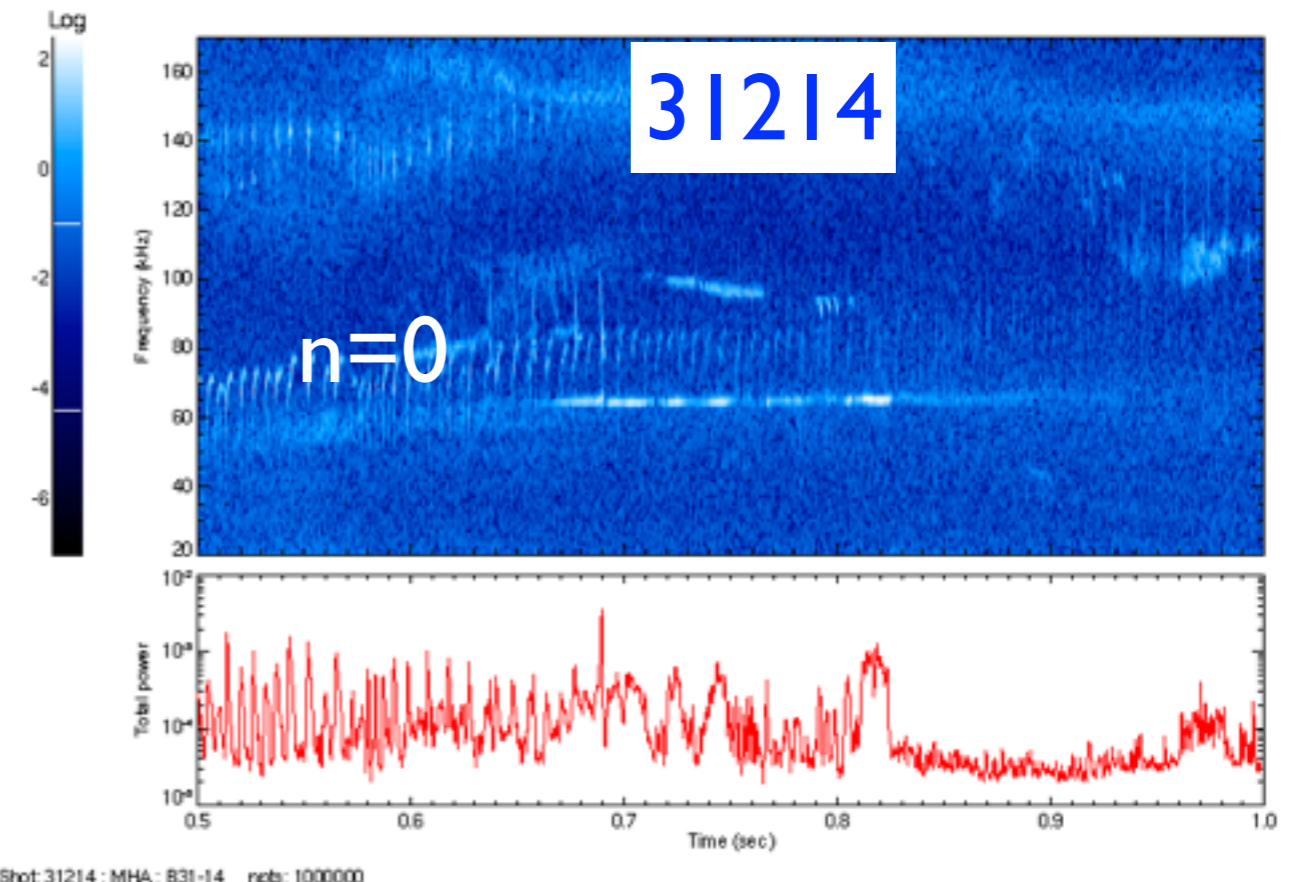


LIGKA [Lauber]
AUG eq
 $\omega=0.238 \omega_A$,
 $\gamma/\omega=1.5\%$

relevant control discharge: almost no EGAM activity, but $n=1$ BAE/EPM



Shot: 30945 : MHA : 831-14 npts: 1000001
0.500 to 1.000 fq: 20.0 to 170.0 nfft: 2048 rpad: 0 nstp: 512 nrms: 1000 ncor: 200

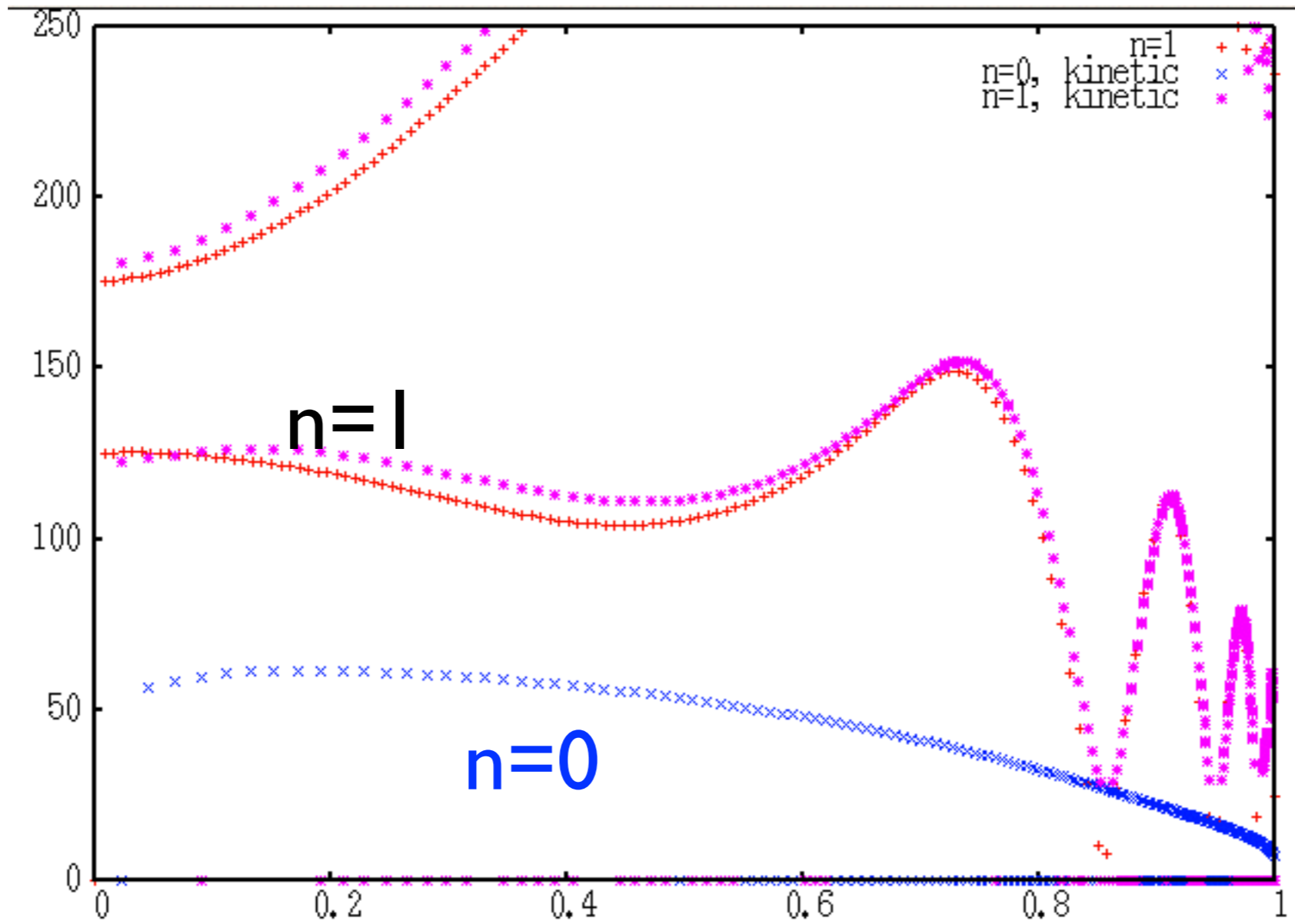


AUG Shot: 31214 : MHA : 831-14 npts: 1000000
Time: 0.500 to 1.000 fq: 20.0 to 170.0 nfft: 2048 rpad: 0 nstp: 512 nrms: 1000 ncor: 200

experimental difference: longer (30ms) on-axis pre-heating phase leads to very flat q-profile

note: BAE/EPM and GAM onset frequency almost constant
BAE/EPM onset above BAE continuum accumulation point
(toroidal rotation ~ 10 kHz)

kinetic spectra

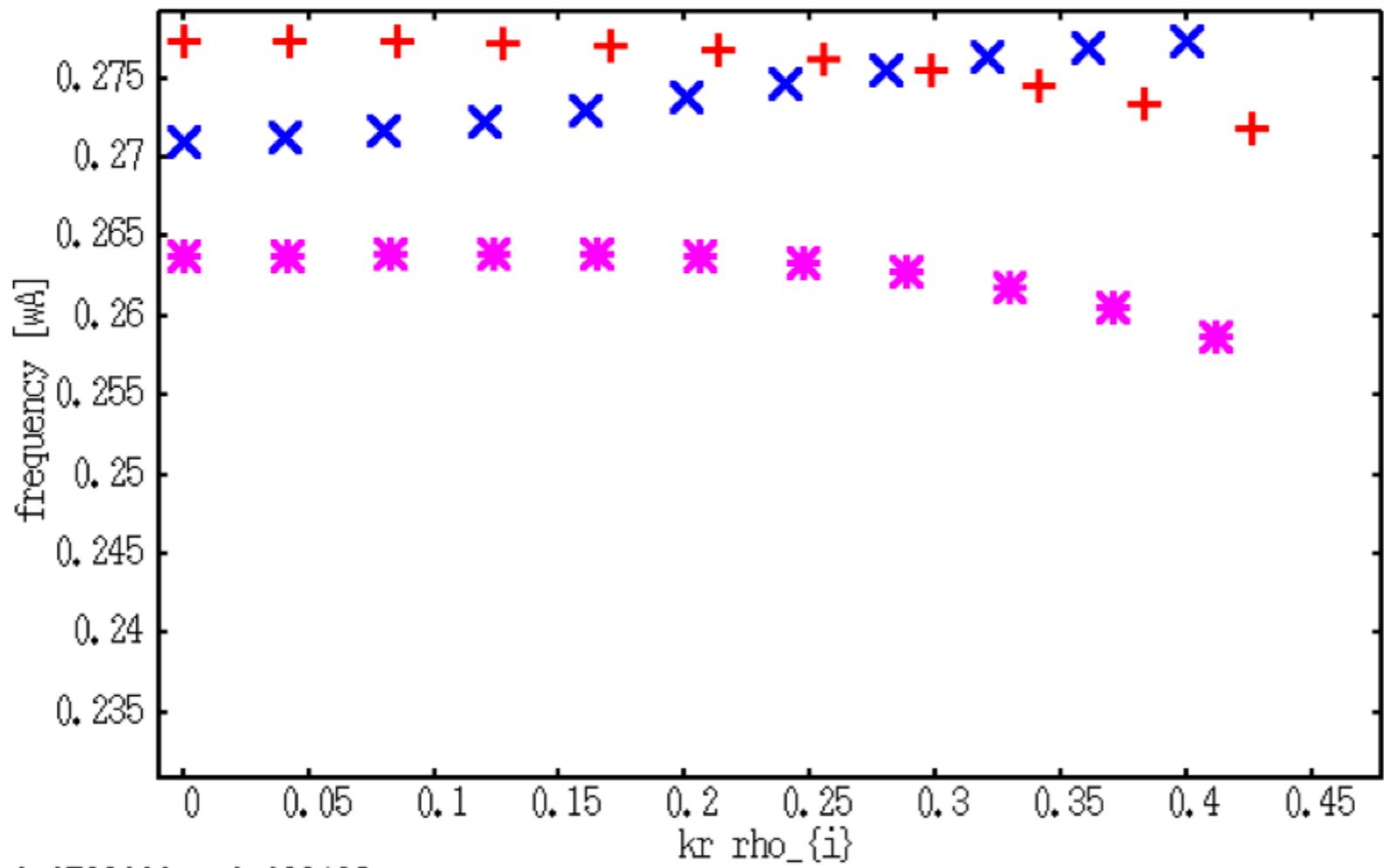


determine $\partial\omega/\partial kr$ and match for discrete KBAE solutions

at BAE accumulation point ($\rho=0.41$): ~ 0 *

left from $q=1$ surface ($\rho=0.355$): < 0 +

right from $q=1$ surface ($\rho=0.459$): > 0 x



EP excitation and low-frequency modes to be studied

Equilibrium Distribution Function

- Constants of Motion at leading order if $B = \nabla\psi \times \nabla\phi + F\nabla\phi$

- toroidal canonical momentum: $\mathcal{P}_\phi = \psi + (F/\omega_c)v_{\parallel}$
- energy: $w = v^2/2 = v_{\parallel}^2/2 + \mu|B|$
- $\lambda = \mu/w = (1 - v_{\parallel}^2/v^2)/|B|$, being $\mu = v_{\perp}^2/(2|B|)$

- Parameters

- ▷ \mathcal{N} is the overall regularization factor
- ▷ T_w, α_w are the parameters of the *Gamma* distribution in energy (at fixed λ)
- ▷ $\mathcal{P}_{\phi 0}, \Delta_{P_\phi}$ are the parameters of the *Normal* distribution in \mathcal{P}_ϕ
- ▷ $\lambda_0, \Delta_\lambda$ are the parameters of the *Normal* distribution in λ (at fixed energy)
- ▷ w_b, w_c are the parameters of the *SlowingDown* distribution in energy

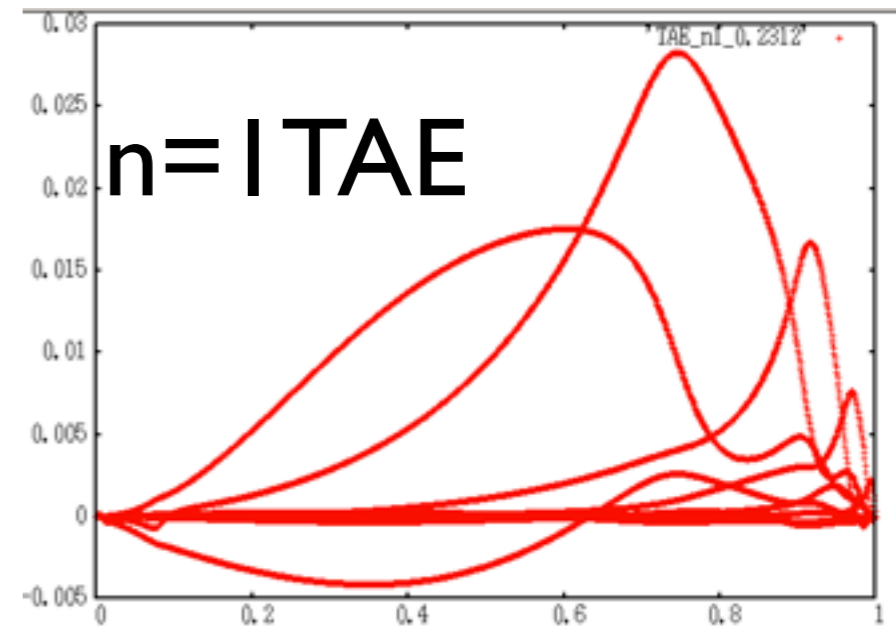
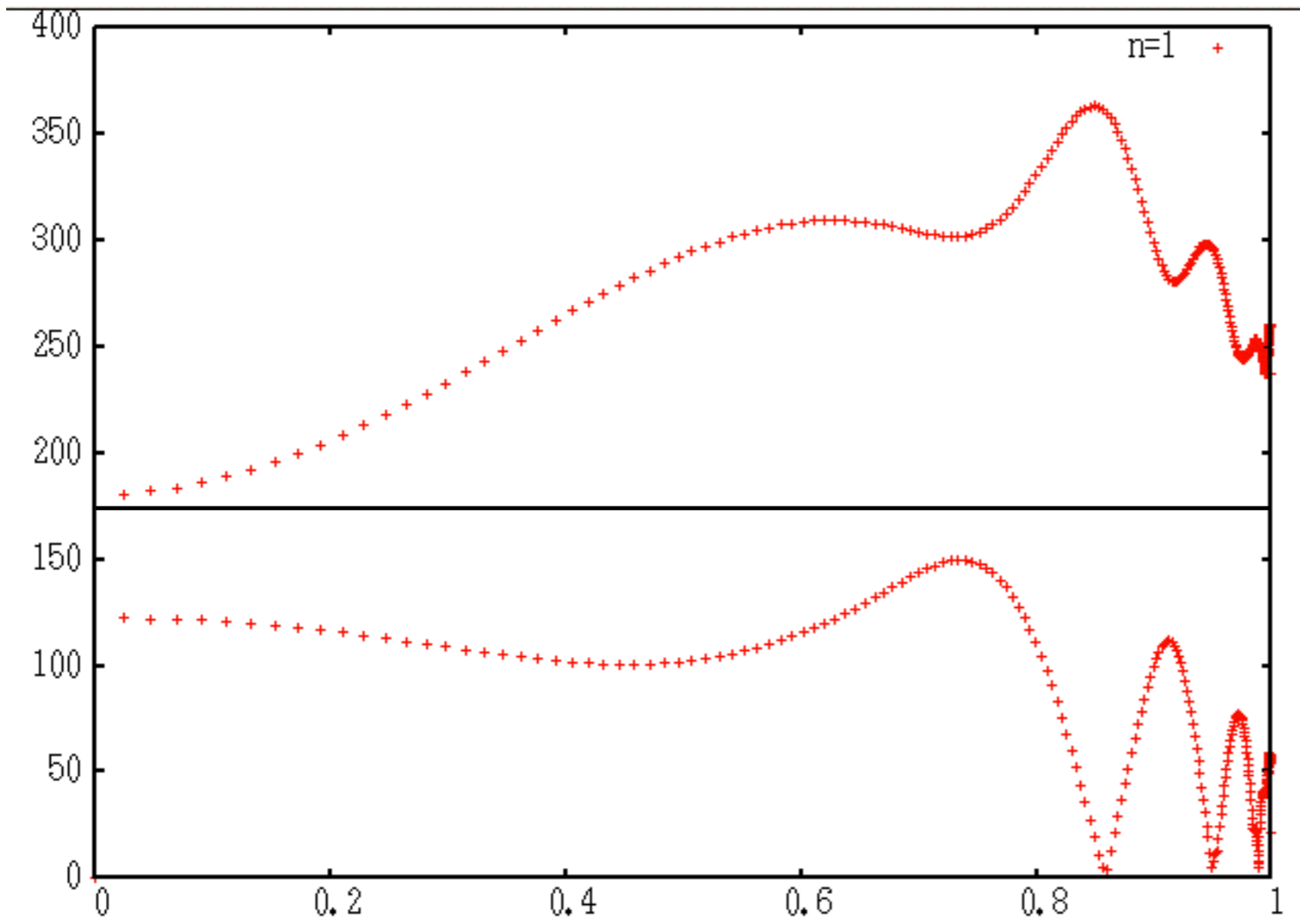
- ▷ $\mathcal{N} = 1.2 \times 10^{17} \text{ m}^{-3}$
- ▷ $T_w = 31.13 \text{ keV}$,
- ▷ $\alpha_w = 1.0$,
- ▷ $\mathcal{P}_{\phi 0} = 0.035 \text{ Wb}$,
- ▷ $\Delta_{P_\phi} = 0.02 \text{ Wb}$
- ▷ $\lambda_0 = 0.08 \text{ T}^{-1}$
- ▷ $\Delta_\lambda = 0.12 \text{ T}^{-1}$
- ▷ $w_b = 93 \text{ keV}$,
- ▷ $w_c = 15 \text{ keV}$

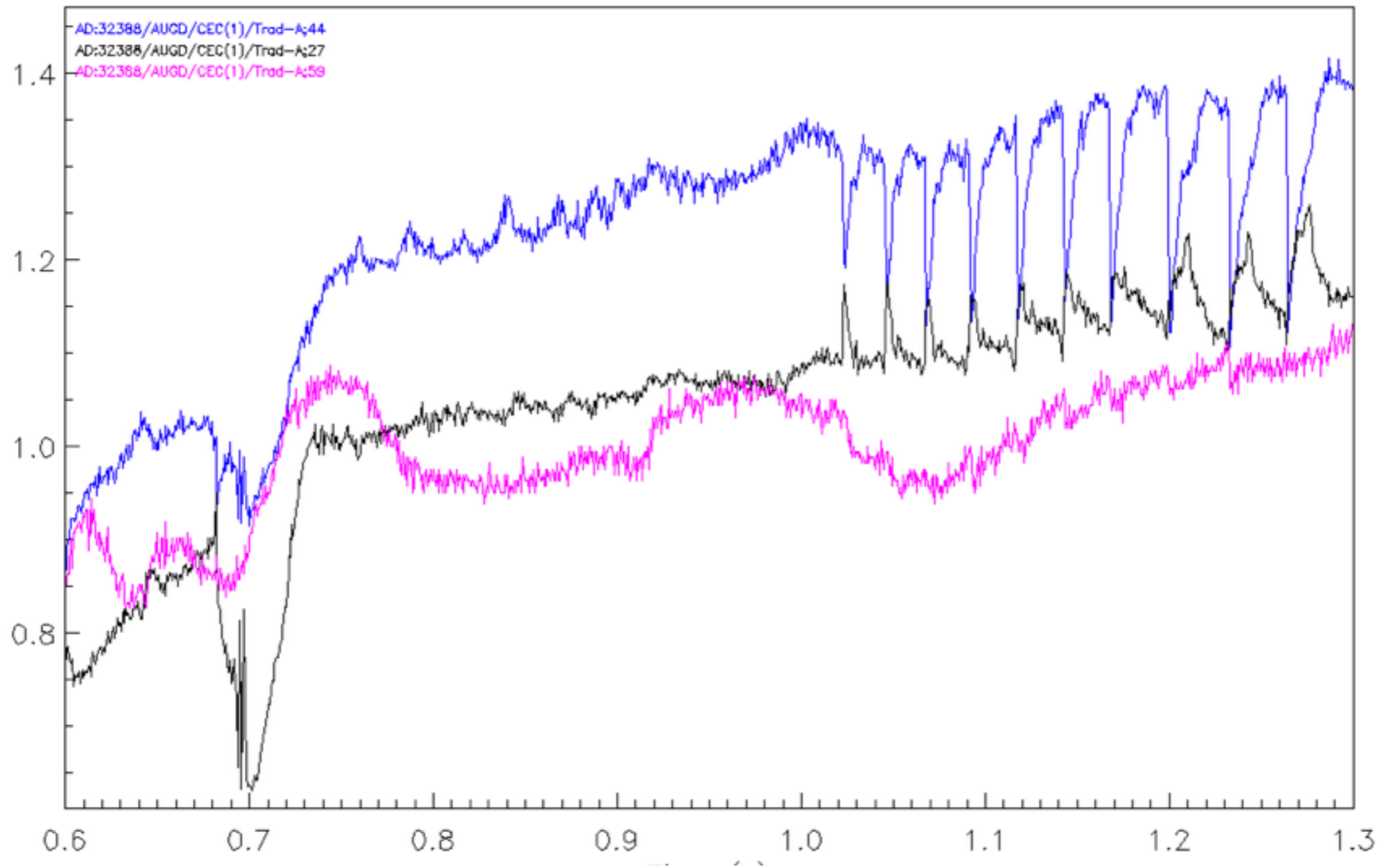
$$f_{\text{Ref1}}(\mathcal{P}_\phi, w, \lambda) = \mathcal{N} \frac{(1 + \lambda/\lambda_0)(w/T_w)^{\alpha_w} \text{H}(w_b - w)}{\sqrt{2\pi} w^{3/2} + w_c^{3/2}} \times$$

$$\times \exp\left[-\frac{(\mathcal{P}_\phi - \mathcal{P}_{\phi 0})^2}{\Delta_{P_\phi}^2}\right] \exp\left[-\frac{w}{T_w} \left(\frac{\lambda - \lambda_0}{\Delta_\lambda}\right)^2\right]$$

first: shifted Maxwellian for EGAM benchmark

ideal $n=1$ SAW spectrum

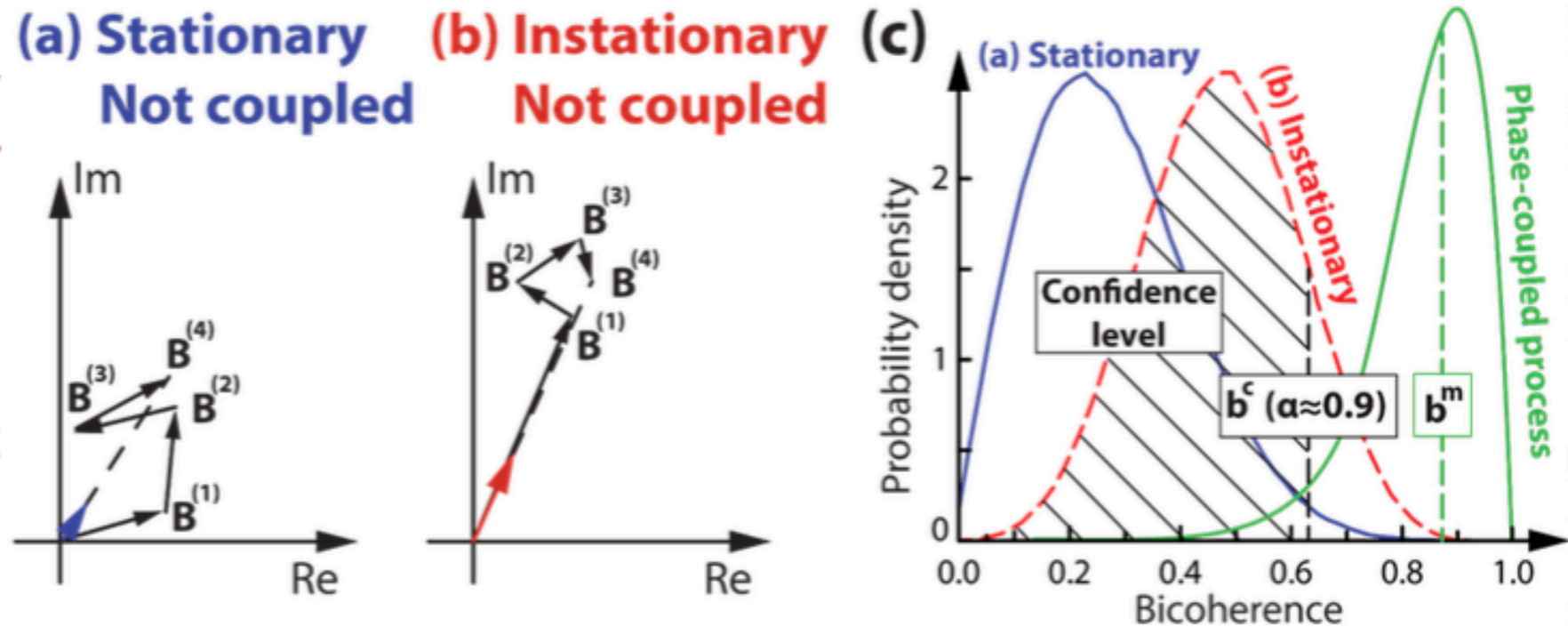




- **Bicoherence analysis** is a widely applied method for identifying quadratic nonlinear interactions of **stationary processes** [1]

$$b^2(f_1, f_2) = \frac{|\mathbf{A}[X(f_1)X(f_2)X^*(f_1+f_2)]|^2}{\mathbf{A}[|X(f_1)X(f_2)|^2]\mathbf{A}[|X^*(f_1+f_2)|^2]}, \text{ with } \mathbf{A}[Y] := \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N Y_i,$$

- Signals exhibiting **rapidly changing amplitudes or frequencies** (typical in the case of strongly driven EPMs) may cause **high bicoherence even without phase coupling**



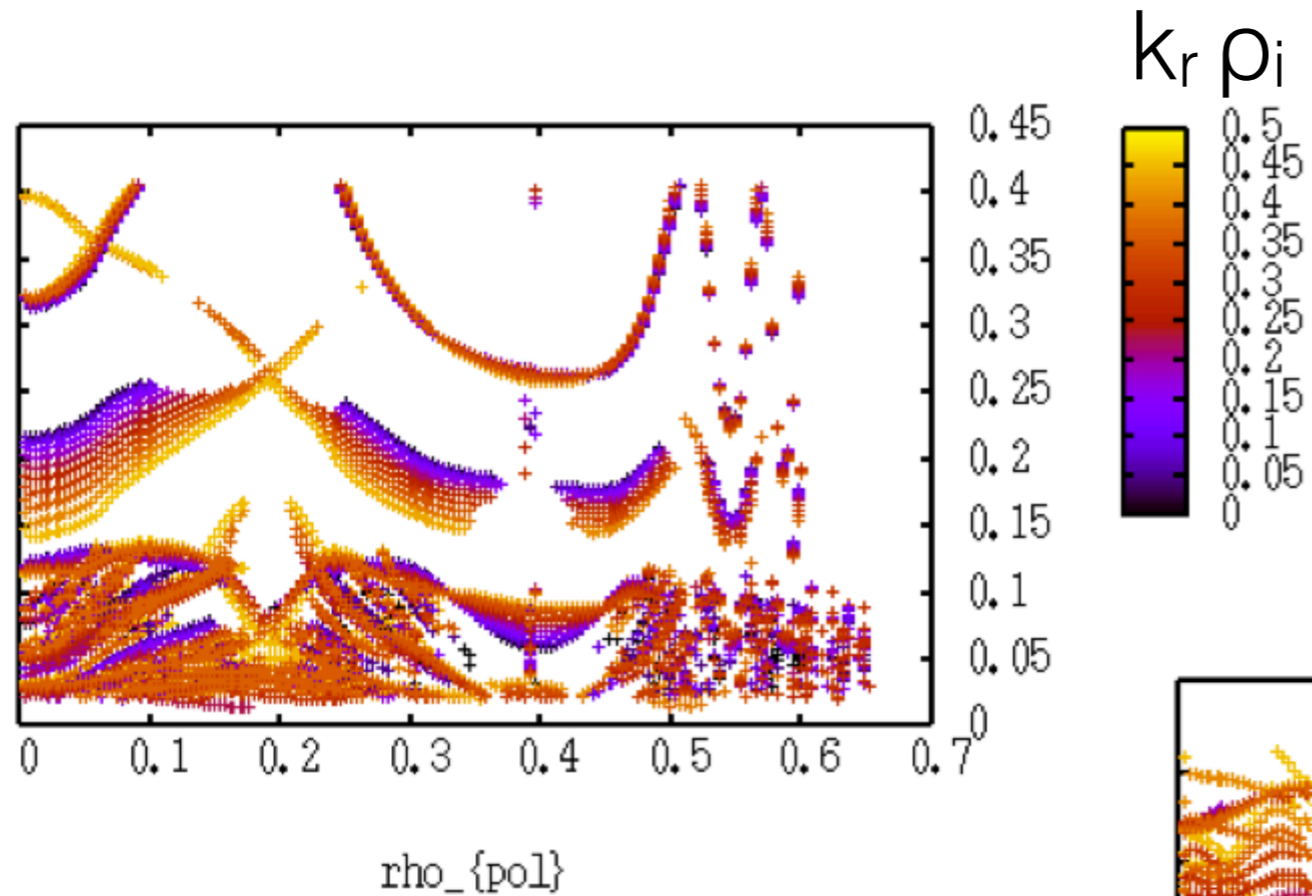
- **Phase randomized bicoherence probability density function** calculation for each (f_1, f_2) point, which will **describe** a random **process without any phase coupling**.

- We can set an **α confidence level**, which will define a **b_c critical bicoherence value** (at each (f_1, f_2) point)

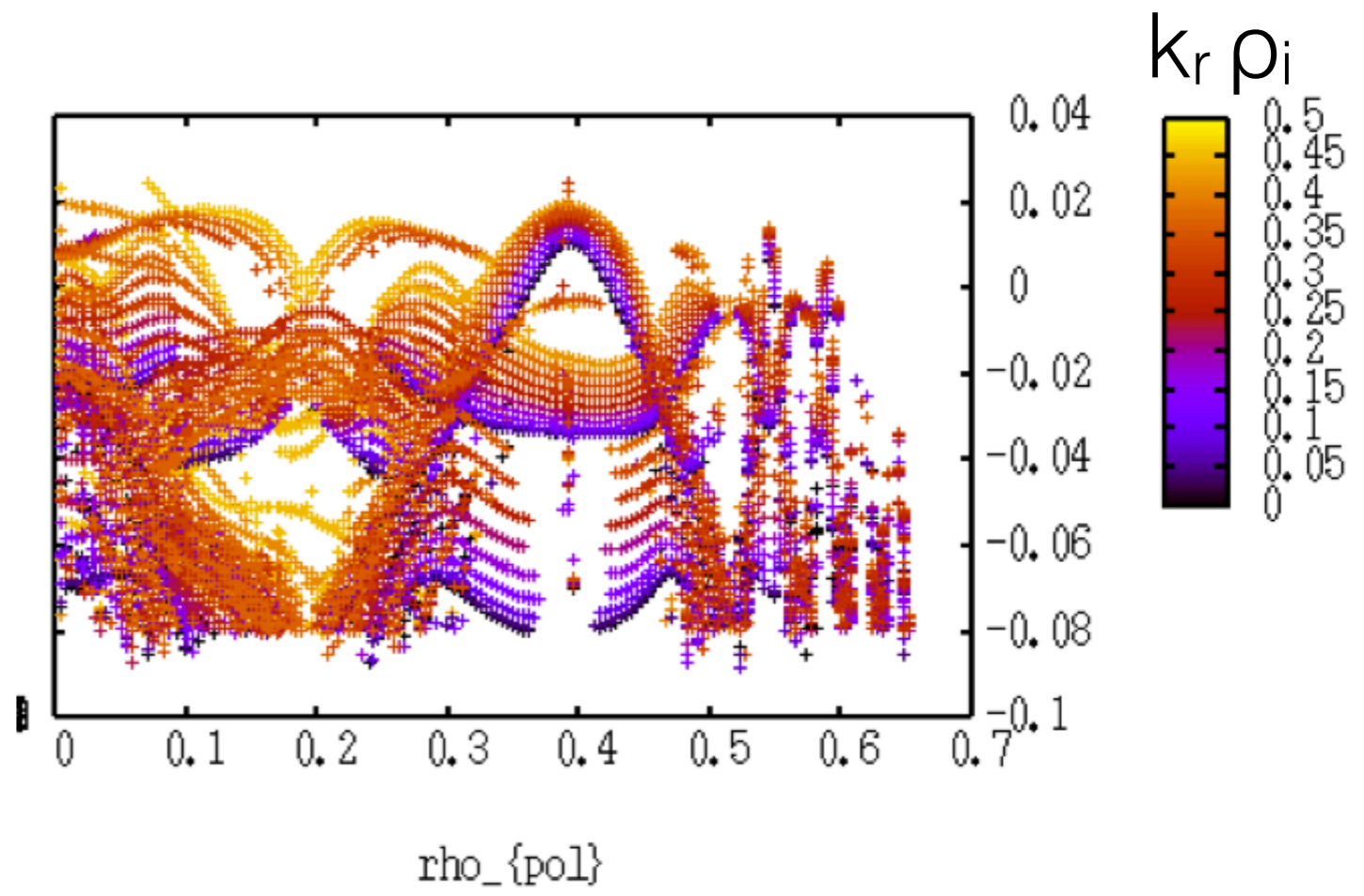
$$\alpha = \int_0^{b^c} \rho(b) db$$

- **Bicoherence values higher than b_c** are from **non-phase coupled** process with **$1-\alpha$ probability**. **Confidence level** can be used as a **filtering parameter**, by only plotting bicoherence values higher than b_c , thus **eliminating probable false positives**

include small but finite k_r (background only):
finite Larmor radius and orbit width effects



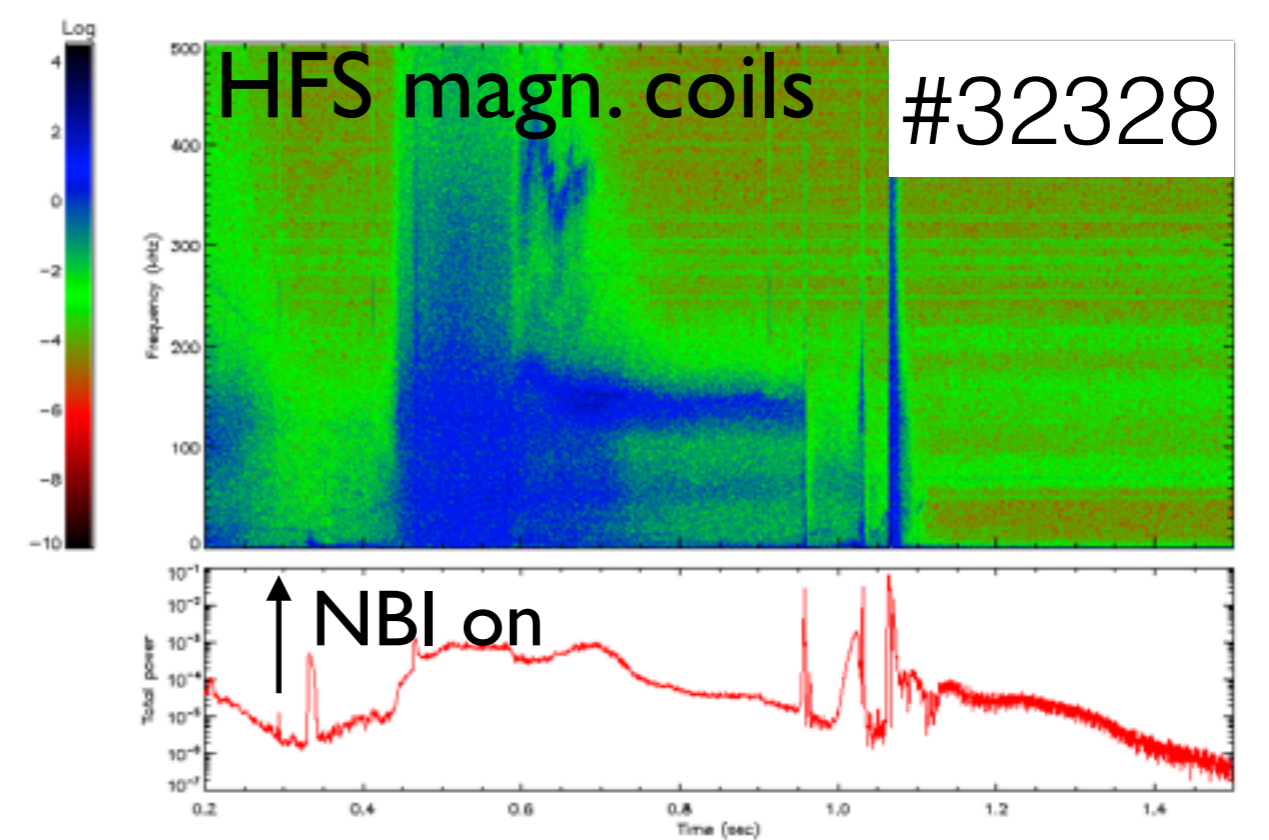
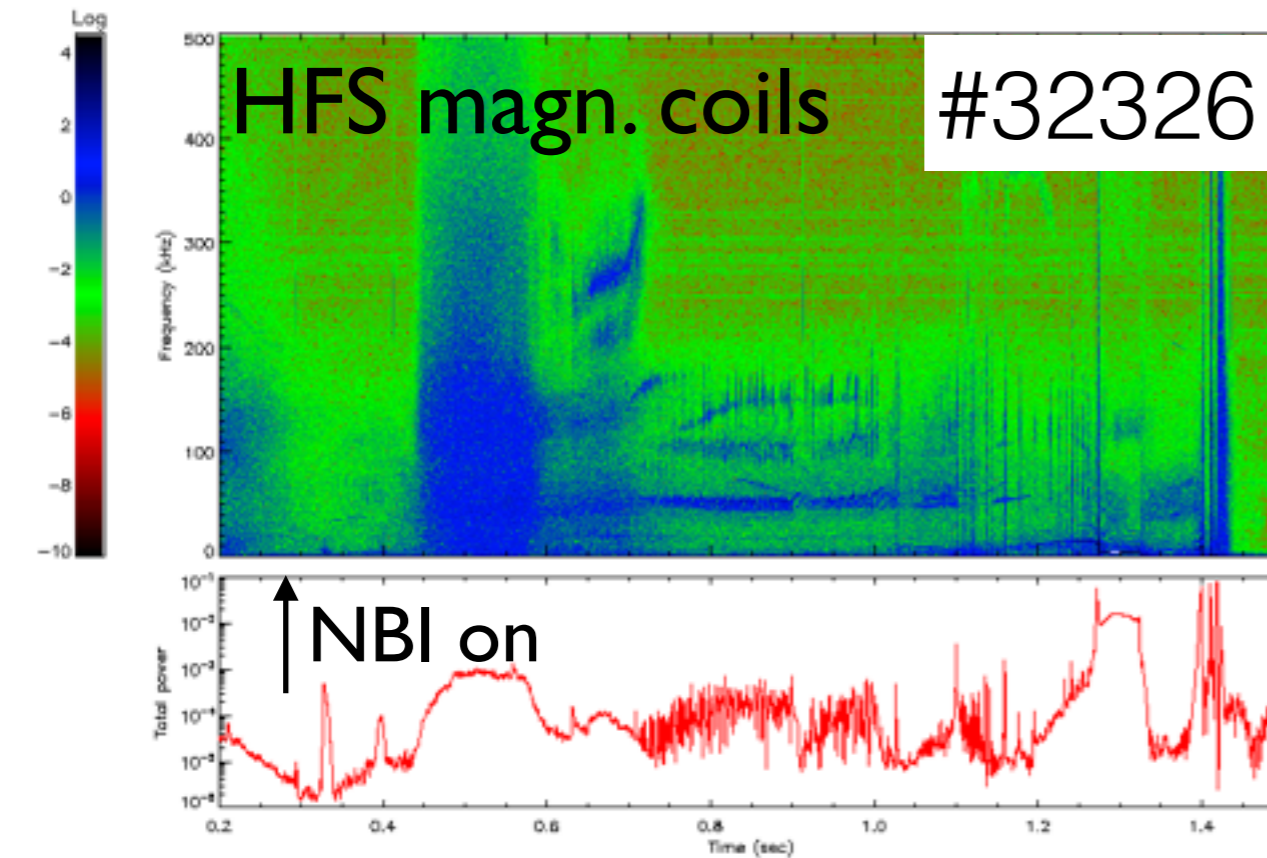
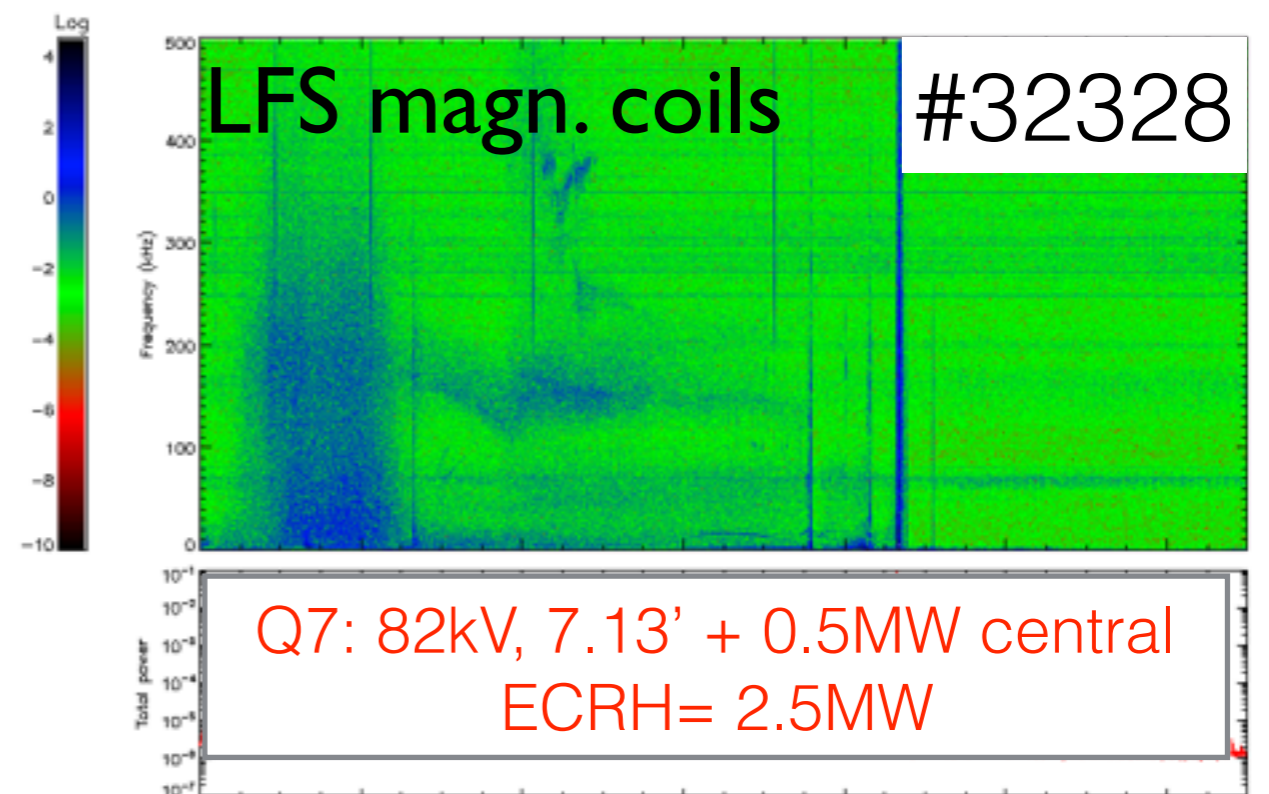
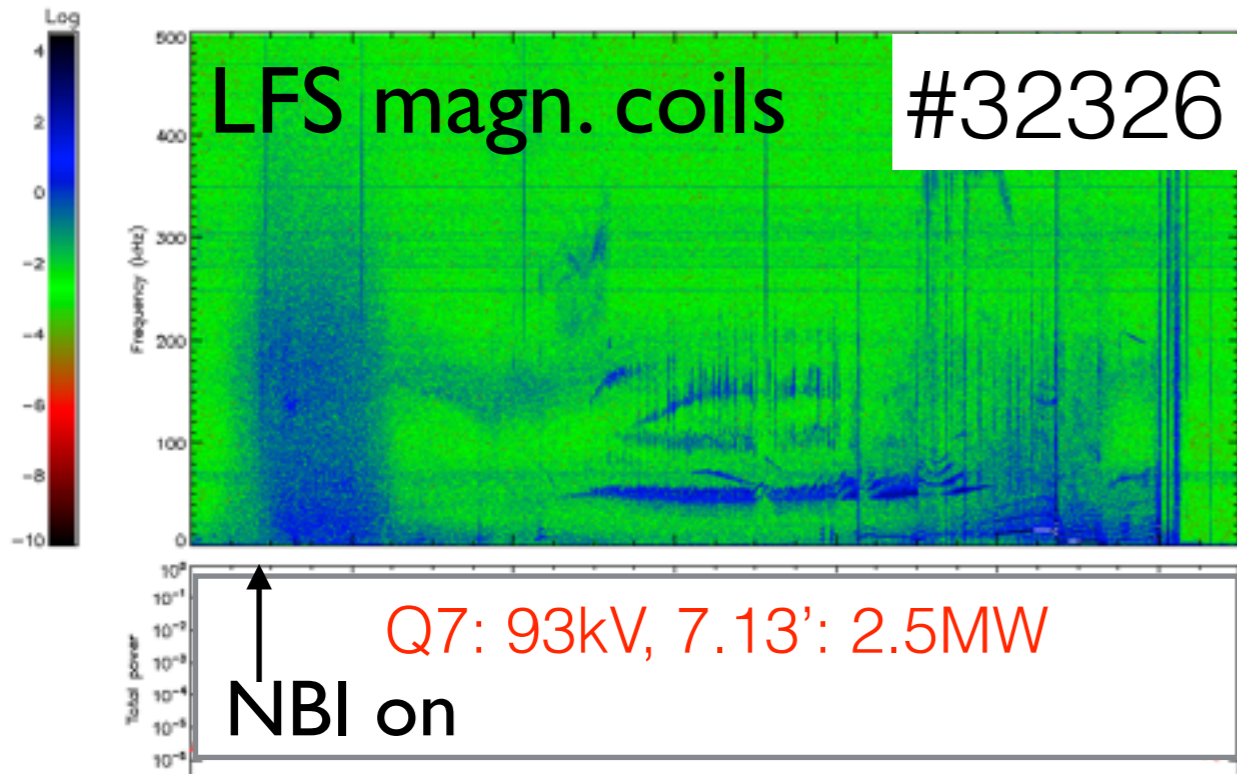
using analytical FLR+FOW model
(see ITPA talk Seville, 2017, to be published)



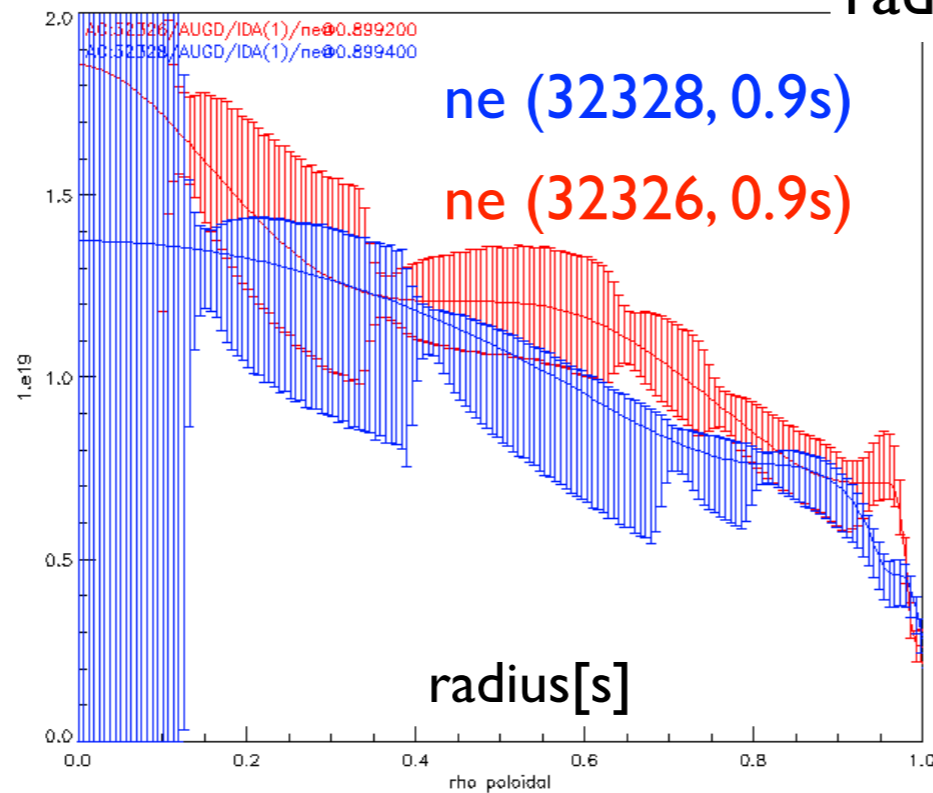
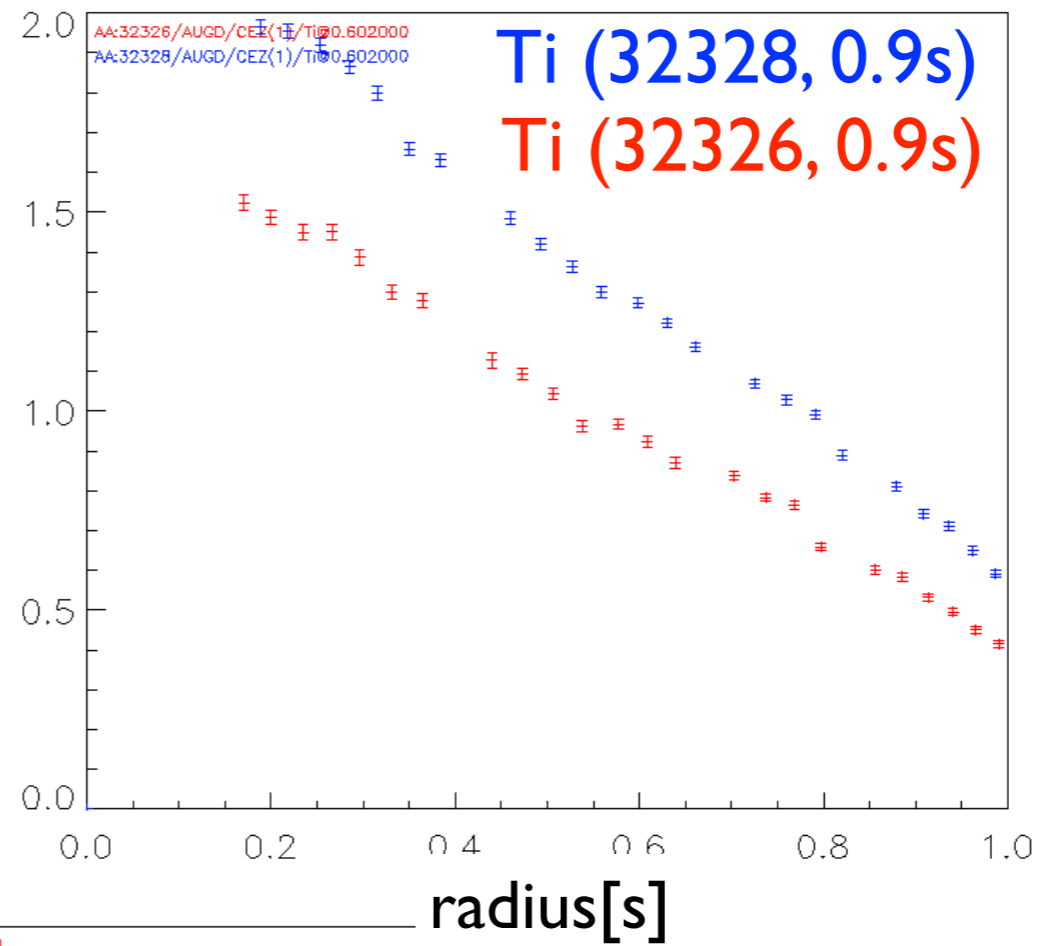
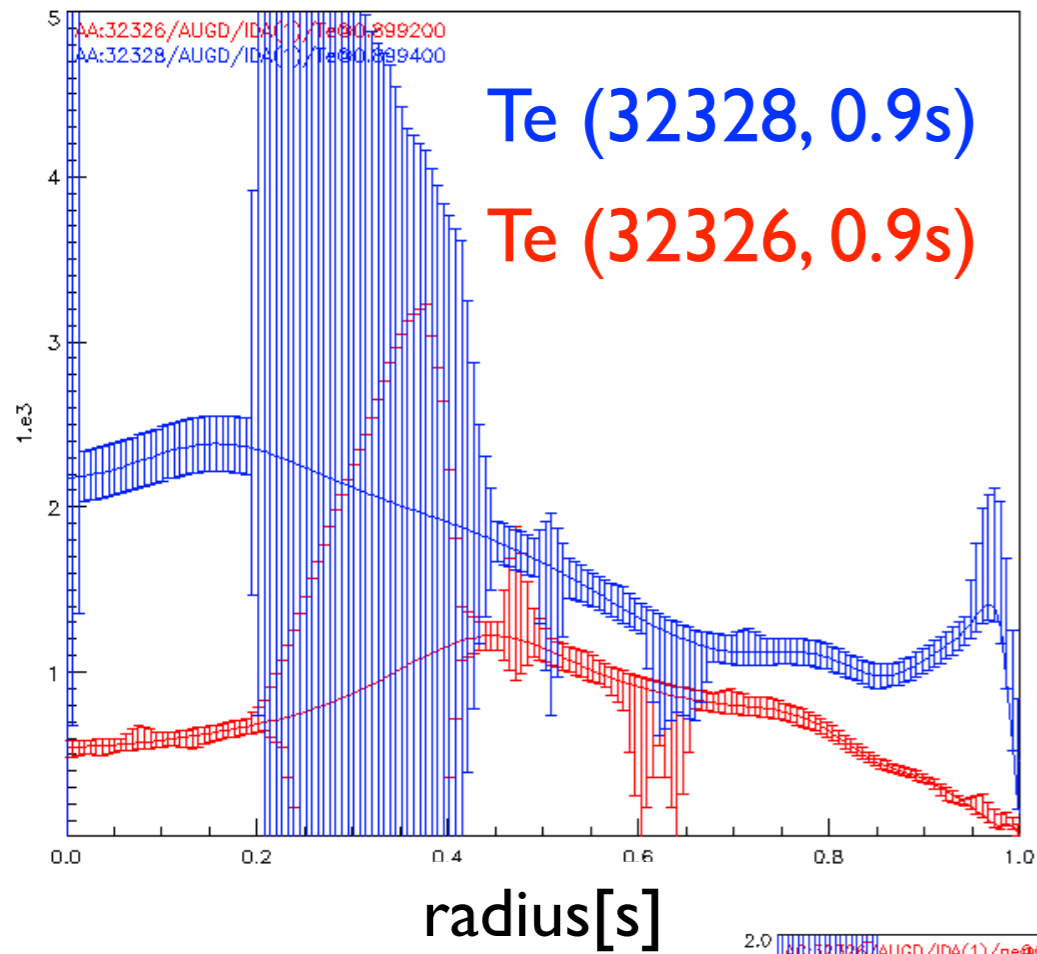
similar to radial GAM propagation studies

[Zonca 2008, Qiu, 2009, Smolyakov 2009, Sasaki, Miki&Idomura 2015, Palermo 2017, etc..]

discharges with the same total heating power



32326(NB) vs 32328 (NB+ECRH) : profiles



opportunity to investigate plasmas with $\beta_{EP} \sim \beta_{back}$ AND $v_{EP}/v_{back} \sim 100!$

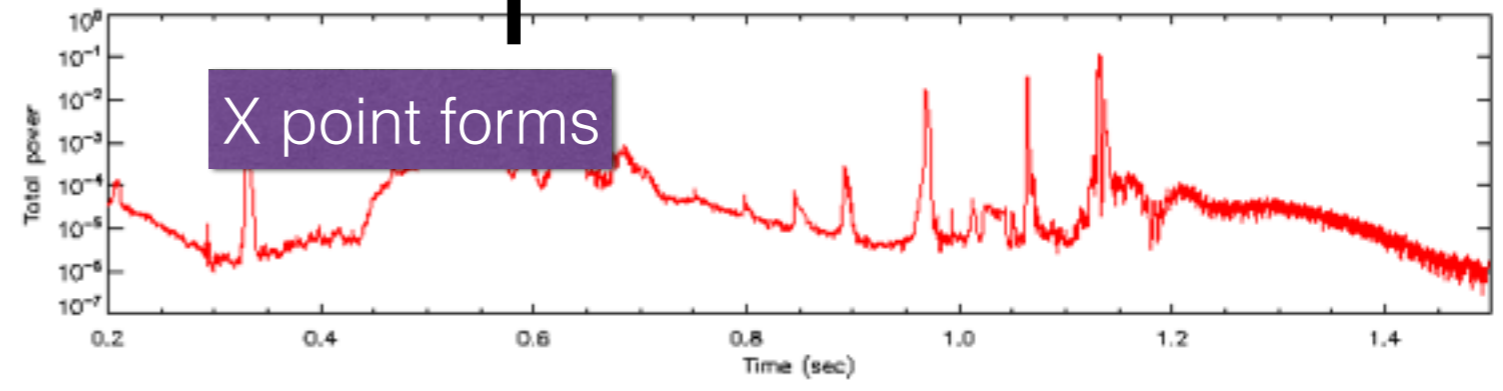
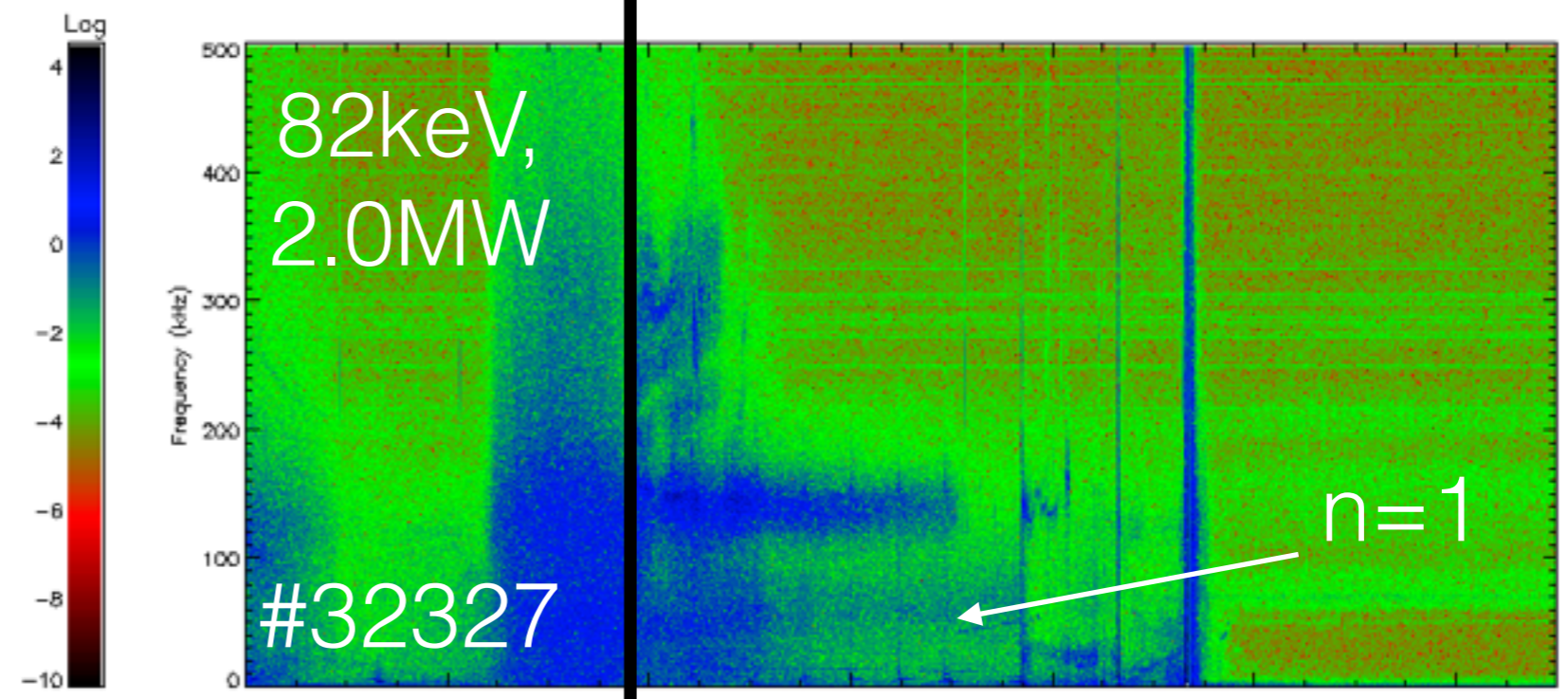
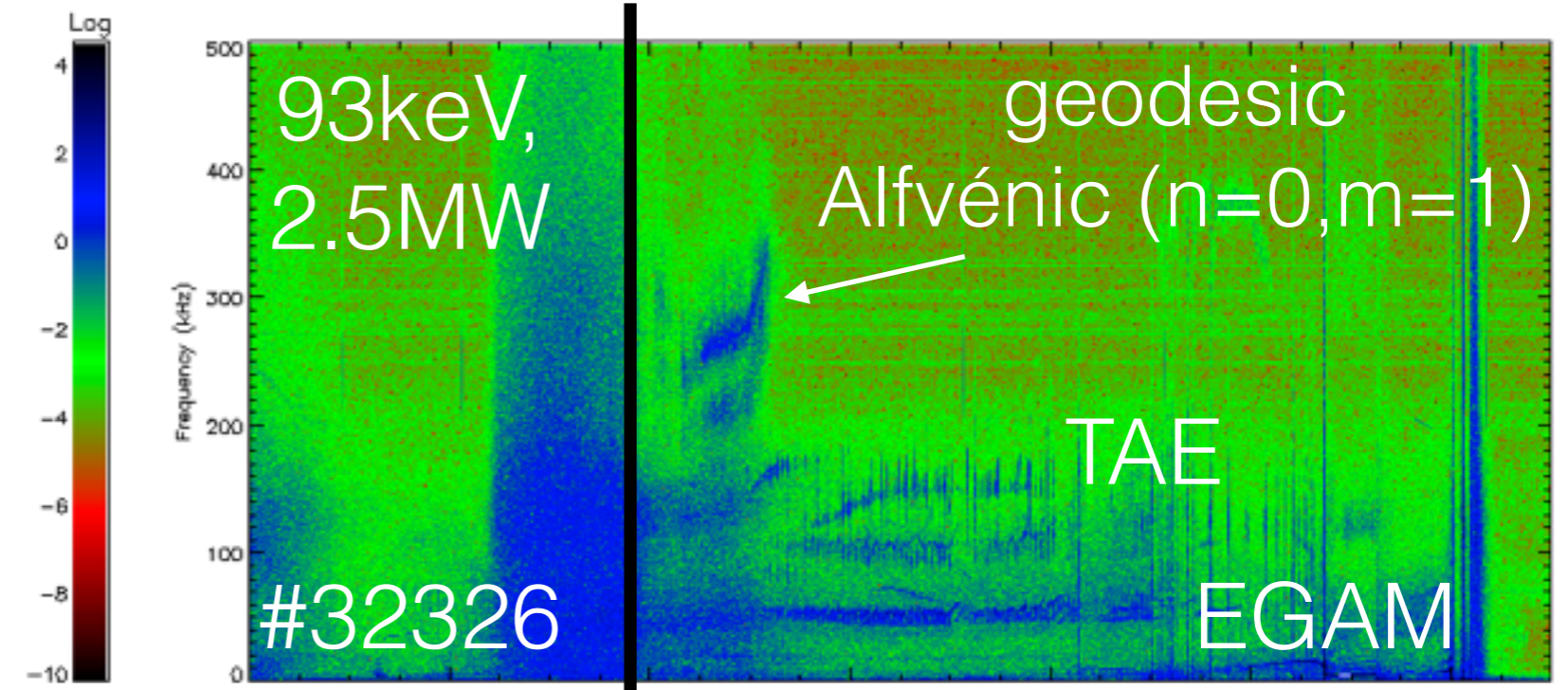
Comparison of:

discharge with and without EGAM/TAE/
W accumulation

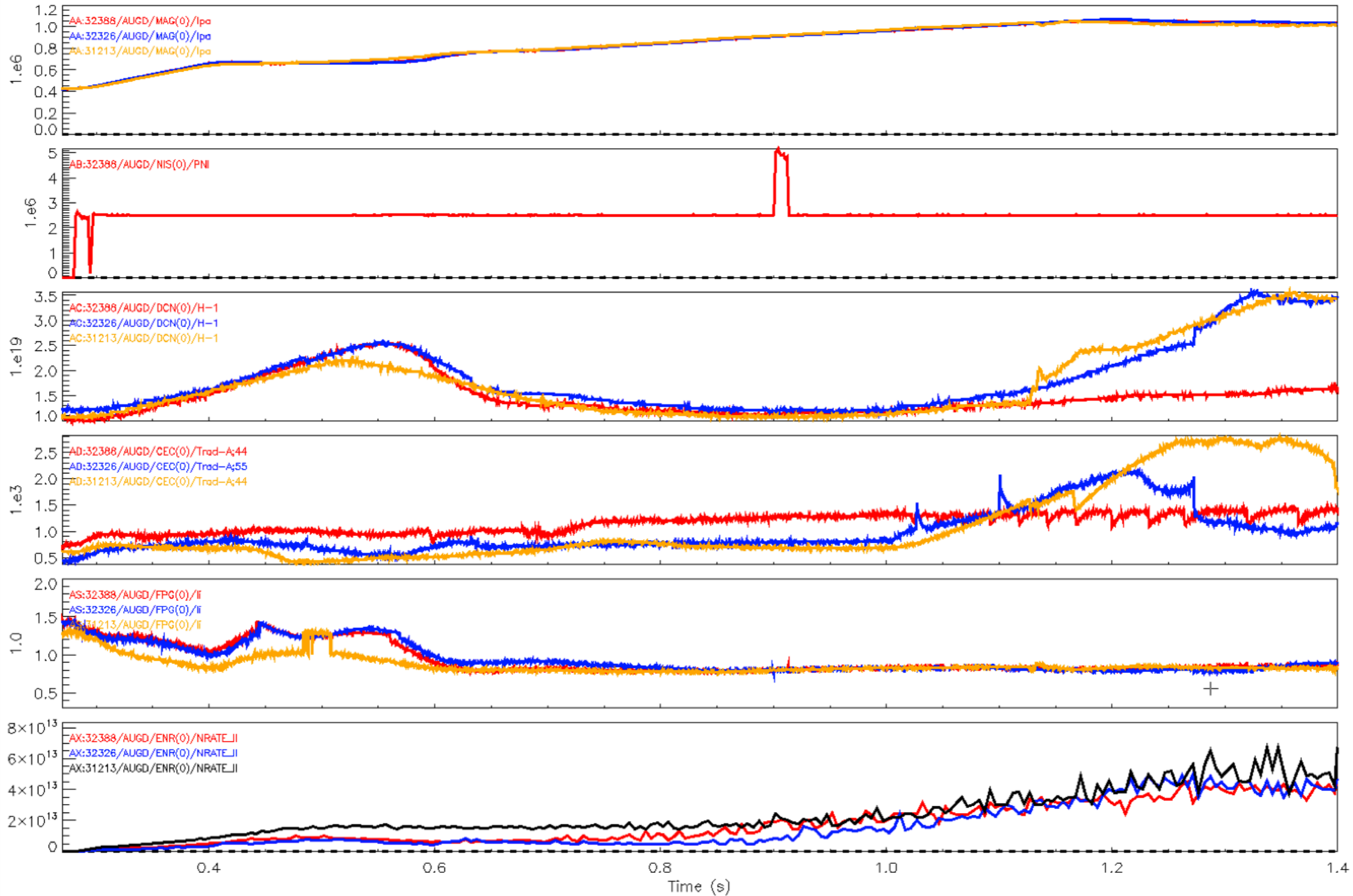
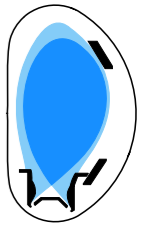
very different
turbulent spectrum
magnetic coils
HF side

although R/L_{Ti} is quite
similar

is this due to modes?

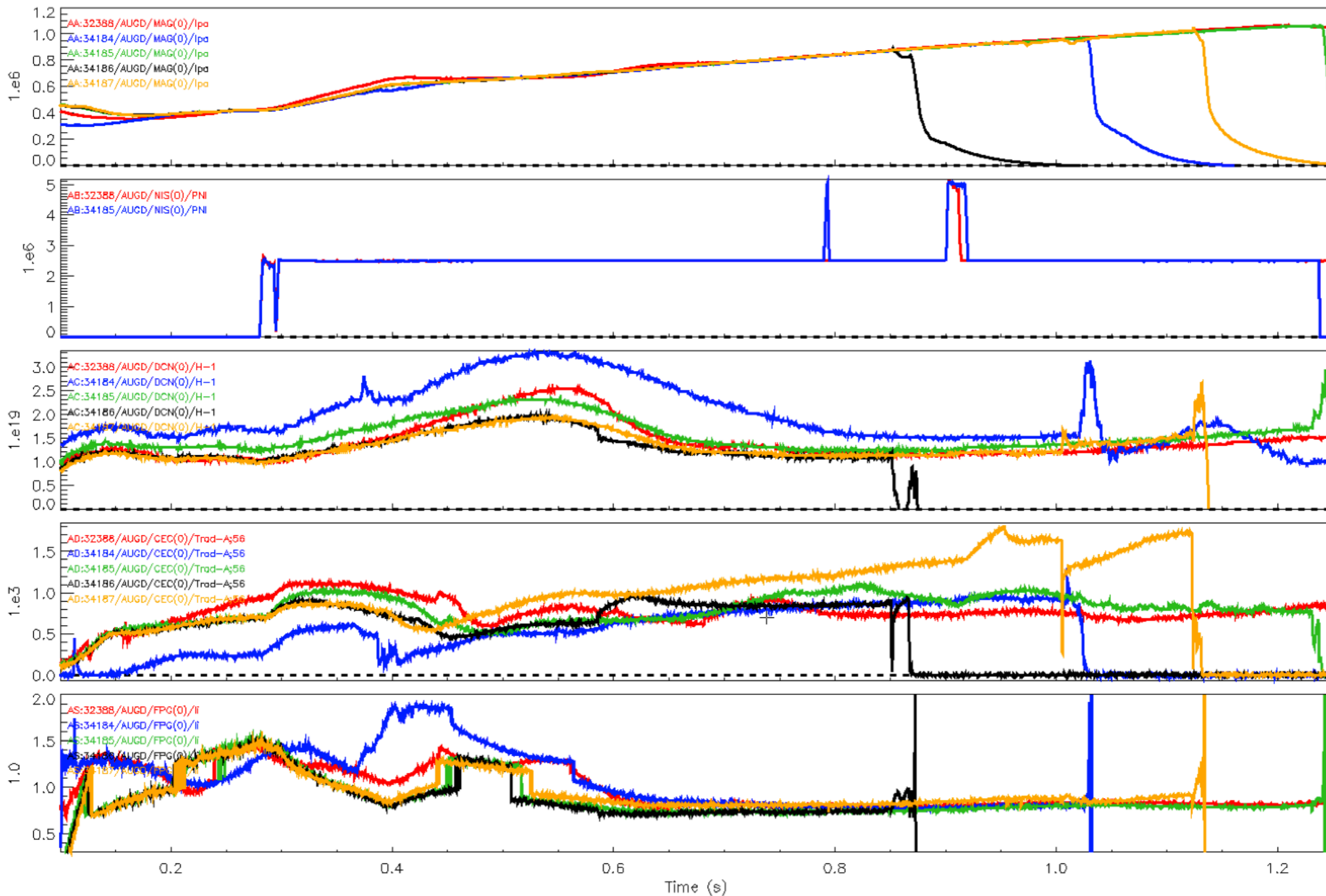
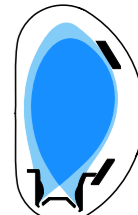


AUG Shot: 32327 : MHD : CDB-18 npts: 2599999
Time: 0.200 to 1.500 freq: 0.0 to 500.0 nfft: 4096 npod: 0 natp: 512 nima: 1000 ncor: 200



| # | EGAM/BAE/ | NBI | angle | behav | later heating | I | B |
|-------|-----------|--|-------|--------------------|--------------------|---|---------------------|
| 27923 | y/y/y/n | 2:0.35-0.5;3:0.38-0.59;80.59-0.63;5:0.63-0.76;7:0.76 | 6,65 | | | | |
| 28880 | n/y/y/n | 2:0.35-0.5;3:0.5-0.6;7:0.6 | 6,65 | | | I | 2.4 |
| 28881 | y/y/y/n | 2:0.35-0.5;3:0.5-0.6;7:0.6 | 6,65 | | | I | 2.4 |
| 28883 | n/y/n/n | 2:0.35-0.5;3:0.5-0.6;7:0.6 | 6,65 | | | | ASDEX Upgrade |
| 28884 | y/y/y/n | 3:0.5-0.6;7:0.6 | 6,65 | | | I | 2,4 |
| 28885 | y/y/y/n | 2:0.35-0.5;3:0.5-0.6;7:0.6 | 6,65 | | | I | 2,4 |
| 30383 | y/y/y/n | 7: 0.26-0.75 | 6,65 | Hmode | | I | 2,6 |
| 30945 | n/y/n/n | 2:0.28-0.376;6:0.382-0.697 | 6,65 | dis@4s | | I | 2,2 |
| 30946 | y/y/n/y | 2:0.28-0.445;6:0.451-0.928 | 6,65 | Lmode | no heating! | I | 2,2 |
| 30947 | y/n/n/y | 2:0.28-0.478;6:0.482-0.928 | 6,65 | dis@4s | H mode | I | 2,2 |
| 30948 | n/y/y/n | 2:0.28-0.491;3:0.497-0.789 | 6,65 | dis@1.2s | Q6@0.789 | I | 2,2 |
| 30949 | y/y/n/n | 2:0.35-0.5;3:0.38-0.79;6:0.79;7:1.0;8:1.2 | 6,65 | dis@1.5 | | I | 2,2 |
| 30950 | y/y/y/n | 3:0.28-0.295;7:0.312-0.797 | 6,65 | dis@1.5 | 3:0.8-0.92;6.8@0.9 | I | 2,2 |
| 30951 | n/y/n/n | 3:0.28-0.295;5:0.312-0.552,8 | 6,65 | dis@1.7 | 8-0.84;3:-0.99 | I | 2,2 |
| 30952 | y/y/y/n | 3:0.28-0.295;7:0.312-0.797 | 6,65 | dis@1.18 | Q6@0.8 | I | 2,2 |
| 30953 | y/y/n/n | 3:0.28-0.295;6:0.312-0.753 | 6,65 | dis@1.11 | Q2@0.76++ | I | 2,2 |
| 31213 | y/y/y/n | 3:0.28-0.295;7:0.296-1.033 | 7,13 | dis@1.7 | Q6@1.0 | I | 2,2 |
| 31214 | y/y/y/n | 3:0.28-0.295;7:0.296-1.033 | 6,05 | dis@1.0 | | I | 2,2 |
| 31215 | y/y/y/n | 3:0.28-0.295;7:0.296-1.033 | 6,65 | dis@1.0 | | I | 2,2 |
| 31216 | y/y/y/n | 3:0.28-0.295;7:0.296-3.045+blips | 6,65 | Lmode | | I | 2,2 |
| 31233 | y/y/y/n | 3:0.28-0.501;7:0.506-3.227 | 7,13 | Hmode | Q6@1.0 | I | 2,2 |
| 31234 | y/n/y/n | 3:0.28-0.310;7:0.318-0.813 | 7,13 | dis@ 0.8 | | I | 2,2 |
| 32326 | y/n/y/y | 7: 0.28 +blips | 7,13 | EGAMS, TAEs | | I | 2,2 |
| 32327 | y/n/y/n | 7: 0.28 +blips: 82kV | 7,13 | transition | | I | 2,2 |
| 32328 | n/n/n/n | 7: 0.28 +blips +0.5 ECRH | 7,13 | only turbulence | | I | 2,2 |
| 32329 | n/n/n/n | 7: 0.28 + blips+0.5 ECRH | 7,13 | only Alfvénic turb | | I | 2,2 |
| 32384 | y/n/y/n | 7: 0.28 +blips 93kV | 7,13 | too high density | | I | 2,2 |
| 32386 | y/n/n/n | 7: 0.28 +blips: 65kV | 7,13 | | | I | 2,2 |
| 32387 | y/n/y/y | 7+6: 0.28 +blips: 65kV | 7,13 | | | I | 2,2 |
| 32388 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | | | I | 2,2 |
| 33872 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | | diff breakdown | | no Te inversion 2.2 |
| 33873 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | | diff breakdown | | no Te inversion 2.5 |
| 33874 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | dis@1.0 | std brkdwn | | no Te inversion 2.0 |
| 33875 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | dis@1.0s | std brkdwn | | no Te inversion 2.2 |
| 34184 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | shape scan t>0.8 | | | Te inversion 2.2 |
| 34185 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | shape scan t>0.8 | | | Te inversion 2.2 |
| 34186 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 7,13 | std | | | Te inversion 2.2 |
| 34187 | y/y/y/y | 7: 0.28 +blips + higher density 93kV | 6,65 | std | | | Te inversion 2.2 |

comparison to reference discharge (#32388/#34185)



32326(NB) vs 32328 (NB+ECRH)

